

# Winter 2008: PhD Algebra Preliminary Exam

## Instructions:

1. All problems are worth 10 points. Explain your answers clearly. Unclear answers will not receive credit. State results and theorems you are using.
2. Use separate sheets for the solution of each problem.

**Problem 1.** Suppose that  $G$  is a finitely-generated group and  $n \in \mathbb{N}$ . Show that  $G$  contains only finitely many subgroups of index  $\leq n$ .

**Problem 2.** Let  $A$  be an  $n \times n$  complex matrix. Prove or disprove:

- a.  $A$  is similar to its transpose.
- b. If the sum of the elements of each column of  $A$  is 1, then 1 is an eigenvalue of  $A$ .

**Problem 3.** Recall that if  $R$  is a ring, an  $R$ -module  $M$  is projective means: If  $f : A \rightarrow B$  is a homomorphism between two other  $R$ -modules, and if  $g : M \rightarrow B$  is a homomorphism, then there is always a solution  $h : M \rightarrow A$  to the equation  $g = fh$ . Prove that among  $\mathbb{Z}$ -modules, the only cyclic module  $\mathbb{Z}/n$  which is projective is  $\mathbb{Z}/0 = \mathbb{Z}$ .

**Problem 4.** 2. Prove that  $M_n(\mathbb{C})$ , the algebra of  $n \times n$  complex matrices, has no non-trivial two-sided ideals.

**Problem 5.** Let  $A$  and  $B$  be two abelian groups with 25 elements. There is more than one possibility for  $A$  up to isomorphism, and likewise for  $B$ . Since all abelian groups are  $\mathbb{Z}$ -modules, we may tensor  $A$  and  $B$  as  $\mathbb{Z}$ -modules. What are the possibilities for the number of elements of  $A \otimes B$ ?

**Problem 6.** Prove or disprove: The field  $\mathbb{C}(x)$  of rational functions with complex coefficients, is a transcendental (i.e., non-algebraic) extension of the field  $\mathbb{C}$ .

# Winter 2008: PhD Analysis Preliminary Exam

## Instructions:

1. All problems are worth 10 points. Explain your answers clearly. Unclear answers will not receive credit. State results and theorems you are using.
2. Use separate sheets for the solution of each problem.

**Problem 1:** Define  $f_n : [0, 1] \rightarrow \mathbb{R}$  by

$$f_n(x) = (-1)^n x^n (1 - x).$$

- (a) Show that  $\sum_{n=0}^{\infty} f_n$  converges uniformly on  $[0, 1]$ .
- (b) Show that  $\sum_{n=0}^{\infty} |f_n|$  converges pointwise on  $[0, 1]$  but not uniformly.

**Problem 2:** Consider  $X = \mathbb{R}^2$  equipped with the Euclidean metric,

$$e(x, y) = [(x_1 - y_1)^2 + (x_2 - y_2)^2]^{1/2},$$

where  $x = (x_1, x_2) \in \mathbb{R}^2$ ,  $y = (y_1, y_2) \in \mathbb{R}^2$ . Define  $d : X \times X \rightarrow \mathbb{R}$  by

$$d(x, y) = \begin{cases} e(x, y) & \text{if } x, y \text{ lie on the same ray through the origin,} \\ e(x, 0) + e(0, y) & \text{otherwise.} \end{cases}$$

Here, we say that  $x, y$  lie on the same ray through the origin if  $x = \lambda y$  for some positive real number  $\lambda > 0$ .

- (a) Prove that  $(X, d)$  is a metric space.
- (b) Give an example of a set that is open in  $(X, d)$  but not open in  $(X, e)$ .

**Problem 3:** Suppose that  $\mathcal{M}$  is a (nonzero) closed linear subspace of a Hilbert space  $\mathcal{H}$  and  $\phi : \mathcal{M} \rightarrow \mathbb{C}$  is a bounded linear functional on  $\mathcal{M}$ . Prove that there is a unique extension of  $\phi$  to a bounded linear function on  $\mathcal{H}$  with the same norm.

**Problem 4:** Suppose that  $A : \mathcal{H} \rightarrow \mathcal{H}$  is a bounded linear operator on a (complex) Hilbert space  $\mathcal{H}$  with spectrum  $\sigma(A) \subset \mathbb{C}$  and resolvent set  $\rho(A) = \mathbb{C} \setminus \sigma(A)$ . For  $\mu \in \rho(A)$ , let

$$R(\mu, A) = (\mu I - A)^{-1}$$

denote the resolvent operator of  $A$ .

(a) If  $\mu \in \rho(A)$  and

$$|\nu - \mu| < \frac{1}{\|R(\mu, A)\|},$$

prove that  $\nu \in \rho(A)$  and

$$R(\nu, A) = [I - (\mu - \nu)R(\mu, A)]^{-1} R(\mu, A).$$

(b) If  $\mu \in \rho(A)$ , prove that

$$\|R(\mu, A)\| \geq \frac{1}{d(\mu, \sigma(A))}$$

where

$$d(\mu, \sigma(A)) = \inf_{\lambda \in \sigma(A)} |\mu - \lambda|$$

is the distance of  $\mu$  from the spectrum of  $A$ .

**Problem 5:** Let  $1 \leq p < \infty$  and let  $I = (-1, 1)$  denote the open interval in  $\mathbb{R}$ . Find the values of  $\alpha$  as a function of  $p$  for which the function  $|x|^\alpha \in W^{1,p}(I)$ .

**Problem 6:** Let  $\Omega = \{x \in \mathbb{R}^3 : |x| < 1\}$  denote the unit ball in  $\mathbb{R}^3$ . Suppose that the sequences  $\{f_k\}$  in  $W^{1,4}(\Omega)$  and that  $\{\vec{g}_k\}$  in  $W^{1,4}(\Omega; \mathbb{R}^3)$ . Suppose also that there exist functions  $f \in W^{1,4}(\Omega)$  and  $\vec{g}$  in  $W^{1,4}(\Omega; \mathbb{R}^3)$ , such that we have the weak convergence

$$\begin{aligned} f_k &\rightharpoonup f \text{ in } W^{1,4}(\Omega), \\ \vec{g}_k &\rightharpoonup \vec{g} \text{ in } W^{1,4}(\Omega; \mathbb{R}^3). \end{aligned}$$

Show that there are subsequences  $\{f_{k_j}\}$  and  $\{\vec{g}_{k_j}\}$  such that we have the weak convergence

$$\vec{D}f_{k_j} \cdot \text{curl } \vec{g}_{k_j} \rightharpoonup \vec{D}f \cdot \text{curl } \vec{g} \quad \text{in } H^{-1}(\Omega).$$

**Notation for Problem 6.** Here  $f$  is a scalar function and  $\vec{g} = (g_1, g_2, g_3)$  are three-dimensional vector-valued function.  $\vec{D}$  denotes the three-dimensional gradient  $(\partial_{x_1}, \partial_{x_2}, \partial_{x_3})$  and  $\text{curl } \vec{g} = (\partial_{x_1}, \partial_{x_2}, \partial_{x_3}) \times \vec{g}$

As customary, we use  $H^{-1}(\Omega)$  to denote the dual space of the Hilbert space  $H_0^1(\Omega)$  consisting of those functions in  $H^1(\Omega)$  which vanish on the boundary (in the sense of trace). Two useful identities are that

$$\begin{aligned} \text{curl}(\vec{D}f) &= 0 \quad \text{for any scalar function } f, \\ \text{div}(\text{curl } \vec{w}) &= 0 \quad \text{for any vector function } \vec{w}, \end{aligned}$$

where  $\text{div } \vec{F} = \partial_{x_1}F_1 + \partial_{x_2}F_2 + \partial_{x_3}F_3$  denotes the usual divergence of a vector field  $\vec{F} = (F_1, F_2, F_3)$ .

**Hint for Problem 6.** Test  $\vec{D}f_{k_j} \cdot \text{curl } \vec{g}_{k_j}$  with a function  $\psi \in H_0^1(\Omega)$  and use integration by parts to argue the weak convergence.