

%This is a comment designated to describe why I have written this document. It is a brief account of what the document contains and any special notes.
\documentclass[11 pt]{article}

%The portion of a TeX Document between \documentclass{} and \begin{document} is called the Preamble. It defines/imports the important specs for this document and allows for flexibility. It is here that the art of a well written document is displayed (along with coding style).

%SET MARGINS

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\setlength{\oddsidemargin}{-.45in}
%\setlength{\evensidemargin}{-.5in}
\setlength{\textwidth}{7.1in}
\setlength{\topmargin}{-0.95in}
\setlength{\textheight}{9.7in}
%UNCOMMENT NEXT LINE TO DOUBLE SPACE
%\renewcommand{\baselinestretch}{2}
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%LOAD VARIOUS PACKAGES

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\usepackage{amsmath, amsfonts, amsthm, graphics, latexsym, multicol}
\pagestyle{empty}
```

%MATH CHARACTER COMMANDS

```
% math
\def\N{\mathbb{N}} %Natural Bold Face
\def\Q{\mathbb{Q}} %Rational Bold Face
\def\R{\mathbb{R}} %Real Bold Face
\def\Z{\mathbb{Z}} %Integers Bold Face
\def\C{\mathbb{C}} %Complex Bold Face
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%LOWER CASE VECTORS v, x, AND b IN BOLDFACE

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\newcommand{\vecv}{\mathbf v}
\newcommand{\vecx}{\mathbf x}
\newcommand{\vecb}{\mathbf b}
```

%A plethora of options to use prearranged environments for Text Setting. Each different declaration

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\newtheorem{theorem}{Theorem}[section]
\newtheorem{corollary}[theorem]{Corollary}
\newtheorem{lemma}[theorem]{Lemma}
\newtheorem{proposition}[theorem]{Proposition}
\newtheorem{problem}[theorem]{Problem}
\newtheorem{example}[theorem]{Example}
\newtheorem{algorithm}[theorem]{Algorithm}
\newtheorem{definition}[theorem]{Definition}
\newtheorem{conjecture}[theorem]{Conjecture}
\newtheorem{question}[theorem]{Question}
\newtheorem{remark}[theorem]{Remark}
\newtheorem{solution}[theorem]{Observations}
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%Begins Document

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\begin{document}
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%Exam written and administered by Professor Eric Babson at UC Davis in Math 201 A. This course was offered in Fall 2009 in Phy Geo 130 at 9-10am.

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\noindent \textbf{Midterm Exam 1: Solutions}\\
\textbf{November 6, 2009; 9:00am}\\
\textbf{Solutions by Jeffrey Andersson}\\
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%Statement found on Davis PhD preliminary Exam, Winter 2003, problem 4, part ii

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\section{Problem 1}
\begin{problem} Show that if  $X$  is the real numbers and  $d(x,y)=|e^x-e^y|$  then  $(X,d)$  is a metric space which is not complete and find the completion.
\end{problem}
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(Hint: Use an isometric embedding into the real numbers with their usual metric to find the completion.)

%Here we see the components of this problems important in generating a solution. These are not written in a formal proof style on purpose to give a sense of the thought process one might use in time constrained situations.

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\begin{solution}
  Relevant theorems and definitions to this solution include definition 1.49 and 1.51 as well as theorem 1.52 from Applied Analysis.
  \begin{enumerate}
    \item There is a big difference between  $\mathbb{R}$  equipped with the euclidean metric and the space  $(X, d)$ .
    \item The domain of both metrics is the same underlying set of objects,  $\mathbb{R}$ . The way we measure these sets is the interesting nuance here.
    \item Any compact subset of  $\mathbb{R}$  is complete with respect to the Euclidean norm.
    \item Any compact subset of  $\mathbb{R}$  is closed and bounded
    \item The natural log function is surjective onto  $\mathbb{R}$ 
    \item  $\lim_{x \rightarrow 0^+} \ln(x) = -\infty \notin \mathbb{R}$ 
    \item  $e^x$  and  $\ln(x)$  are inverses of each other (id est: we have that  $e^{\ln(1/n)} = 1/n$ )
    \item  $\{\frac{1}{n}\}_{n=1}^{\infty}$  converges to 0 from above  $\rightarrow$  respect
    \item  $\{\frac{1}{n}\}_{n=1}^{\infty}$  is a Cauchy sequence with respect to the metric defined by the absolute value.
    \item The function  $e^x$  maps  $X \rightarrow \mathbb{R}$  and preserves distance
  \end{enumerate}
\end{solution}

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%Here we see a developed demonstration of the solution we achieved above. This usually is achieved only after meditation and practice. For professional mathematicians, our livelihood depends on our ability to generate such a proof.

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\noindent \textbf{Proof:}
Part 0: We want to show that  $d(x, y)$  is a metric. We must satisfy the properties of a metric. To do this we can use the well ordering axioms of the real numbers and properties of the function  $e^x$ .

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\noindent Part I: Show  $\tilde{\mathbb{R}}$  is incomplete.
Let  $d(x, y)$  be the metric defined in the problem statement. Let  $d_2(x, y)$  denote the Euclidean metric on the real numbers. Denote the metric space  $(\mathbb{R}, d)$  as  $X$ . Denote the metric space  $(\mathbb{R}, d_2)$  as  $\mathbb{R}$ .

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Let  $\{x_n\}_{n=1}^{\infty} \subset X$  be defined by  $x_n = \ln(1/n)$ . Notice that the sequence is Cauchy by observation 8 above. However, we see that the limit point of the sequence occurs at  $x^* = -\infty \notin \mathbb{R}$ .  $\rightarrow X$  is incomplete.

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\noindent Part II: Find the completion of  $\tilde{\mathbb{R}}$ .
Let  $f: X \rightarrow \mathbb{R}$  be defined by  $f(x) = e^x$ . This is an isometric embedding from  $X$  to  $\mathbb{R}$ . To check this let us check first that it is an isometry and second that it is an embedding.
Isometry: To check that  $f$  is an isometry, we must show that  $d_2(f(x), f(y)) = d_2(e^x, e^y) = |e^x - e^y| = d(x, y)$  as delineated in definition 1.49. Let  $x, y \in X$ .  $\rightarrow d(x, y) = |e^x - e^y|$  as defined in the problem statement. Consider  $d_2(f(x), f(y)) = d_2(e^x, e^y) = |e^x - e^y| = d(x, y)$ .
Embedding: It is worth noting that inherent in the definition of isometry are the properties of any metric function. These include continuity and positive definiteness. Using the definition of  $e^x$ , checking both of these properties breaks down into a practice applying axioms of the multiplicative and additive group structure on  $\mathbb{R}$  and the well ordering axioms of the real numbers.

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Last, we see that the completion of is  $\bar{X} = \mathbb{R} \cup \{-\infty\}$  since in the preimage of 0 under our isometry is  $x = -\infty$ .

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\section{Problem 2}
\begin{problem}
  Assume that  $(X, d)$  is a compact metric space,  $y \in X$ ,  $M > 0$  and  $\{f_n\}_{n=1}^{\infty}$  is an infinite sequence of Lipschitz continuous functions. Assume also that with every  $f_n \in F_M(X)$  for all  $n \in \mathbb{N}$  and every  $f_n(y) = 0$ . Show that there is a subsequence which converges pointwise to a continuous function.

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\end{problem}

(Recall that $F_M(X) = \{f \in \text{Bdd}(X) \mid \forall x, z \in X \text{ there is } |f(x) - f(z)| \leq M d(x, z)\}$.)

\begin{solution}

Relevant theorems, definitions and examples include definition 2.10, 2.15 and theorem 2.12 from Applied Analysis. Also example 2.13 and 2.20 give wonderful insight into the keys of this problem. Last the definition of diameter of a set on page 11 and remarks directly following this definition are applicable also.

\begin{enumerate}

- \item If a sequence converges uniformly, it converges pointwise.
- \item The set of functions $\{f_n\}_{n=1}^{\infty} \subset C(X)$
- \item A is compact $\Leftrightarrow A$ is sequentially compact
- \item A is sequentially compact \Rightarrow for any sequence, there exists a convergent subsequence
- \item Arzela Ascoli talks about compactness of a set of functions (closed, bounded, equicontinuous)
- \item The proof of Arzela Ascoli speaks only about bounded and equicontinuous
- \item In this problem, we only need to show that a convergent subsequence exists, not that the limit is in A

\end{enumerate}

\end{solution}

\noindent \textbf{Proof:} \\

Let $A = \{f_n\}_{n=1}^{\infty}$ be as defined in the problem statement. Then A is equicontinuous and bounded. \\

Bounded: Since X is compact $\Rightarrow X$ is closed and bounded. Then let $D = \text{diam}(X) = \sup\{d(x, y) \mid x, y \in X\}$. By definition of A , we see that $\forall n \in \mathbb{N}, \forall x, y \in X, |f_n(x) - f_n(y)| \leq M \cdot D$. \\

Equicontinuous: Let $\epsilon > 0$. Let $\delta = \frac{\epsilon}{M}$. $\Rightarrow \forall n \in \mathbb{N}, \forall x, z \in X, d(x, z) < \delta \Rightarrow |f_n(x) - f_n(z)| \leq M \cdot d(x, z) < M \cdot \frac{\epsilon}{M} = \epsilon$ \\

From our claim and the proof of Theorem 2.12 we see that A is precompact. Then \bar{A} is compact $\Rightarrow \bar{A}$ sequentially compact by Theorem 1.62. Then there exists a subsequence of $\{f_n\}_{n=1}^{\infty}$ convergent to $f(x) \in C(X)$. Specifically, this means that we have a subsequence of $\{f_n\}_{n=1}^{\infty}$ that converges to f uniformly \Rightarrow our subsequence converges pointwise.

%Statement found on Davis PhD preliminary Exam, Winter 2005, problem 1 and in Applied Analysis Exercise 3.1. This was on homework assignment 4.

\section{Problem 4}

\begin{problem}

Show that the map of the real numbers defined by $T(x) = \frac{\pi}{2} + x - \arctan(x)$ has no fixed points and that $|T(x) - T(y)| < |x - y|$ for every pair $x \neq y$. Why is this consistent with the contraction mapping theorem?

\end{problem}

\begin{solution}

This problem is identical to a problem solved on Assignment 4. For solutions see the appropriate solution set posted on-line. A lesson in this problem is best demonstrated by the following quote:

"Human reasoning processes depend more on memory retrieval and analogy than on application of formal logical rules." (Mathematics Education by L. English and G. Halford, pg 54).

\end{solution}

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%Statement found on Davis PhD preliminary Exam, Winter 2009, problem 2.

\section{Problem 3}

\begin{problem}

Show that if $f \in C([0, 1])$ and $0 = \int_0^1 x^n f(x) dx$ for every $n \geq 0$ then f is (the constant function) zero.

\end{problem}

\begin{solution}

Relevant definitions, theorems and exercises to this problem include definition 12.27, theorem 2.9, theorem 12.35 and exercise 2.6 from Applied Analysis.

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\begin{enumerate}
  \item  $[0, 1]$  is a compact set by the Bolzano Weierstrass theorem
  \item  $f \in C([0,1]) \Rightarrow f$  bounded, achieve min and max, uniformly continuous, and can be approximated by polynomials.
  \item The Stone Weierstrass theorem and corollaries (including Weierstrass Approx theorem) guarantee a sequence of polynomials converging uniformly to  $f(x)$  on our compact set.
  \item for any polynomial of finite degree  $m$ ,  $p(x) = \sum_{j=1}^m a_j x^j$ .
  \item By a homework problem, uniform convergence  $\Rightarrow L^1$  convergence
  \item Mathematically 5 states  $\|p_n - f\|_{\text{unif}} \rightarrow 0$  as  $n \rightarrow \infty \Rightarrow \lim_{n \rightarrow \infty} \int_0^1 \{p_n(x) - f(x)\} dx = 0$ 
\end{enumerate}
\end{solution}

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Proof:

Let the antecedents discussed in the problem statement hold. We know by a corollary of the Stone-Weierstrass theorem (known as the Weierstrass Approximation Theorem in Applied Analysis), there is a sequence of polynomials $\{p_n\}_{n=1}^{\infty}$ converging uniformly to $f(x)$. Then we have that

$$\int_0^1 \{p_n(x) \cdot f(x)\} dx = \int_0^1 \{p_n(x)\} \cdot \lim_{n \rightarrow \infty} \{f(x)\} dx = \lim_{n \rightarrow \infty} \int_0^1 \{p_n(x) \cdot f(x)\} dx$$

Then using our observation about polynomials we know

$$\int_0^1 \{p_n(x) \cdot f(x)\} dx = \int_0^1 \{\sum_{j=1}^m a_j x^j \cdot f(x)\} dx = \sum_{j=1}^m a_j \int_0^1 \{x^j \cdot f(x)\} dx = 0$$

where the last equality comes from the assumption that $\int_0^1 x^n f(x) dx = 0$ for every $n \geq 0$.

Now, we claim that for $f: [0, 1] \rightarrow \mathbb{R}$ continuous, $\int_0^1 (f(x))^2 dx = 0 \Rightarrow f(x) = 0$.

Suppose, hoping for contradiction this was not true. Then there exists some $x^* \in [0, 1]$ such that $f(x^*) \neq 0 \Rightarrow (f(x^*))^2 > 0$. Since f is continuous, we know f^2 is continuous. Letting $\epsilon = \frac{(f(x^*))^2}{2}$ we know there is some δ such that $f(x)^2 > \frac{\epsilon}{2}$ for all $x \in B_{\delta/2}(x^*)$.

$$0 < \delta \cdot \frac{\epsilon}{2} = \int_{B_{\delta/2}(x^*)} \{(f(x))^2\} dx < \int_{B_{\delta/2}(x^*)} \{(f(x))^2\} dx = 0$$

Thus, we have a contradiction and our claim holds.

Remark

To master this problem on this exam, a formal proof of our last conjecture was not necessary. However, the mathematical theory behind such an argument is well worth learning.

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