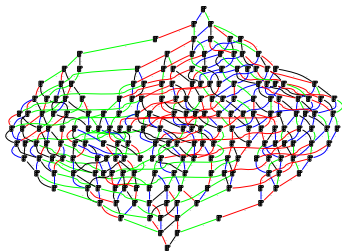


# Lecture 1: Crystals for stable Grothendieck polynomials

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This is based joint work with

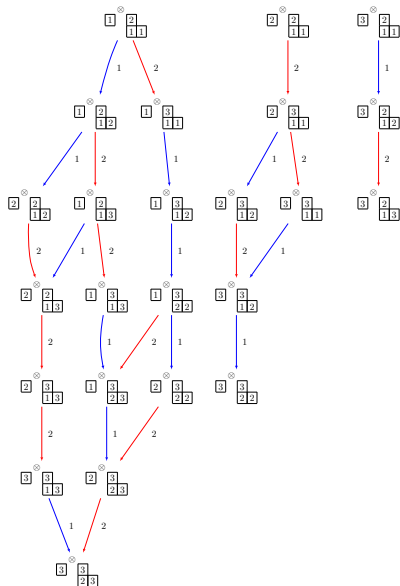
Jennifer Morse (2016) & Jennifer Morse, Jianping Pan, Wencin Poh (2020)



# Outline

- 1 Motivation
- 2 Crystal for Stanley symmetric functions
- 3 Crystal for Grothendieck polynomials
- 4 Properties and results

# Crystal graphs



- The generating function

$$\sum_{\text{vertex } b} \mathbf{x}^{\text{weight}(b)}$$

is the **character** of the crystal.

- The character of each connected component is a **Schur function**

$$s_{\lambda}(\mathbf{x}) = \sum_{T \in \text{SSYT}(\lambda)} \mathbf{x}^{\text{weight}(T)}$$

where  $\lambda$  is the weight of the highest element.

# Crystal operators

Action of **crystal operators**  $e_i, f_i$  on words/tableaux:

- 1 Consider letters  $i$  and  $i + 1$  in row reading word of the tableau
- 2 Successively “bracket” pairs of the form  $(i + 1, i)$
- 3 Left with word of the form  $i^r(i + 1)^s$

$$e_i(i^r(i + 1)^s) = \begin{cases} i^{r+1}(i + 1)^{s-1} & \text{if } s > 0 \\ 0 & \text{else} \end{cases}$$

$$f_i(i^r(i + 1)^s) = \begin{cases} i^{r-1}(i + 1)^{s+1} & \text{if } r > 0 \\ 0 & \text{else} \end{cases}$$



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# Stable Schubert polynomials $F_w$

- restriction:  $\mathfrak{S}_{1_m \times w} \longrightarrow$  Stanley symmetric functions  $F_w$  for  $w \in S_n$
- for 321-avoiding  $w$ ,

$$F_w = s_{\nu/\mu} = \sum_{\lambda} c_{\lambda\mu}^{\nu} s_{\lambda}$$

- symmetric and Schur positive (Stanley 1984, Edelman, Greene 1987)

$$F_w = \sum_{\lambda} a_{w\lambda} s_{\lambda}$$

- coefficient of  $x_1 x_2 \cdots x_r$  counts reduced words of  $w$

$$S_n = \langle s_1, \dots, s_{n-1} \rangle \quad s_i s_j = s_j s_i \quad s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1} \quad s_i^2 = id$$

$$(3, 2, 1, 4) = s_1 s_2 s_1 = s_2 s_1 s_2 = s_3 s_3 s_1 s_2 s_1$$



# Stable Schubert polynomials

$$F_w = \sum_{v^r \cdots v^1 = w} x_1^{\ell(v^1)} \cdots x_r^{\ell(v^r)}$$

Decreasing factorization of  $w$

- ①  $w$  is the product of permutations  $v^r \cdots v^1$
- ② each  $v^i$  has a strictly decreasing reduced word
- ③  $\ell(w) = \ell(v^r) + \cdots + \ell(v^1)$

$$w = (2, 1, 4, 3) = s_1 s_3 = s_3 s_1:$$

$$(s_1)(s_3) \longrightarrow x_1 x_2$$

$$(s_3)(s_1) \longrightarrow x_1 x_2$$

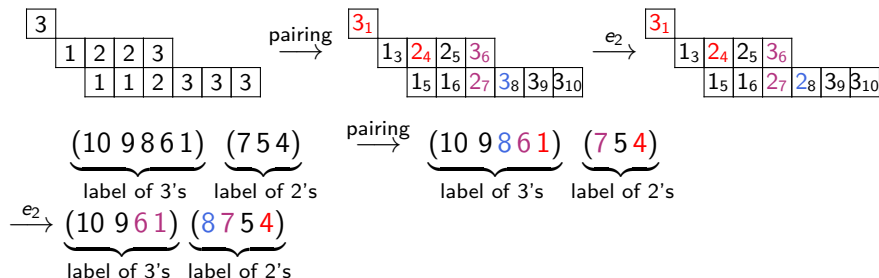
$$() (s_3 s_1) \longrightarrow x_1^2$$

$$(s_3 s_1) () \longrightarrow x_2^2$$

$$F_{(2,1,4,3)} = 2 x_1 x_2 + x_1^2 + x_2^2$$

# Crystal operators on factorizations – residue map

Label cells diagonally



operator  $e_i$

from big to small:

pair  $x \in 3$ 's with smallest  $y \in 2$ 's that is bigger than  $x$

delete smallest unpaired  $z \in 3$ 's and add  $z - t$  to 2's

$$(986541)(96521) \rightarrow (98541)(965421)$$

# Crystal Theorem

## Definition

Fix  $w \in S_n$ .

Graph  $B(w)$

- 1 vertices are decreasing factorizations of  $w$
- 2 edges are imposed and colored by  $f_i, e_i$
- 3 highest weights are vertices with no unpaired entries

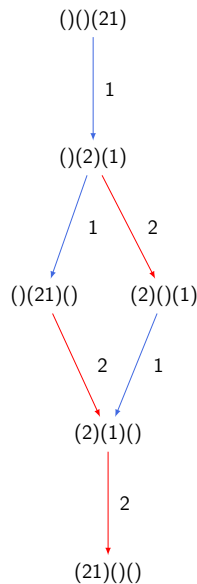
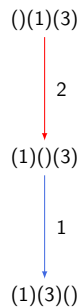
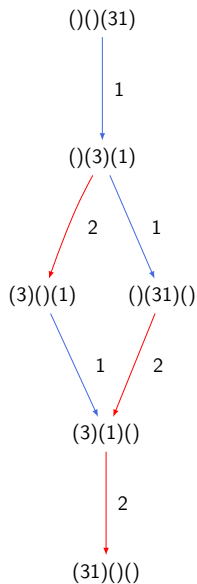
Theorem (with Morse; 2016)

$B(w)$  is a *crystal graph* of type  $A_\ell$

Proof

Checking [Stembridge](#) local axioms

# Examples



# Schur expansion

Fix  $w \in S_n$

Theorem (with Morse; 2016)

$$F_w = \sum_{\lambda} a_{w\lambda} s_{\lambda}$$

$a_{w\lambda}$  counts highest weights  $v^r \cdots v^1$  of  $B(w)$  with  $(\ell(v^1), \dots, \ell(v^r)) = \lambda$

In  $S_5$ :

$(1)(42)$

1

$(4)(2)$

1

$(42)(1)$

$(2)(4)$

$$\implies F_{s_2 s_4} = s_2 + s_{11}$$

# Edelman-Greene insertion

## Theorem (with Morse; 2016)

For any permutation  $w \in S_n$ , the *crystal isomorphism*

$$B(w) \cong \bigoplus_{\lambda} B(\lambda)^{\oplus a_{w\lambda}}$$

is explicitly given by the *Edelman-Greene insertion*  $\varphi_{EG}^Q(v^\ell \cdots v^1) = Q$ :

$$\varphi_{EG}^Q \circ e_i = e_i \circ \varphi_{EG}^Q$$

**Emil**  
i Lönneberga



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# Motivation: Schubert Calculus

## Polynomial Representatives for Schubert Cells

	Grassmannian $\mathbb{G}_{m,n}$	Flag Varieties $Fl_n$
<b>Cohomology</b>	$s_\lambda$	$\mathfrak{S}_w \rightarrow F_w$
<b>K-theory</b>	$\mathfrak{G}_\lambda$	$\mathfrak{G}_w$

Grassmannian Grothendieck polynomials:  $\mathfrak{G}_\lambda$  Lascoux, Schützenberger 1982

Stable Grothendieck polynomials:  $\mathfrak{G}_w$  Fomin, Kirillov 1994

## Combinatorial Approach?

Combining:

- Crystal structure on decreasing factorizations for  $F_w$  (Morse, S. 2016)
- Crystal structure for  $\mathfrak{G}_\lambda$  on set-valued tableaux (Monical & Pechenik & Scrimshaw 2018)

# 0-Hecke Monoid

## Definition

**0-Hecke monoid**  $\mathcal{H}_0(n)$ :

monoid of all finite words in  $[n] := \{1, 2, \dots, n\}$  such that

$$\begin{aligned} pp &\equiv p, & pqp &\equiv qpq && \text{for all } p, q \in [n] \\ pq &\equiv qp &&&& \text{if } |p - q| > 1 \end{aligned}$$

## Examples

- $2112 \equiv 212 \equiv 121$
- $2121 \equiv 1211 \equiv 121 \equiv 212$
- $31312 \equiv 3132 \equiv 312 \equiv 132$

# Decreasing factorizations in $\mathcal{H}_0(n)$

## Definition

A **decreasing factorization** of  $w \in \mathcal{H}_0(n)$  into  $m$  **factors** is a product of decreasing factors

$$\mathbf{h} = h^m \dots h^2 h^1$$

such that  $\mathbf{h} \equiv w$  in  $\mathcal{H}_0(n)$ .

$\mathcal{H}_w^m$  = set of decreasing factorizations of  $w$  in  $\mathcal{H}_0(n)$  with  $m$  factors

## Example

Decreasing factorizations for  $132 \in \mathcal{H}_0(3)$  of length 5 with 3 factors:

$$\begin{array}{ccc} (31)(31)(2) & (31)(32)(2) & (31)(1)(32) \\ (31)(3)(32) & (1)(31)(32) & (3)(31)(32) \end{array}$$

# Stable Grothendieck polynomials for $w$

## Definition

**Stable Grothendieck polynomial** (or  $K$ -Stanley symmetric function):

$$\mathfrak{G}_w(\mathbf{x}, \beta) = \sum_{h^m \dots h^2 h^1 \in \mathcal{H}_w^m} \beta^{\ell(h^1) + \dots + \ell(h^m) - \ell(w)} x_1^{\ell(h^1)} \dots x_m^{\ell(h^m)}$$

where  $\ell(w)$  is the length of any reduced word of  $w$ .

## Example

$$w = 132 \in \mathcal{H}_0(3)$$

Reduced Hecke words 132, 312

Decreasing factorizations for constant term:

$$(31)(2), (1)(32) \text{ (3)(1)(2), (1)(3)(2)}$$

$$\beta^0 : (x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2) + 2x_1 x_2 x_3 = s_{21}$$

# Schur positivity

## Schur positivity (Fomin, Greene 1998)

$$\mathfrak{G}_w(\mathbf{x}, \beta) = \sum_{\lambda} \beta^{|\lambda| - \ell(w)} g_w^\lambda s_{\lambda}(\mathbf{x})$$

$$g_w^\lambda = |\{T \in \text{SSYT}^n(\lambda') \mid \text{column reading of } T \equiv w\}|$$

## Example

$$\mathfrak{G}_{132}(\mathbf{x}, \beta) = s_{21} + \beta(2s_{211} + s_{22}) + \beta^2(3s_{2111} + 2s_{221}) + \cdots$$



## 321-avoiding Hecke words (braid-free)

### Definition

$w \in \mathcal{H}_0(n)$  is **321-avoiding** if none of the reduced expressions for  $w$  contain a consecutive subword of the form  $i i + 1 i$  for any  $i \in [n - 1]$ .

### Examples

- $1321 \equiv 3121 \equiv 3212$  is not 321-avoiding
- $22132 \equiv 2132 \equiv 2312$  is 321-avoiding

### Definition

$\mathcal{H}^{m,*}$  = set of decreasing factorizations into  $m$  factors for 321-avoiding  $w$

### Example

- $(\ ) (1) (21) \in \mathcal{H}^3, \notin \mathcal{H}^{3,*}$
- $(31) (2) \in \mathcal{H}^{2,*}$
- $(2) (21) (32) \in \mathcal{H}^{3,*}$

## ★-Crystal on $\mathcal{H}^{m,\star}$ (Morse, Pan, Poh, S.)

Bracketing rule on  $h^m \dots h^{i+1} h^i \dots h^1$

- 1 Start with the **largest** letter  $b$  in  $h^{i+1}$ , pair it with the smallest  $a \geq b$  in  $h^i$ . If there is no such  $a$ , then  $b$  is unpaired.
- 2 Proceed in decreasing order in  $h^{i+1}$ , ignore previously paired letters.

Crystal operator  $f_i^\star$ ,  $x$  : largest unpaired letter in  $h^i$

- If  $x + 1 \in h^i \cap h^{i+1}$ , then remove  $x + 1$  from  $h^i$ , add  $x$  to  $h^{i+1}$ .
- Otherwise, remove  $x$  from  $h^i$  and add  $x$  to  $h^{i+1}$ .

### Example

- $(1)(32) \xrightarrow{\text{bracket}} (1)(32) \xrightarrow{f_1^\star} (31)(2)$
- $(7532)(621) \xrightarrow{\text{bracket}} (7532)(621) \xrightarrow{f_1^\star} (75321)(61)$



# Vertices and edges

$$w = 132, \beta^1$$

$$\textcircled{1} (3, 1)(3, 2)(\ )$$

$$\textcircled{2} (3, 1)(1)(2)$$

$$\textcircled{3} (3, 1)(2)(2)$$

$$\textcircled{4} (3, 1)(3)(2)$$

$$\textcircled{5} (1)(3, 1)(2)$$

$$\textcircled{6} (1)(3, 2)(2)$$

$$\textcircled{7} (3)(3, 1)(2)$$

$$\textcircled{8} (3, 1)(\ )(3, 2)$$

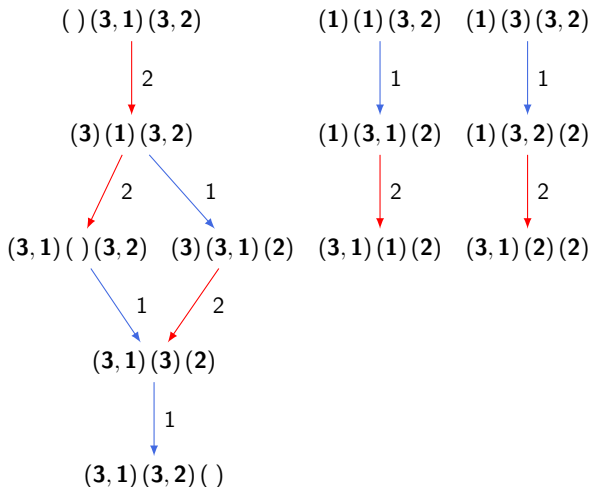
$$\textcircled{9} (1)(1)(3, 2)$$

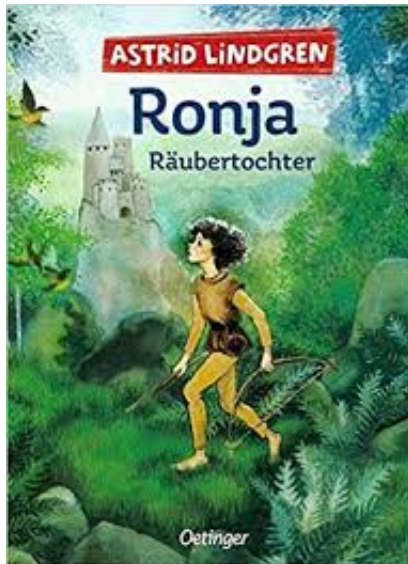
$$\textcircled{10} (1)(3)(3, 2)$$

$$\textcircled{11} (3)(1)(3, 2)$$

$$\textcircled{12} (\ )(3, 1)(3, 2)$$

$$\mathfrak{G}_{132}(\mathbf{x}, \beta) = s_{21} + \beta(2s_{211} + s_{22}) + \beta^2(3s_{2111} + 2s_{221}) + \dots$$





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# Grothendieck polynomials for skew shapes

$$\mathfrak{G}_{\nu/\lambda}(\mathbf{x}; \beta) = \sum_{T \in \text{SVT}(\nu/\lambda)} \beta^{\text{ex}(T)} \mathbf{x}^{\text{wt}(T)} \quad (\text{Buch 2002})$$

$\text{SVT}(\nu/\lambda)$  = set of semistandard set-valued tableaux of shape  $\nu/\lambda$

Excess in  $T$  is  $\text{ex}(T)$

## Semistandard set-valued tableaux $\text{SVT}(\nu/\lambda)$

Fill boxes of skew shape  $\nu/\lambda$  with nonempty sets. **Semistandardness:**

C	
A	B

 $\max(A) \leq \min(B), \max(A) < \min(C)$

## Example (Which one is a valid filling?)

✓

34	45	
	12	25

34	35	
	12	456

2	35	
	14	56

# Crystal structure on SVT (Monical, Pechenik, Scrimshaw)

## Signature rule

Assign  $-$  to every column of  $T$  containing an  $i$  but not an  $i + 1$ .

Assign  $+$  to every column of  $T$  containing an  $i + 1$  but not an  $i$ .

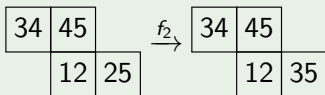
Successively pair each  $+$  that is adjacent to a  $-$ .

## Crystal operator $f_i$

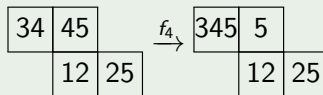
- changes the rightmost unpaired  $i -$  to  $i + 1$ , except
- if its right neighbor contains both  $i, i + 1$ , then *move* the  $i$  over and turn it into  $i + 1$

## Example

$+$   $-$   $-$



$-$   $+$



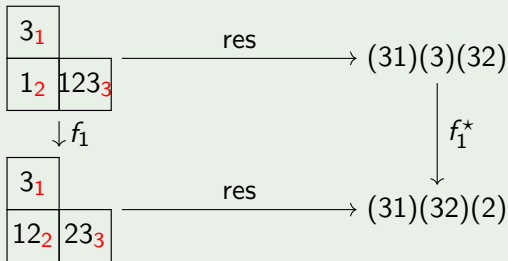
# Residue map as a crystal isomorphism

## Theorem (Morse, Pan, Poh, S. 2020)

The crystal on skew semistandard set-valued tableaux and the crystal on decreasing factorizations  $\mathcal{H}^{m,*}$  intertwine under the residue map. That is, the following diagram commutes:

$$\begin{array}{ccc}
 \text{SVT}^m(\lambda/\mu) & \xrightarrow{\text{res}} & \mathcal{H}^{m,*} \\
 \downarrow f_k & & \downarrow f_k^* \\
 \text{SVT}^m(\lambda/\mu) & \xrightarrow{\text{res}} & \mathcal{H}^{m,*}
 \end{array}$$

## Example



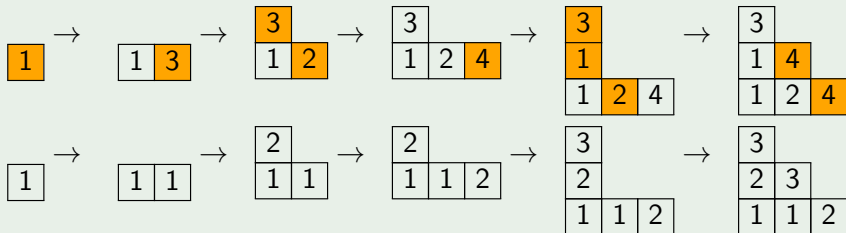
## ★-Insertion

Insert  $x$  into row  $R$  of a transpose of a semistandard tableau

- 1 Try to append  $x$  to the right of  $R$  (terminate and record)
- 2  $x \notin R$ , bump the minimal  $z > x$  (proceed to the next row)
- 3  $x \in R$ , proceed to next row with  $y$  minimal such that  $[y, x] \subseteq R$

### Example

$$\mathbf{h} = (42)(42)(31) = \begin{bmatrix} 3 & 3 & 2 & 2 & 1 & 1 \\ 4 & 2 & 4 & 2 & 3 & 1 \end{bmatrix}$$



# Association with $\star$ -crystal

Theorem (Morse, Pan, Poh, S. 2020)

The following diagram commutes:

$$\begin{array}{ccc}
 \mathcal{H}^{m,\star} & \xrightarrow{Q^\star} & \text{SSYT}^m \\
 \downarrow f_i^\star & & \downarrow f_i \\
 \mathcal{H}^{m,\star} & \xrightarrow{Q^\star} & \text{SSYT}^m
 \end{array}$$

Example

$$\begin{array}{ccc}
 (42)(42)(31) & \xrightarrow{\star} & \begin{array}{|c|} \hline 3 \\ \hline \end{array} \begin{array}{|c|c|} \hline 1 & 4 \\ \hline \end{array}, \begin{array}{|c|} \hline 3 \\ \hline \end{array} \begin{array}{|c|c|} \hline 2 & 3 \\ \hline \end{array} \\
 \downarrow f_1^\star & & \downarrow f_1 \\
 (42)(421)(3) & \xrightarrow{\star} & \begin{array}{|c|} \hline 3 \\ \hline \end{array} \begin{array}{|c|c|} \hline 1 & 4 \\ \hline \end{array}, \begin{array}{|c|} \hline 3 \\ \hline \end{array} \begin{array}{|c|c|} \hline 2 & 3 \\ \hline \end{array} \\
 & & \begin{array}{|c|c|c|} \hline 1 & 2 & 4 \\ \hline \end{array}, \begin{array}{|c|c|c|} \hline 1 & 2 & 2 \\ \hline \end{array}
 \end{array}$$

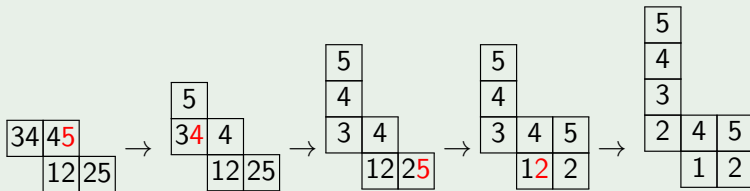


# Uncrowding SVT

Uncrowding operator [Lenart 2000](#); [Buch 2002](#); [Bandlow, Morse 2012](#); [Patrias 2016](#); [Reiner, Tenner, Yong 2018](#)

- Identify the topmost row in  $T$  containing a multicell.
- Let  $x$  be the largest letter in that row which lies in a multicell.
- Delete  $x$  and perform RSK algorithm into the rows above. Repeat.
- Result is a single-valued skew tableau.

## Example

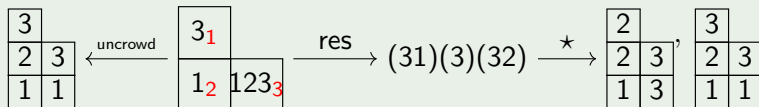


# Connection to uncrowding map

## Theorem (Morse, Pan, Poh, S. 2020)

Let  $T \in \text{SVT}^m(\lambda)$ ,  $(\tilde{P}, \tilde{Q}) = \text{uncrowd}(T)$ , and  $(P, Q) = \star \circ \text{res}(T)$ .  
Then  $Q = \tilde{P}$ .

## Example



# Hecke insertion (Buch 2008, Patrias, Pylyavskyy 2016)

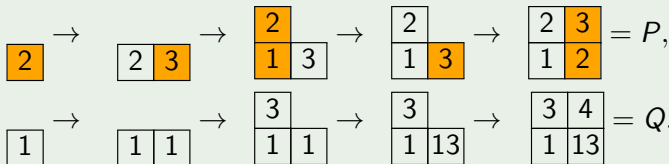
Insert  $x$  to row  $R$  of an **increasing tableau**

- Try to append  $x$  to the right of  $R$  (record and terminate)
- Try to bump the smallest letter that is bigger (proceed to the next row)

$$\mathcal{H}^m \longleftrightarrow (P, Q)$$

## Example

$$\mathbf{h} = (2)(31)(\ ) (32) = \begin{bmatrix} 4 & 3 & 3 & 1 & 1 \\ 2 & 3 & 1 & 3 & 2 \end{bmatrix}.$$



# Hecke insertion and the residue map

## Theorem (Morse, Pan, Poh, S. 2020)

Let  $T \in \text{SVT}(\lambda)$  and  $[\mathbf{k}, \mathbf{h}]^t = \text{res}(T)$ . Apply Hecke row insertion from the right on  $[\mathbf{k}, \mathbf{h}]^t$  to obtain the pair of tableaux  $(P, Q)$ . Then  $Q = T$ .

## Example

$$T = \begin{array}{|c|c|} \hline 2_1 & 4_2 \\ \hline 1_2 & 23_3 \\ \hline \end{array} \xrightarrow{\text{res}} (2)(3)(31)(2) = \begin{bmatrix} 4 & 3 & 2 & 2 & 1 \\ 2 & 3 & 3 & 1 & 2 \end{bmatrix}$$

$$\begin{array}{|c|} \hline 2 \\ \hline \end{array} \rightarrow \begin{array}{|c|} \hline 2 \\ \hline 1 \\ \hline \end{array} \rightarrow \begin{array}{|c|c|} \hline 2 & \\ \hline 1 & 3 \\ \hline \end{array} \rightarrow \begin{array}{|c|c|} \hline 2 & \\ \hline 1 & 3 \\ \hline \end{array} \rightarrow \begin{array}{|c|c|} \hline 2 & 3 \\ \hline 1 & 2 \\ \hline \end{array} = P.$$

$$\begin{array}{|c|} \hline 1 \\ \hline \end{array} \rightarrow \begin{array}{|c|} \hline 2 \\ \hline 1 \\ \hline \end{array} \rightarrow \begin{array}{|c|c|} \hline 2 & \\ \hline 1 & 2 \\ \hline \end{array} \rightarrow \begin{array}{|c|c|} \hline 2 & \\ \hline 1 & 23 \\ \hline \end{array} \rightarrow \begin{array}{|c|c|} \hline 2 & 4 \\ \hline 1 & 23 \\ \hline \end{array} = Q.$$

## Future Work

- Crystal structure for the **non-321 avoiding** case (beyond skew shapes)
- Demazure crystal structure to compute the **intersection number**?

Thank you !