Error Approximation for Backwards and Simple Continued Fractions

Matthew Litman Joint work with Cameron Bjorklund

Number Theory Series in LA II February 8th-9th, 2020

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1 Introduce continued fractions and their properties

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- **3** Introduce the BCF and CF errors $\varepsilon_m(x)$ and $E_n(x)$
- **4** Construct bounds for $\varepsilon_m(x)$ and $E_n(x)$ on cylinder sets

What are Continued Fractions?

The continued fraction (CF) expansion for $x \in \mathbb{R}$ is the expression

$$x = a_0 + rac{1}{a_1 + rac{1}{a_2 + rac{1}{\cdots}}} =: [a_0, a_1, \ldots],$$

where $x_0 = x$, $a_0 = \lfloor x \rfloor$, $x_{i+1} = \frac{1}{x_i - a_i}$, and $a_i = \lfloor x_i \rfloor$. By this construction, we get that $a_0 \in \mathbb{Z}$ and $a_i \ge 1$ for each $i \ge 1$.

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The n^{th} *CF* convergent $\frac{P_n}{Q_n}$ of x is

$$\frac{P_n}{Q_n} = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\cdots + \frac{1}{a_n}}}} = [a_0, ..., a_n].$$

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Backwards Continued Fractions

The *backwards continued fraction* (BCF) expansion of $x \in \mathbb{R}$ is the expression

$$x = b_0 - \frac{1}{b_1 - \frac{1}{b_2 - \frac{1}{\ddots}}} =: [[b_0, b_1, ...]].$$

where $x_0 = x$, $b_0 = \lfloor x \rfloor + 1$, $x_{i+1} = \frac{1}{b_i - x_i}$, and $b_i = \lfloor x_i \rfloor + 1$. By these calculations, we see that $b_0 \in \mathbb{Z}$ and $b_i \ge 2$ for each $i \ge 1$.

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Example: CF and BCF Expansion of $\frac{\pi}{4}$

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Example: CF and BCF Expansion of $\frac{\pi}{4}$

 $\frac{\pi}{4} = [0, 1, 3, 1, 1, 1, 15, 2, 72, ...].$ Let's look at some convergents.

$$\frac{P_0}{Q_0} = [0] = 0 \Rightarrow \qquad \frac{P_0}{Q_0} < \frac{\pi}{4}$$
$$\frac{P_1}{Q_1} = [0, 1] = 1 \Rightarrow \qquad \frac{P_1}{Q_1} > \frac{\pi}{4}$$
$$\frac{P_2}{Q_2} = [0, 1, 3] = \frac{3}{4} \Rightarrow \qquad \frac{P_2}{Q_2} < \frac{\pi}{4}$$

CF convergents alternate between under- and over-estimates.

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 $\frac{\pi}{4} = [[1, 5, 3, 17, 2, 74, 11, ...]].$ Let's look at some convergents.



CF convergents alternate between under- and over-estimates.

BCF convergents converge monotonically from above to the value they are estimating.

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• The denominators Q_m grow exponentially and

$$rac{1}{Q_m Q_{m+2}} \leq \left| x - rac{P_m}{Q_m}
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However, their oscillatory nature makes them hard to work with.

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The set of BCF expansions satisfy the following well-ordering properties:

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Theorem (L-Bjorklund)

The set of BCF expansions satisfy the following well-ordering properties:

$$\begin{array}{l} \mbox{[} [[b_0,...,b_n]] < [[b_0,...,b_n+1]]; \\ \mbox{[} [[b_0,...,b_{n-1},b_n]] < [[b_0,...,b_{n-1},b'_n,...,b'_s]] \ {\rm where} \ b_n < \\ b'_n \ {\rm and} \ s \ge n, {\rm for \ any} \ b'_i \ge 2. \end{array}$$

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Our Setting for Error Estimation

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Continued Fractions

$$x = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \dots}}$$

 $x \in \mathbb{R}, \ a_0 \in \mathbb{Z} \text{ and } a_i \geq 1.$ The *n*th CF convergent of x is

$$\frac{P_n}{Q_n} = [a_0, a_1, a_2, ..., a_n]$$

The *n*th term CF error is

$$E_n(x) = \left| x - \frac{P_n}{Q_n} \right|$$

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Backwards Continued Fractions

$$y = b_0 - rac{1}{b_1 - rac{1}{b_2 - \dots}}$$

 $y \in \mathbb{R}, \ b_0 \in \mathbb{Z} \text{ and } b_i \geq 2.$ The *n*th BCF convergent of y is

$$\frac{p_n}{q_n} = [[b_0, b_1, b_2, ..., b_n]]$$

The *n*th term BCF error is

$$\varepsilon_n(x) = \left| x - \frac{p_n}{q_n} \right|$$

The BCF Error $\varepsilon_2(x)$



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The BCF Error $\varepsilon_m(x)$



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The CF Error $E_2(x)$



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The CF Error $E_m(x)$



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BCF Cylinder Sets and BCF Error Bounds $f_m^{[[1,b_2,...,b_r]]}(x)$

The rth-level BCF cylinder set is

 $C_{[[b_1,\ldots,b_r]]} = \{ x \in \mathbb{R} : r \text{th BCF convergent of } x = [[b_1,\ldots,b_r]] \}.$

A bounding curve of level r is

 $f_m^{[[1,b_2,...,b_r]]}(x) =$ limiting function for the BCF error of x given we are using a *m*-term BCF approximation and the *r*-term BCF expansion of x is $[[1, b_2..., b_r]]$.

Overall Bound for the BCF Error, $f_m(x)$

Theorem (L-Bjorklund)

For any $x \in [0,1]$, we have $\varepsilon_m(x) \leq f_m(x)$ where

$$f_m(x) = \frac{1}{\left(\frac{1}{1-x}\right)\left((m-1)\frac{1}{1-x}+1\right)} = \frac{(1-x)^2}{m-x}$$



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Key Idea for Bounding the Error

To obtain a bound for the error on $C_{[[1,b_2,...,b_r]]}$, we must find the maximum of $\varepsilon_m(x)$ on $C_{[[1,b_2,...,b_r,n]]}$ for n = 2, 3, ... and interpolate along these values.



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The General Case $f_m^{[[1,b_2,...,b_r]]}(x)$

Theorem (L-Bjorklund)

For $x \in C_{[[1,b_2,...,b_r]]}$, i.e. the rth BCF convergent for x is $[[1, b_2, ..., b_r]] = \frac{p_r}{q_r}$, we have the mth BCF error $\varepsilon_m(x)$ is bounded by

$$f_m^{[[1,b_2,\ldots,b_r]]}(x) = \frac{(p_r - q_r x)^2}{(m-r) + q_r(p_r - q_r x)} = \frac{\varepsilon_r(x)^2}{\frac{m-r}{q_r^2} + \varepsilon_r(x)}.$$

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Some Examples of $f_m^{[[1,b_2,...,b_r]]}(x)$



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The Maximum of $E_m(x)$ on $C_{[0,c_2,...,c_r]}$



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The General Case for CF Bounds $g_m^{[0,c_2,...,c_r]}(x)$

Theorem (L-Bjorklund)

We have the following bounding function for the CF error $E_m(x)$ on $C_{[0,c_2,...,c_r]}$:

$$g_m^{[0,c_2,...,c_r]}(x) = \begin{cases} \frac{(P_r - Q_r x)^2}{F_{m-r+1}F_{m-r} + Q_r(P_r - Q_r x)} & \text{if } m - r \text{ is even} \\ \\ \frac{(P_r - Q_r x)^2}{F_{m-r+1}F_{m-r} - Q_r(P_r - Q_r x)} & \text{if } m - r \text{ is odd,} \end{cases}$$

where F_n is the nth Fibonacci number, and we have $F_0 = 0$, $F_1 = F_2 = 1$, and $F_n = F_{n-1} + F_{n-2}$ for $n \ge 2$.

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Some Examples of $g_m^{[0,c_2,...,c_r]}(x)$



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Future Directions...

Transfer error estimations between $\varepsilon_m(x)$ and $E_n(x)$.

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- Reprove old results and prove new results on CFs using bounding curves.

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Future Directions...

- Transfer error estimations between $\varepsilon_m(x)$ and $E_n(x)$.
- Reprove old results and prove new results on CFs using bounding curves.
- Study expansions of transcendental numbers.

Thank You!



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