EXERCISES 16.6

Finding Parametrizations for Surfaces

In Exercises 1-16, find a parametrization of the surface. (There are many correct ways to do these, so your answers may not be the same as those in the back of the book.)

- 1. The paraboloid $z = x^2 + y^2$, $z \le 4$
- 2. The paraboloid $z = 9 x^2 y^2$, $z \ge 0$
- **3. Cone frustum** The first-octant portion of the cone $z = \sqrt{x^2 + y^2}/2$ between the planes z = 0 and z = 3
- **4. Cone frustum** The portion of the cone $z = 2\sqrt{x^2 + y^2}$ between the planes z = 2 and z = 4
- 5. Spherical cap The cap cut from the sphere $x^2 + y^2 + z^2 = 9$ by the cone $z = \sqrt{x^2 + y^2}$
- **6. Spherical cap** The portion of the sphere $x^2 + y^2 + z^2 = 4$ in the first octant between the *xy*-plane and the cone $z = \sqrt{x^2 + y^2}$
- 7. **Spherical band** The portion of the sphere $x^2 + y^2 + z^2 = 3$ between the planes $z = \sqrt{3}/2$ and $z = -\sqrt{3}/2$
- **8. Spherical cap** The upper portion cut from the sphere $x^2 + y^2 + z^2 = 8$ by the plane z = -2
- 9. Parabolic cylinder between planes The surface cut from the parabolic cylinder $z = 4 y^2$ by the planes x = 0, x = 2, and z = 0
- 10. Parabolic cylinder between planes The surface cut from the parabolic cylinder $y = x^2$ by the planes z = 0, z = 3 and y = 2
- 11. Circular cylinder band The portion of the cylinder $y^2 + z^2 = 9$ between the planes x = 0 and x = 3
- 12. Circular cylinder band The portion of the cylinder $x^2 + z^2 = 4$ above the xy-plane between the planes y = -2 and y = 2
- 13. Tilted plane inside cylinder The portion of the plane x + y + z = 1
 - **a.** Inside the cylinder $x^2 + y^2 = 9$
 - **b.** Inside the cylinder $y^2 + z^2 = 9$
- 14. Tilted plane inside cylinder The portion of the plane x y + 2z = 2
 - a. Inside the cylinder $x^2 + z^2 = 3$
 - **b.** Inside the cylinder $y^2 + z^2 = 2$
- 15. Circular cylinder band The portion of the cylinder $(x-2)^2 + z^2 = 4$ between the planes y = 0 and y = 3
- **16. Circular cylinder band** The portion of the cylinder $y^2 + (z 5)^2 = 25$ between the planes x = 0 and x = 10

Areas of Parametrized Surfaces

In Exercises 17-26, use a parametrization to express the area of the surface as a double integral. Then evaluate the integral. (There are

many correct ways to set up the integrals, so your integrals may not be the same as those in the back of the book. They should have the same values, however.)

- 17. Titled plane inside cylinder The portion of the plane y + 2z = 2 inside the cylinder $x^2 + y^2 = 1$
- **18. Plane inside cylinder** The portion of the plane z = -x inside the cylinder $x^2 + y^2 = 4$
- **19. Cone frustum** The portion of the cone $z = 2\sqrt{x^2 + y^2}$ between the planes z = 2 and z = 6
- **20. Cone frustum** The portion of the cone $z = \sqrt{x^2 + y^2}/3$ between the planes z = 1 and z = 4/3
- **21. Circular cylinder band** The portion of the cylinder $x^2 + y^2 = 1$ between the planes z = 1 and z = 4
- 22. Circular cylinder band The portion of the cylinder $x^2 + z^2 = 10$ between the planes y = -1 and y = 1
- **23. Parabolic cap** The cap cut from the paraboloid $z = 2 x^2 y^2$ by the cone $z = \sqrt{x^2 + y^2}$
- **24. Parabolic band** The portion of the paraboloid $z = x^2 + y^2$ between the planes z = 1 and z = 4
- **25. Sawed-off sphere** The lower portion cut from the sphere $x^2 + y^2 + z^2 = 2$ by the cone $z = \sqrt{x^2 + y^2}$
- **26. Spherical band** The portion of the sphere $x^2 + y^2 + z^2 = 4$ between the planes z = -1 and $z = \sqrt{3}$

Integrals Over Parametrized Surfaces

In Exercises 27-34, integrate the given function over the given surface.

- 27. Parabolic cylinder G(x, y, z) = x, over the parabolic cylinder $y = x^2$, $0 \le x \le 2$, $0 \le z \le 3$
- **28. Circular cylinder** G(x, y, z) = z, over the cylindrical surface $y^2 + z^2 = 4, z \ge 0, 1 \le x \le 4$
- **29. Sphere** $G(x, y, z) = x^2$, over the unit sphere $x^2 + y^2 + z^2 = 1$
- **30. Hemisphere** $G(x, y, z) = z^2$, over the hemisphere $x^2 + y^2 + z^2 = a^2, z \ge 0$
- 31. Portion of plane F(x, y, z) = z, over the portion of the plane x + y + z = 4 that lies above the square $0 \le x \le 1$, $0 \le y \le 1$, in the xy-plane
- **32.** Cone F(x, y, z) = z x, over the cone $z = \sqrt{x^2 + y^2}$, 0 < z < 1
- **33. Parabolic dome** $H(x, y, z) = x^2 \sqrt{5 4z}$, over the parabolic dome $z = 1 x^2 y^2$, $z \ge 0$
- **34. Spherical cap** H(x, y, z) = yz, over the part of the sphere $x^2 + y^2 + z^2 = 4$ that lies above the cone $z = \sqrt{x^2 + y^2}$

Flux Across Parametrized Surfaces

In Exercises 35–44, use a parametrization to find the flux $\iint_S \mathbf{F} \cdot \mathbf{n} \ d\sigma$ across the surface in the given direction.

- **35. Parabolic cylinder** $\mathbf{F} = z^2 \mathbf{i} + x \mathbf{j} 3z \mathbf{k}$ outward (normal away from the *x*-axis) through the surface cut from the parabolic cylinder $z = 4 y^2$ by the planes x = 0, x = 1, and z = 0
- 36. Parabolic cylinder $\mathbf{F} = x^2 \mathbf{j} xz\mathbf{k}$ outward (normal away from the yz-plane) through the surface cut from the parabolic cylinder $y = x^2$, $-1 \le x \le 1$, by the planes z = 0 and z = 2
- 37. Sphere $\mathbf{F} = z\mathbf{k}$ across the portion of the sphere $x^2 + y^2 + z^2 = a^2$ in the first octant in the direction away from the origin
- **38. Sphere** $\mathbf{F} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ across the sphere $x^2 + y^2 + z^2 = a^2$ in the direction away from the origin
- 39. Plane $\mathbf{F} = 2xy\mathbf{i} + 2yz\mathbf{j} + 2xz\mathbf{k}$ upward across the portion of the plane x + y + z = 2a that lies above the square $0 \le x \le a$, $0 \le y \le a$, in the xy-plane
- **40.** Cylinder $\mathbf{F} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ outward through the portion of the cylinder $x^2 + y^2 = 1$ cut by the planes z = 0 and z = a
- 41. Cone $\mathbf{F} = xy\mathbf{i} z\mathbf{k}$ outward (normal away from the z-axis) through the cone $z = \sqrt{x^2 + y^2}$, $0 \le z \le 1$
- **42.** Cone $\mathbf{F} = y^2 \mathbf{i} + xz \mathbf{j} \mathbf{k}$ outward (normal away from the z-axis) through the cone $z = 2\sqrt{x^2 + y^2}$, $0 \le z \le 2$
- **43.** Cone frustum $\mathbf{F} = -x\mathbf{i} y\mathbf{j} + z^2\mathbf{k}$ outward (normal away from the z-axis) through the portion of the cone $z = \sqrt{x^2 + y^2}$ between the planes z = 1 and z = 2
- **44. Paraboloid** $\mathbf{F} = 4x\mathbf{i} + 4y\mathbf{j} + 2\mathbf{k}$ outward (normal way from the z-axis) through the surface cut from the bottom of the paraboloid $z = x^2 + y^2$ by the plane z = 1

Moments and Masses

- 45. Find the centroid of the portion of the sphere $x^2 + y^2 + z^2 = a^2$ that lies in the first octant.
- **46.** Find the center of mass and the moment of inertia and radius of gyration about the z-axis of a thin shell of constant density δ cut from the cone $x^2 + y^2 z^2 = 0$ by the planes z = 1 and z = 2.
- 47. Find the moment of inertia about the z-axis of a thin spherical shell $x^2 + y^2 + z^2 = a^2$ of constant density δ .
- **48.** Find the moment of inertia about the z-axis of a thin conical shell $z = \sqrt{x^2 + y^2}$, $0 \le z \le 1$, of constant density δ .

Planes Tangent to Parametrized Surfaces

The tangent plane at a point $P_0(f(u_0, v_0), g(u_0, v_0), h(u_0, v_0))$ on a parametrized surface $\mathbf{r}(u, v) = f(u, v)\mathbf{i} + g(u, v)\mathbf{j} + h(u, v)\mathbf{k}$ is the plane through P_0 normal to the vector $\mathbf{r}_u(u_0, v_0) \times \mathbf{r}_v(u_0, v_0)$, the cross product of the tangent vectors $\mathbf{r}_u(u_0, v_0)$ and $\mathbf{r}_v(u_0, v_0)$ at P_0 . In Exercises 49–52, find an equation for the plane tangent to the surface at P_0 . Then find a Cartesian equation for the surface and sketch the surface and tangent plane together.

- **49.** Cone The cone $\mathbf{r}(r,\theta) = (r\cos\theta)\mathbf{i} + (r\sin\theta)\mathbf{j} + r\mathbf{k}, r \ge 0$, $0 \le \theta \le 2\pi$ at the point $P_0(\sqrt{2}, \sqrt{2}, 2)$ corresponding to $(r,\theta) = (2, \pi/4)$
- **50.** Hemisphere The hemisphere surface $\mathbf{r}(\phi, \theta) = (4 \sin \phi \cos \theta)\mathbf{i} + (4 \sin \phi \sin \theta)\mathbf{j} + (4 \cos \phi)\mathbf{k}, 0 \le \phi \le \pi/2, 0 \le \theta \le 2\pi, \text{ at the point } P_0(\sqrt{2}, \sqrt{2}, 2\sqrt{3}) \text{ corresponding to } (\phi, \theta) = (\pi/6, \pi/4)$
- **51.** Circular cylinder The circular cylinder $\mathbf{r}(\theta, z) = (3 \sin 2\theta)\mathbf{i} + (6 \sin^2 \theta)\mathbf{j} + z\mathbf{k}, 0 \le \theta \le \pi$, at the point $P_0(3\sqrt{3}/2, 9/2, 0)$ corresponding to $(\theta, z) = (\pi/3, 0)$ (See Example 3.)
- **52. Parabolic cylinder** The parabolic cylinder surface $\mathbf{r}(x, y) = x\mathbf{i} + y\mathbf{j} x^2\mathbf{k}, -\infty < x < \infty, -\infty < y < \infty$, at the point $P_0(1, 2, -1)$ corresponding to (x, y) = (1, 2)

Further Examples of Parametrizations

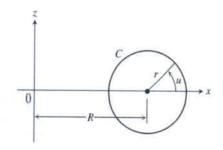
53. a. A torus of revolution (doughnut) is obtained by rotating a circle C in the xz-plane about the z-axis in space. (See the accompanying figure.) If C has radius r > 0 and center (R, 0, 0), show that a parametrization of the torus is

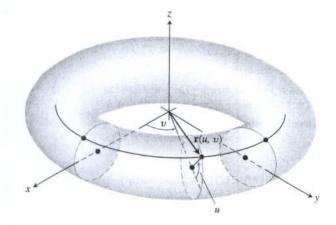
$$\mathbf{r}(u, v) = ((R + r\cos u)\cos v)\mathbf{i}$$

$$+ ((R + r\cos u)\sin v)\mathbf{j} + (r\sin u)\mathbf{k}$$

where $0 \le u \le 2\pi$ and $0 \le v \le 2\pi$ are the angles in the figure.

b. Show that the surface area of the torus is $A = 4\pi^2 Rr$.

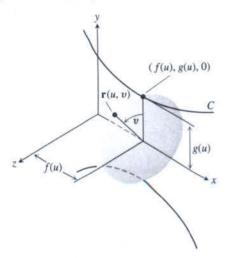




- 54. Parametrization of a surface of revolution Suppose that the parametrized curve C: (f(u), g(u)) is revolved about the x-axis, where g(u) > 0 for $a \le u \le b$.
 - a. Show that

$$\mathbf{r}(u, v) = f(u)\mathbf{i} + (g(u)\cos v)\mathbf{j} + (g(u)\sin v)\mathbf{k}$$

is a parametrization of the resulting surface of revolution, where $0 \le v \le 2\pi$ is the angle from the *xy*-plane to the point $\mathbf{r}(u,v)$ on the surface. (See the accompanying figure.) Notice that f(u) measures distance *along* the axis of revolution and g(u) measures distance *from* the axis of revolution.



- **b.** Find a parametrization for the surface obtained by revolving the curve $x = y^2$, $y \ge 0$, about the x-axis.
- 55. a. Parametrization of an ellipsoid Recall the parametrization $x = a \cos \theta$, $y = b \sin \theta$, $0 \le \theta \le 2\pi$ for the ellipse $(x^2/a^2) + (y^2/b^2) = 1$ (Section 3.5, Example 13). Using the angles θ and ϕ in spherical coordinates, show that

$$\mathbf{r}(\theta,\phi) = (a\cos\theta\cos\phi)\mathbf{i} + (b\sin\theta\cos\phi)\mathbf{j} + (c\sin\phi)\mathbf{k}$$

is a parametrization of the ellipsoid
$$(x^2/a^2) + (y^2/b^2) + (z^2/c^2) = 1$$
.

b. Write an integral for the surface area of the ellipsoid, but do not evaluate the integral.

56. Hyperboloid of one sheet

- a. Find a parametrization for the hyperboloid of one sheet $x^2 + y^2 z^2 = 1$ in terms of the angle θ associated with the circle $x^2 + y^2 = r^2$ and the hyperbolic parameter u associated with the hyperbolic function $r^2 z^2 = 1$. (See Section 7.8, Exercise 84.)
- **b.** Generalize the result in part (a) to the hyperboloid $(x^2/a^2) + (y^2/b^2) (z^2/c^2) = 1$.
- 57. (Continuation of Exercise 56.) Find a Cartesian equation for the plane tangent to the hyperboloid $x^2 + y^2 z^2 = 25$ at the point $(x_0, y_0, 0)$, where $x_0^2 + y_0^2 = 25$.
- **58.** Hyperboloid of two sheets Find a parametrization of the hyperboloid of two sheets $(z^2/c^2) (x^2/a^2) (y^2/b^2) = 1$.

16.7

Stokes' Theorem

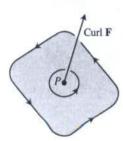


FIGURE 16.59 The circulation vector at a point *P* in a plane in a three-dimensional fluid flow. Notice its right-hand relation to the circulation line.

As we saw in Section 16.4, the circulation density or curl component of a two-dimensional field $\mathbf{F} = M\mathbf{i} + N\mathbf{j}$ at a point (x, y) is described by the scalar quantity $(\partial N/\partial x - \partial M/\partial y)$. In three dimensions, the circulation around a point P in a plane is described with a vector. This vector is normal to the plane of the circulation (Figure 16.59) and points in the direction that gives it a right-hand relation to the circulation line. The length of the vector gives the rate of the fluid's rotation, which usually varies as the circulation plane is tilted about P. It turns out that the vector of greatest circulation in a flow with velocity field $\mathbf{F} = M\mathbf{i} + N\mathbf{j} + P\mathbf{k}$ is the **curl vector**

$$\operatorname{curl} \mathbf{F} = \left(\frac{\partial P}{\partial y} - \frac{\partial N}{\partial z}\right)\mathbf{i} + \left(\frac{\partial M}{\partial z} - \frac{\partial P}{\partial x}\right)\mathbf{j} + \left(\frac{\partial N}{\partial x} - \frac{\partial M}{\partial y}\right)\mathbf{k}.\tag{1}$$

We get this information from Stokes' Theorem, the generalization of the circulation-curl form of Green's Theorem to space.

Notice that $(\operatorname{curl} \mathbf{F}) \cdot \mathbf{k} = (\partial N/\partial x - \partial M/\partial y)$ is consistent with our definition in Section 16.4 when $\mathbf{F} = M(x, y)\mathbf{i} + N(x, y)\mathbf{j}$. The formula for curl \mathbf{F} in Equation (1) is often written using the symbolic operator

$$\nabla = \mathbf{i} \frac{\partial}{\partial x} + \mathbf{j} \frac{\partial}{\partial y} + \mathbf{k} \frac{\partial}{\partial z}.$$
 (2)

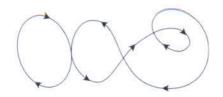


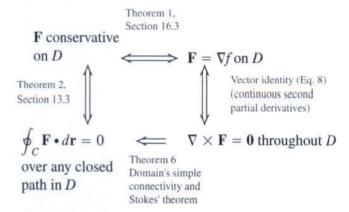
FIGURE 16.68 In a simply connected open region in space, differentiable curves that cross themselves can be divided into loops to which Stokes' Theorem applies.

every differentiable simple closed curve C in a simply connected open region D is the boundary of a smooth two-sided surface S that also lies in D. Hence, by Stokes' Theorem,

$$\oint\limits_C \mathbf{F} \cdot d\mathbf{r} = \iint\limits_S \nabla \times \mathbf{F} \cdot \mathbf{n} \, d\sigma = 0.$$

The second step is for curves that cross themselves, like the one in Figure 16.68. The idea is to break these into simple loops spanned by orientable surfaces, apply Stokes' Theorem one loop at a time, and add the results.

The following diagram summarizes the results for conservative fields defined on connected, simply connected open regions.



EXERCISES 16.7

Using Stokes' Theorem to Calculate Circulation

In Exercises 1-6, use the surface integral in Stokes' Theorem to calculate the circulation of the field \mathbf{F} around the curve C in the indicated direction.

1.
$$\mathbf{F} = x^2 \mathbf{i} + 2x \mathbf{j} + z^2 \mathbf{k}$$

C: The ellipse $4x^2 + y^2 = 4$ in the xy-plane, counterclockwise when viewed from above

2.
$$\mathbf{F} = 2y\mathbf{i} + 3x\mathbf{j} - z^2\mathbf{k}$$

C: The circle $x^2 + y^2 = 9$ in the xy-plane, counterclockwise when viewed from above

$$3. \mathbf{F} = y\mathbf{i} + xz\mathbf{j} + x^2\mathbf{k}$$

C: The boundary of the triangle cut from the plane x + y + z = 1 by the first octant, counterclockwise when viewed from above

4.
$$\mathbf{F} = (y^2 + z^2)\mathbf{i} + (x^2 + z^2)\mathbf{j} + (x^2 + y^2)\mathbf{k}$$

C: The boundary of the triangle cut from the plane x + y + z = 1 by the first octant, counterclockwise when viewed from above

5.
$$\mathbf{F} = (y^2 + z^2)\mathbf{i} + (x^2 + y^2)\mathbf{j} + (x^2 + y^2)\mathbf{k}$$

C: The square bounded by the lines $x = \pm 1$ and $y = \pm 1$ in the xy-plane, counterclockwise when viewed from above

6.
$$\mathbf{F} = x^2 y^3 \mathbf{i} + \mathbf{j} + z \mathbf{k}$$

C: The intersection of the cylinder $x^2 + y^2 = 4$ and the hemisphere $x^2 + y^2 + z^2 = 16$, $z \ge 0$, counterclockwise when viewed from above.

Flux of the Curl

7. Let n be the outer unit normal of the elliptical shell

S:
$$4x^2 + 9y^2 + 36z^2 = 36$$
, $z \ge 0$

and let

$$\mathbf{F} = y\mathbf{i} + x^2\mathbf{j} + (x^2 + y^4)^{3/2} \sin e^{\sqrt{xyz}} \mathbf{k}$$

Find the value of

$$\iint\limits_{S} \nabla \times \mathbf{F} \cdot \mathbf{n} \, d\sigma.$$

(*Hint*: One parametrization of the ellipse at the base of the shell is $x = 3 \cos t$, $y = 2 \sin t$, $0 \le t \le 2\pi$.)

8. Let n be the outer unit normal (normal away from the origin) of the parabolic shell

S:
$$4x^2 + y + z^2 = 4$$
, $y \ge 0$,

and let

$$\mathbf{F} = \left(-z + \frac{1}{2+x}\right)\mathbf{i} + (\tan^{-1}y)\mathbf{j} + \left(x + \frac{1}{4+z}\right)\mathbf{k}.$$

Find the value of

$$\iint\limits_{\mathbb{S}} \nabla \times \mathbf{F} \cdot \mathbf{n} \ d\sigma.$$

- 9. Let S be the cylinder $x^2 + y^2 = a^2$, $0 \le z \le h$, together with its top, $x^2 + y^2 \le a^2$, z = h. Let $F = -yi + xj + x^2k$. Use Stokes' Theorem to find the flux of $\nabla \times F$ outward through S.
- 10. Evaluate

$$\iint\limits_{\Sigma} \nabla \times (y\mathbf{i}) \cdot \mathbf{n} \ d\sigma,$$

where S is the hemisphere $x^2 + y^2 + z^2 = 1, z \ge 0$.

11. Flux of curl F Show that

$$\iint\limits_{S} \nabla \times \mathbf{F} \cdot \mathbf{n} \, d\sigma$$

has the same value for all oriented surfaces S that span C and that induce the same positive direction on C.

12. Let F be a differentiable vector field defined on a region containing a smooth closed oriented surface S and its interior. Let n be the unit normal vector field on S. Suppose that S is the union of two surfaces S₁ and S₂ joined along a smooth simple closed curve C. Can anything be said about

$$\iint\limits_{S} \nabla \times \mathbf{F} \cdot \mathbf{n} \, d\sigma?$$

Give reasons for your answer.

Stokes' Theorem for Parametrized Surfaces

In Exercises 13-18, use the surface integral in Stokes' Theorem to calculate the flux of the curl of the field \mathbf{F} across the surface S in the direction of the outward unit normal \mathbf{n} .

13. F = 2zi + 3xi + 5vk

S:
$$\mathbf{r}(r,\theta) = (r\cos\theta)\mathbf{i} + (r\sin\theta)\mathbf{j} + (4-r^2)\mathbf{k}$$
,

$$0 \le r \le 2$$
, $0 \le \theta \le 2\pi$

14.
$$\mathbf{F} = (y - z)\mathbf{i} + (z - x)\mathbf{j} + (x + z)\mathbf{k}$$

S:
$$\mathbf{r}(r,\theta) = (r\cos\theta)\mathbf{i} + (r\sin\theta)\mathbf{j} + (9-r^2)\mathbf{k},$$

 $0 \le r \le 3, \quad 0 \le \theta \le 2\pi$

15.
$$\mathbf{F} = x^2 v \mathbf{i} + 2 v^3 z \mathbf{i} + 3 z \mathbf{k}$$

S:
$$\mathbf{r}(r,\theta) = (r\cos\theta)\mathbf{i} + (r\sin\theta)\mathbf{j} + r\mathbf{k}$$

$$0 \le r \le 1$$
, $0 \le \theta \le 2\pi$

16.
$$\mathbf{F} = (x - y)\mathbf{i} + (y - z)\mathbf{j} + (z - x)\mathbf{k}$$

S:
$$\mathbf{r}(r,\theta) = (r\cos\theta)\mathbf{i} + (r\sin\theta)\mathbf{j} + (5-r)\mathbf{k}$$

$$0 \le r \le 5$$
, $0 \le \theta \le 2\pi$

17.
$$\mathbf{F} = 3y\mathbf{i} + (5 - 2x)\mathbf{j} + (z^2 - 2)\mathbf{k}$$

S:
$$\mathbf{r}(\phi, \theta) = (\sqrt{3} \sin \phi \cos \theta)\mathbf{i} + (\sqrt{3} \sin \phi \sin \theta)\mathbf{j} + (\sqrt{3} \cos \phi)\mathbf{k}, \quad 0 \le \phi \le \pi/2, \quad 0 \le \theta \le 2\pi$$

18.
$$\mathbf{F} = y^2 \mathbf{i} + z^2 \mathbf{j} + x \mathbf{k}$$

S:
$$\mathbf{r}(\phi, \theta) = (2 \sin \phi \cos \theta)\mathbf{i} + (2 \sin \phi \sin \theta)\mathbf{j} + (2 \cos \phi)\mathbf{k},$$

 $0 \le \phi \le \pi/2, \quad 0 \le \theta \le 2\pi$

Theory and Examples

19. Zero circulation Use the identity $\nabla \times \nabla f = \mathbf{0}$ (Equation (8) in the text) and Stokes' Theorem to show that the circulations of the following fields around the boundary of any smooth orientable surface in space are zero.

$$\mathbf{a.} \ \mathbf{F} = 2x\mathbf{i} + 2y\mathbf{j} + 2z\mathbf{k}$$

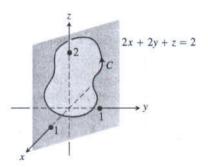
b.
$$\mathbf{F} = \nabla (xy^2z^3)$$

c.
$$\mathbf{F} = \nabla \times (x\mathbf{i} + y\mathbf{j} + z\mathbf{k})$$

d.
$$\mathbf{F} = \nabla f$$

- **20. Zero circulation** Let $f(x, y, z) = (x^2 + y^2 + z^2)^{-1/2}$. Show that the clockwise circulation of the field $\mathbf{F} = \nabla f$ around the circle $x^2 + y^2 = a^2$ in the xy-plane is zero
 - **a.** by taking $\mathbf{r} = (a\cos t)\mathbf{i} + (a\sin t)\mathbf{j}$, $0 \le t \le 2\pi$, and integrating $\mathbf{F} \cdot d\mathbf{r}$ over the circle.
 - b. by applying Stokes' Theorem.
- 21. Let C be a simple closed smooth curve in the plane 2x + 2y + z = 2, oriented as shown here. Show that

$$\oint_C 2y \, dx + 3z \, dy - x \, dz$$



depends only on the area of the region enclosed by C and not on the position or shape of C.

- 22. Show that if $\mathbf{F} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$, then $\nabla \times \mathbf{F} = \mathbf{0}$.
- 23. Find a vector field with twice-differentiable components whose curl is $x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ or prove that no such field exists.
- 24. Does Stokes' Theorem say anything special about circulation in a field whose curl is zero? Give reasons for your answer.
- 25. Let R be a region in the xy-plane that is bounded by a piecewise-smooth simple closed curve C and suppose that the moments of

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inertia of R about the x- and y-axes are known to be I_x and I_y . Evaluate the integral

$$\oint\limits_C \nabla(r^4) \cdot \mathbf{n} \ ds,$$

where $r = \sqrt{x^2 + y^2}$, in terms of I_x and I_y .

26. Zero curl, yet field not conservative Show that the curl of

$$\mathbf{F} = \frac{-y}{x^2 + y^2}\mathbf{i} + \frac{x}{x^2 + y^2}\mathbf{j} + z\mathbf{k}$$

is zero but that

$$\oint_C \mathbf{F} \cdot d\mathbf{r}$$

is not zero if C is the circle $x^2 + y^2 = 1$ in the xy-plane. (Theorem 6 does not apply here because the domain of F is not simply connected. The field F is not defined along the z-axis so there is no way to contract C to a point without leaving the domain of F.)

16.8

The Divergence Theorem and a Unified Theory

The divergence form of Green's Theorem in the plane states that the net outward flux of a vector field across a simple closed curve can be calculated by integrating the divergence of the field over the region enclosed by the curve. The corresponding theorem in three dimensions, called the Divergence Theorem, states that the net outward flux of a vector field across a closed surface in space can be calculated by integrating the divergence of the field over the region enclosed by the surface. In this section, we prove the Divergence Theorem and show how it simplifies the calculation of flux. We also derive Gauss's law for flux in an electric field and the continuity equation of hydrodynamics. Finally, we unify the chapter's vector integral theorems into a single fundamental theorem.

Divergence in Three Dimensions

The **divergence** of a vector field $\mathbf{F} = M(x, y, z)\mathbf{i} + N(x, y, z)\mathbf{j} + P(x, y, z)\mathbf{k}$ is the scalar function

$$\operatorname{div} \mathbf{F} = \nabla \cdot \mathbf{F} = \frac{\partial M}{\partial x} + \frac{\partial N}{\partial y} + \frac{\partial P}{\partial z}.$$
 (1)

The symbol "div F" is read as "divergence of F" or "div F." The notation $\nabla \cdot \mathbf{F}$ is read "del dot F."

Div **F** has the same physical interpretation in three dimensions that it does in two. If **F** is the velocity field of a fluid flow, the value of div **F** at a point (x, y, z) is the rate at which fluid is being piped in or drained away at (x, y, z). The divergence is the flux per unit volume or flux density at the point.

EXAMPLE 1 Finding Divergence

Find the divergence of $\mathbf{F} = 2xz\mathbf{i} - xy\mathbf{j} - z\mathbf{k}$.

Solution The divergence of F is

$$\nabla \cdot \mathbf{F} = \frac{\partial}{\partial x} (2xz) + \frac{\partial}{\partial y} (-xy) + \frac{\partial}{\partial z} (-z) = 2z - x - 1.$$

The Fundamental Theorem of Calculus, the normal form of Green's Theorem, and the Divergence Theorem all say that the integral of the differential operator $\nabla \cdot$ operating on a field \mathbf{F} over a region equals the sum of the normal field components over the boundary of the region. (Here we are interpreting the line integral in Green's Theorem and the surface integral in the Divergence Theorem as "sums" over the boundary.)

Stokes' Theorem and the tangential form of Green's Theorem say that, when things are properly oriented, the integral of the normal component of the curl operating on a field equals the sum of the tangential field components on the boundary of the surface.

The beauty of these interpretations is the observance of a single unifying principle, which we might state as follows.

The integral of a differential operator acting on a field over a region equals the sum of the field components appropriate to the operator over the boundary of the region.

EXERCISES 16.8

Calculating Divergence

In Exercises 1-4, find the divergence of the field.

- 1. The spin field in Figure 16.14.
- 2. The radial field in Figure 16.13.
- 3. The gravitational field in Figure 16.9.
- 4. The velocity field in Figure 16.12.

Using the Divergence Theorem to Calculate Outward Flux

In Exercises 5-16, use the Divergence Theorem to find the outward flux of F across the boundary of the region D.

- 5. Cube F = (y x)i + (z y)j + (y x)k
 - D: The cube bounded by the planes $x = \pm 1, y = \pm 1$, and $z = \pm 1$
- **6.** $\mathbf{F} = x^2 \mathbf{i} + y^2 \mathbf{j} + z^2 \mathbf{k}$
 - **a.** Cube D: The cube cut from the first octant by the planes x = 1, y = 1, and z = 1
 - **b. Cube** D: The cube bounded by the planes $x = \pm 1$, $y = \pm 1$, and $z = \pm 1$
 - c. Cylindrical can D: The region cut from the solid cylinder $x^2 + y^2 \le 4$ by the planes z = 0 and
- 7. Cylinder and paraboloid $\mathbf{F} = y\mathbf{i} + xy\mathbf{j} z\mathbf{k}$
 - D: The region inside the solid cylinder $x^2 + y^2 \le 4$ between the plane z = 0 and the paraboloid $z = x^2 + y^2$

- **8. Sphere** $F = x^2i + xzj + 3zk$
 - D: The solid sphere $x^2 + y^2 + z^2 \le 4$
- 9. Portion of sphere $\mathbf{F} = x^2\mathbf{i} 2xy\mathbf{j} + 3xz\mathbf{k}$
 - D: The region cut from the first octant by the sphere $x^2 + y^2 + z^2 = 4$
- 10. Cylindrical can $\mathbf{F} = (6x^2 + 2xy)\mathbf{i} + (2y + x^2z)\mathbf{j} + 4x^2y^3\mathbf{k}$
 - D: The region cut from the first octant by the cylinder $x^2 + y^2 = 4$ and the plane z = 3
- 11. Wedge $\mathbf{F} = 2xz\mathbf{i} xy\mathbf{j} z^2\mathbf{k}$
 - D: The wedge cut from the first octant by the plane y + z = 4 and the elliptical cylinder $4x^2 + y^2 = 16$
- 12. Sphere $F = x^3i + y^3j + z^3k$
 - D: The solid sphere $x^2 + y^2 + z^2 \le a^2$
- 13. Thick sphere $F = \sqrt{x^2 + y^2 + z^2} (xi + yj + zk)$
 - D: The region $1 \le x^2 + y^2 + z^2 \le 2$
- **14. Thick sphere** $\mathbf{F} = (x\mathbf{i} + y\mathbf{j} + z\mathbf{k})/\sqrt{x^2 + y^2 + z^2}$
 - D: The region $1 \le x^2 + y^2 + z^2 \le 4$
- 15. Thick sphere $\mathbf{F} = (5x^3 + 12xy^2)\mathbf{i} + (y^3 + e^y \sin z)\mathbf{j} + (5z^3 + e^y \cos z)\mathbf{k}$
 - D: The solid region between the spheres $x^2 + y^2 + z^2 = 1$ and $x^2 + y^2 + z^2 = 2$
- 16. Thick cylinder $\mathbf{F} = \ln(x^2 + y^2)\mathbf{i} \left(\frac{2z}{x}\tan^{-1}\frac{y}{x}\right)\mathbf{j} + z\sqrt{x^2 + y^2}\mathbf{k}$
 - D: The thick-walled cylinder $1 \le x^2 + y^2 \le 2$, $-1 \le z \le 2$

Properties of Curl and Divergence

- 17. div (curl G) is zero
 - **a.** Show that if the necessary partial derivatives of the components of the field $\mathbf{G} = M\mathbf{i} + N\mathbf{j} + P\mathbf{k}$ are continuous, then $\nabla \cdot \nabla \times \mathbf{G} = 0$.
 - **b.** What, if anything, can you conclude about the flux of the field $\nabla \times \mathbf{G}$ across a closed surface? Give reasons for your answer.
- 18. Let \mathbf{F}_1 and \mathbf{F}_2 be differentiable vector fields and let a and b be arbitrary real constants. Verify the following identities.
 - a. $\nabla \cdot (a\mathbf{F}_1 + b\mathbf{F}_2) = a\nabla \cdot \mathbf{F}_1 + b\nabla \cdot \mathbf{F}_2$
 - **b.** $\nabla \times (a\mathbf{F}_1 + b\mathbf{F}_2) = a\nabla \times \mathbf{F}_1 + b\nabla \times \mathbf{F}_2$
 - c. $\nabla \cdot (\mathbf{F}_1 \times \mathbf{F}_2) = \mathbf{F}_2 \cdot \nabla \times \mathbf{F}_1 \mathbf{F}_1 \cdot \nabla \times \mathbf{F}_2$
- 19. Let F be a differentiable vector field and let g(x, y, z) be a differentiable scalar function. Verify the following identities.
 - $\mathbf{a.} \ \nabla \cdot (g\mathbf{F}) = g\nabla \cdot \mathbf{F} + \nabla g \cdot \mathbf{F}$
 - **b.** $\nabla \times (g\mathbf{F}) = g\nabla \times \mathbf{F} + \nabla g \times \mathbf{F}$
- 20. If $\mathbf{F} = M\mathbf{i} + N\mathbf{j} + P\mathbf{k}$ is a differentiable vector field, we define the notation $\mathbf{F} \cdot \nabla$ to mean

$$M\frac{\partial}{\partial x} + N\frac{\partial}{\partial y} + P\frac{\partial}{\partial z}.$$

For differentiable vector fields \mathbf{F}_1 and \mathbf{F}_2 , verify the following identities.

- a. $\nabla \times (\mathbf{F}_1 \times \mathbf{F}_2) = (\mathbf{F}_2 \cdot \nabla)\mathbf{F}_1 (\mathbf{F}_1 \cdot \nabla)\mathbf{F}_2 + (\nabla \cdot \mathbf{F}_2)\mathbf{F}_1 (\nabla \cdot \mathbf{F}_1)\mathbf{F}_2$
- **b.** $\nabla(\mathbf{F}_1 \cdot \mathbf{F}_2) = (\mathbf{F}_1 \cdot \nabla)\mathbf{F}_2 + (\mathbf{F}_2 \cdot \nabla)\mathbf{F}_1 + \mathbf{F}_1 \times (\nabla \times \mathbf{F}_2) + \mathbf{F}_2 \times (\nabla \times \mathbf{F}_1)$

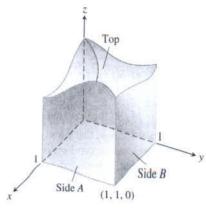
Theory and Examples

21. Let **F** be a field whose components have continuous first partial derivatives throughout a portion of space containing a region D bounded by a smooth closed surface S. If $|\mathbf{F}| \leq 1$, can any bound be placed on the size of

$$\iiint\limits_{D} \nabla \cdot \mathbf{F} \, dV?$$

Give reasons for your answer.

22. The base of the closed cubelike surface shown here is the unit square in the *xy*-plane. The four sides lie in the planes x = 0, x = 1, y = 0, and y = 1. The top is an arbitrary smooth surface whose identity is unknown. Let $\mathbf{F} = x\mathbf{i} - 2y\mathbf{j} + (z+3)\mathbf{k}$ and suppose the outward flux of \mathbf{F} through side A is 1 and through side B is -3. Can you conclude anything about the outward flux through the top? Give reasons for your answer.



- 23. a. Show that the flux of the position vector field $\mathbf{F} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ outward through a smooth closed surface S is three times the volume of the region enclosed by the surface.
 - b. Let n be the outward unit normal vector field on S. Show that it is not possible for F to be orthogonal to n at every point of S.
- **24.** Maximum flux Among all rectangular solids defined by the inequalities $0 \le x \le a$, $0 \le y \le b$, $0 \le z \le 1$, find the one for which the total flux of $\mathbf{F} = (-x^2 4xy)\mathbf{i} 6yz\mathbf{j} + 12z\mathbf{k}$ outward through the six sides is greatest. What is the greatest flux?
- **25.** Volume of a solid region Let $\mathbf{F} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ and suppose that the surface S and region D satisfy the hypotheses of the Divergence Theorem. Show that the volume of D is given by the formula

Volume of
$$D = \frac{1}{3} \iint_{\mathcal{C}} \mathbf{F} \cdot \mathbf{n} \ d\sigma$$
.

- **26.** Flux of a constant field Show that the outward flux of a constant vector field $\mathbf{F} = \mathbf{C}$ across any closed surface to which the Divergence Theorem applies is zero.
- 27. Harmonic functions A function f(x, y, z) is said to be harmonic in a region D in space if it satisfies the Laplace equation

$$\nabla^2 f = \nabla \cdot \nabla f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} + \frac{\partial^2 f}{\partial z^2} = 0$$

throughout D.

- **a.** Suppose that f is harmonic throughout a bounded region D enclosed by a smooth surface S and that \mathbf{n} is the chosen unit normal vector on S. Show that the integral over S of $\nabla f \cdot \mathbf{n}$, the derivative of f in the direction of \mathbf{n} , is zero.
- **b.** Show that if f is harmonic on D, then

$$\iint\limits_{\mathbb{S}} f \, \nabla f \cdot \mathbf{n} \, d\sigma = \iiint\limits_{\mathbb{R}} |\nabla f|^2 \, dV.$$

28. Flux of a gradient field Let S be the surface of the portion of the solid sphere $x^2 + y^2 + z^2 \le a^2$ that lies in the first octant and let $f(x, y, z) = \ln \sqrt{x^2 + y^2 + z^2}$. Calculate

$$\iint\limits_{\Sigma} \nabla f \cdot \mathbf{n} \ d\sigma.$$

 $(\nabla f \cdot \mathbf{n})$ is the derivative of f in the direction of \mathbf{n} .)

29. Green's first formula Suppose that f and g are scalar functions with continuous first- and second-order partial derivatives throughout a region D that is bounded by a closed piecewise-smooth surface S. Show that

$$\iint\limits_{S} f \, \nabla g \cdot \mathbf{n} \, d\sigma = \iiint\limits_{D} \left(f \, \nabla^{2} g + \, \nabla f \cdot \nabla g \right) \, dV. \tag{9}$$

Equation (9) is **Green's first formula**. (*Hint:* Apply the Divergence Theorem to the field $\mathbf{F} = f \nabla g$.)

30. Green's second formula (Continuation of Exercise 29.) Interchange f and g in Equation (9) to obtain a similar formula. Then subtract this formula from Equation (9) to show that

$$\iint\limits_{S} (f \, \nabla g - g \nabla f) \cdot \mathbf{n} \, d\sigma = \iiint\limits_{D} (f \, \nabla^{2} g - g \nabla^{2} f) \, dV. \quad (10)$$

This equation is Green's second formula.

31. Conservation of mass Let $\mathbf{v}(t, x, y, z)$ be a continuously differentiable vector field over the region D in space and let p(t, x, y, z) be a continuously differentiable scalar function. The variable t represents the time domain. The Law of Conservation of Mass asserts that

$$\frac{d}{dt} \iiint\limits_{D} p(t, x, y, z) \ dV = - \iint\limits_{S} p \mathbf{v} \cdot \mathbf{n} \ d\sigma,$$

where S is the surface enclosing D.

- **a.** Give a physical interpretation of the conservation of mass law if v is a velocity flow field and p represents the density of the fluid at point (x, y, z) at time t.
- b. Use the Divergence Theorem and Leibniz's Rule,

$$\frac{d}{dt} \iiint\limits_D p(t,x,y,z) \, dV = \iiint\limits_D \frac{\partial p}{\partial t} \, dV,$$

to show that the Law of Conservation of Mass is equivalent to the continuity equation,

$$\nabla \cdot p\mathbf{v} + \frac{\partial p}{\partial t} = 0$$

(In the first term $\nabla \cdot p\mathbf{v}$, the variable t is held fixed, and in the second term $\partial p/\partial t$, it is assumed that the point (x, y, z) in D is held fixed.)

- 32. The heat diffusion equation Let T(t, x, y, z) be a function with continuous second derivatives giving the temperature at time t at the point (x, y, z) of a solid occupying a region D in space. If the solid's heat capacity and mass density are denoted by the constants c and ρ , respectively, the quantity $c\rho T$ is called the solid's heat energy per unit volume.
 - **a.** Explain why $-\nabla T$ points in the direction of heat flow.
 - b. Let $-k\nabla T$ denote the **energy flux vector**. (Here the constant k is called the **conductivity**.) Assuming the Law of Conservation of Mass with $-k\nabla T = \mathbf{v}$ and $c\rho T = p$ in Exercise 31, derive the diffusion (heat) equation

$$\frac{\partial T}{\partial t} = K \nabla^2 T,$$

where $K = k/(c\rho) > 0$ is the *diffusivity* constant. (Notice that if T(t,x) represents the temperature at time t at position x in a uniform conducting rod with perfectly insulated sides, then $\nabla^2 T = \partial^2 T/\partial x^2$ and the diffusion equation reduces to the one-dimensional heat equation in Chapter 14's Additional Exercises.)

Chapter 16 Questions to Guide Your Review

- 1. What are line integrals? How are they evaluated? Give examples.
- 2. How can you use line integrals to find the centers of mass of springs? Explain.
- 3. What is a vector field? A gradient field? Give examples.
- 4. How do you calculate the work done by a force in moving a particle along a curve? Give an example.
- 5. What are flow, circulation, and flux?
- 6. What is special about path independent fields?
- 7. How can you tell when a field is conservative?

- What is a potential function? Show by example how to find a potential function for a conservative field.
- 9. What is a differential form? What does it mean for such a form to be exact? How do you test for exactness? Give examples.
- 10. What is the divergence of a vector field? How can you interpret it?
- 11. What is the curl of a vector field? How can you interpret it?
- 12. What is Green's theorem? How can you interpret it?
- How do you calculate the area of a curved surface in space? Give an example.