Fairness, Privacy, and Trustworthiness in Machine Learning (Winter 2023)

Course: MAT 280 CRN: 30935 Title: Fairness, Privacy, and Trustworthiness in Machine Learning

Instructor: Thomas Strohmer Email:"my last name" at math.ucdavis.edu Office Hours: W: 3:10pm-4pm

Lectures: MW 1:40 - 3:00pm. SOCSCI 90

Course Objective:

As machine learning increasingly pervades more and more aspects of our life, hitherto often ignored questions regarding social responsibility, transparency, and trustworthiness of machine learning and AI emerge as mainstream topics that pose deep and challenging mathematical problems. How do we take fairness and transparency into account while developing machine-learned models and systems? How do we protect the privacy of users when building large-scale, AI-based systems? How can we develop a rigorous understanding of the vulnerabilities inherent to machine learning? Due to their real-world implications, these topics are now at the forefront of ML and AI research. This course will introduce and analyze the mathematical concepts behind fairness, privacy, and transparency of ML. Benefits and shortcomings of existing mathematical tools, metrics, and methods will be investigated and open problems will be discussed.

Prerequisite:

Linear algebra and a basic background in probability as well as basic experience in programming (preferably Matlab) will be required. Some basic knowledge in optimization and machine learning is helpful.

Textbooks:

The topics of this course are very recent and not covered in textbooks yet (at least not from a mathematical viewpoint). Here are a few books that will be useful (and may are available freely as pdf):

- S. Barocas, M. Hardt, and A. Narayanan. Fairness and machine learning Limitations and Opportunities. <u>https://fairmlbook.org</u>
- M. Kearns and A. Roth. The Ethcial Algorithm. The Science of Socially Aware Algorithm Design. Oxford University Press.
- C. Dwork and A. Roth. The Algorithmic Foundations of Differential Privacy. Foundations and Trends in Theoretical Computer Science 9:3-4. <u>https://www.cis.upenn.edu/~aaroth/Papers/privacybook.pdf</u>
- R. Vershynin. High-Dimensional Probability: An Introduction with Applications in Data Science. https://www.math.uci.edu/~rvershyn

/papers/HDP-book/HDP-book.pdf

- A. Bandeira, A. Singer, T. Strohmer. Mathematics of Data Science. Book draft, downloadable from https://people.math.ethz.ch/~abandeira/BandeiraSingerStrohmer-MDS-draft.pdf
- M. Hardt and B. Recht. Patterns, predictions, and actions. A story about machine learning. <u>https://arxiv.org/pdf/2102.05242</u>

Grading Scheme:

- 50% Homework
- 50% Final Project

Homework:

I will assign homework about every other week. A subset of these problems will be graded. Late homework will not be accepted.

Final Project:

For the Final Project you need to write a report (length about 8 pages) on one of the following topics:

- Describe how some of the methods you learned in this course will be used in your research.
- Find a practical application yourself (not copying from papers/books) using the methods you learned in this course; describe how to use them; describe the importance of that application; what impact would you expect if you are successful?
- A report describing a thorough numerical comparison of existing algorithms related to one of the topics of this couse for a specific application or problem.
- More details about the Final Project will be discussed in class.

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