

Crystal bases

Ten reasons why the combinatorial theory of **crystal bases** which originated in **statistical mechanics** and **quantum groups** is ubiquitous in **representation theory**, **combinatorics**, **geometry**, and **beyond**.

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Many crystal collaborators over the years:

Assaf, **Bandlow**, **Benkart**, **Brauner**, **Bump**, **Colmenarejo**, **Corteel**, **Daugherty**, **Deka**, **Fourier**, **Gillespie**, **Harris**, **Hawkes**, **Hersh**, **Jones**, **Kirillov**, **Lam**, **Lenart**, **Mason**, **Morse**, **Naito**, **Okado**, **Orellana**, **Pan**, **Panova**, **Pappe**, **Paramonov**, **Paul**, **Pfannerer**, **Poh**, **Sagaki**, **Sakamoto**, **Saliola**, **Scrimshaw**, **Shimozono**, **Simone**, **Sternberg**, **Thiéry**, **Tingley**, **Wang**, **Warnaar**, **Yip**, **Zabrocki**



Outline

- 1 Origins
- 2 Representation Theory
- 3 Symmetric functions
- 4 Geometry
- 5 Statistical mechanics and affine crystals

Lie algebras

Lie algebra \mathfrak{sl}_2

$$e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

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Relations

$$[h, e] = 2e \quad [h, f] = -2f \quad [e, f] = h$$

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Weight space decomposition

$$V = \bigoplus_{\lambda} V(\lambda) \quad \text{where} \quad V(\lambda) = \{v \in V \mid hv = \lambda v\}$$

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$$eV(\lambda) \subset V(\lambda + 2) \quad fV(\lambda) \subset V(\lambda - 2)$$

Quantum groups

Quantum group $U_q(\mathfrak{sl}_2)$

generated by $e, f, K^{\pm 1}$

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Representations

$(m + 1)$ -dimensional irreducible $U_q(\mathfrak{sl}_2)$ -representation

$$V_{(m)} = \{u, f^{(1)}u, \dots, f^{(m)}u\}$$

$$\text{where } eu = 0 \quad Ku = q^m u \quad f^{(k)}u = \frac{1}{[k]_q!} f^k u \quad [k]_q = \frac{q^m - q^{-m}}{q - q^{-1}}$$

Motivation for crystal bases

2-dimensional $U_q(\mathfrak{sl}_2)$ -representation $V_{(1)}$

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$$\begin{array}{ccc} & f & \\ & \rightarrow & \\ u & & v \\ & \leftarrow & \\ & e & \end{array}$$

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Basis for $V_{(1)} \otimes V_{(1)}$ is $u \otimes u, v \otimes u, u \otimes v, v \otimes v$

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$$V_{(1)} \otimes V_{(1)} \cong V_{(2)} \oplus V_{(0)} \quad V_{(2)} = \{u \otimes u, u \otimes v + qv \otimes u, v \otimes v\}$$

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Crystal basis

Pick leading term ($q \rightarrow 0$)

$$B_{(1)} \otimes B_{(1)} \cong B_{(2)} \oplus B_{(0)} \quad B_{(2)} = \{u \otimes u, u \otimes v, v \otimes v\}$$

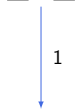
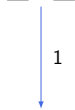
$$B_{(0)} = \{v \otimes u\}$$

Motivation for crystal bases

$$u = \boxed{1}$$

$$v = \boxed{2}$$

 B_{\square}
 $\boxed{1}$

 $\boxed{2}$
 $B_{\square} \otimes B_{\square}$
 $\boxed{1} \otimes \boxed{1}$
 $\boxed{2} \otimes \boxed{1}$

 $\boxed{1} \otimes \boxed{2}$

 $\boxed{2} \otimes \boxed{2}$

Motivation for crystal bases

$$u = \boxed{1} \quad v = \boxed{2}$$

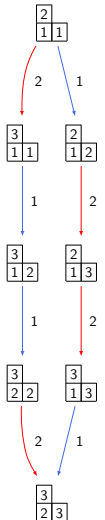
 $B_{\boxed{1}}$
 $\boxed{1}$
 $\downarrow 1$
 $\boxed{2}$
 $B_{\boxed{1}} \otimes B_{\boxed{1}}$
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Reason 1

Crystal bases are **combinatorial skeletons** of representation theory.

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$U_q(\mathfrak{sl}_3)$ -crystals B  B 

Axiomatic Crystals

A $U_q(\mathfrak{g})$ -crystal is a nonempty set B with maps

$$\begin{aligned} \text{wt} &: B \rightarrow P \\ e_i, f_i &: B \rightarrow B \cup \{\emptyset\} \quad \text{for all } i \in I \end{aligned}$$

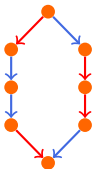
satisfying

$$\begin{aligned} f_i(b) = b' &\Leftrightarrow e_i(b') = b && \text{if } b, b' \in B \\ \text{wt}(f_i(b)) &= \text{wt}(b) - \alpha_i && \text{if } f_i(b) \in B \\ \langle \alpha_i^\vee, \text{wt}(b) \rangle &= \varphi_i(b) - \varepsilon_i(b) \end{aligned}$$

Write $\begin{array}{ccc} b & \xrightarrow{i} & b' \\ \bullet & \longrightarrow & \bullet \end{array}$ for $b' = f_i(b)$

Local characterization

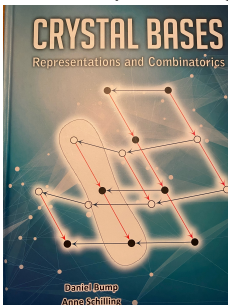
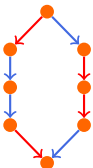
Local characterization of simply-laced crystals associated to representations (Stembridge 2003)



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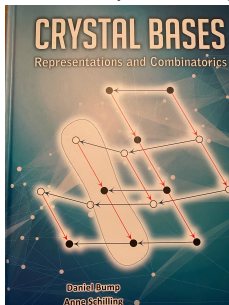
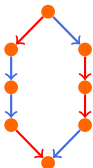
Combinatorial theory of crystals without quantum groups:



Local characterization

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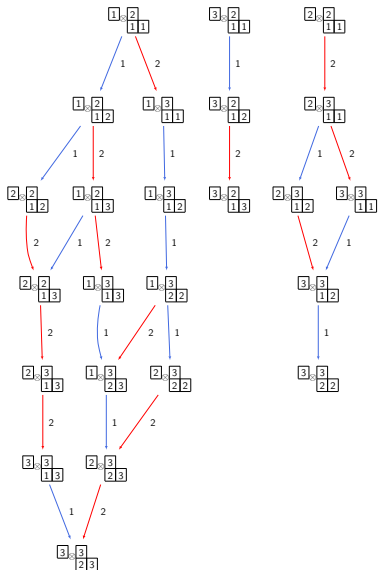
Combinatorial theory of crystals without quantum groups:



Reason 2

Crystal graphs can be characterized by **local combinatorial rules**.

Tensor product decomposition



$$B \begin{array}{|c|} \hline \square \\ \hline \end{array} \otimes B \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$$

Tensor products of crystals

Definition

B, B' crystals

$B \otimes B'$ is $B \times B'$ as sets with

$$\text{wt}(b \otimes b') = \text{wt}(b) + \text{wt}(b')$$

$$f_i(b \otimes b') = \begin{cases} f_i(b) \otimes b' & \text{if } \varepsilon_i(b) \geq \varphi_i(b') \\ b \otimes f_i(b') & \text{otherwise} \end{cases}$$

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$$\begin{array}{ccc}
 b & \otimes & b' \\
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$$\underbrace{\text{---}}_{\varphi_i(b)} \quad \otimes \quad \underbrace{\text{---}}_{\varphi_i(b')} \quad \underbrace{\text{+++}}_{\varepsilon_i(b')}$$

Reason 3

Crystals are well behaved with respect to **tensor products**.

Tensor product multiplicities

- Irreducible \mathfrak{sl}_n -representation

 V_λ

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Indexed by partitions:



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$$V_\lambda \otimes V_\mu = \bigoplus_{\nu} c_{\lambda\mu}^{\nu} V_{\nu}$$

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Littlewood-Richardson coefficients $c_{\lambda\mu}^{\nu}$

Combinatorial description

Littlewood–Richardson rule

$c_{\lambda\mu}^{\nu}$ = # skew tableaux t of shape ν/λ and weight μ such that $\text{row}(t)$ is a reverse lattice word.

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Example

$$V_{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}} \otimes V_{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}} = \dots \oplus ? V_{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}} \oplus \dots$$

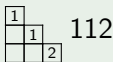
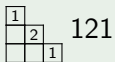
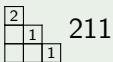
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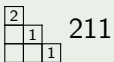
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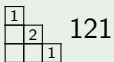
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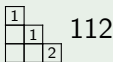
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211



121



112



$$\Rightarrow c_{21,21}^{321} = 2$$

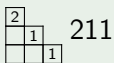
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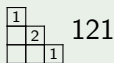
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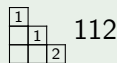
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✓



✓



✗

$$\Rightarrow c_{21,21}^{321} = 2$$

Gordon James (1987) on the Littlewood–Richardson rule:

“Unfortunately the Littlewood–Richardson rule is much harder to prove than was at first suspected. The author was once told that the Littlewood–Richardson rule helped to get men on the moon but was not proved until after they got there.”

Crystal graph

Action of **crystal operators** e_i, f_i on tableaux:

- 1 Consider letters i and $i + 1$ in row reading word of the tableau
- 2 Successively “bracket” pairs of the form $(i + 1, i)$
- 3 Left with word of the form $i^r(i + 1)^s$

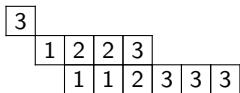
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$$e_i(i^r(i + 1)^s) = \begin{cases} i^{r+1}(i + 1)^{s-1} & \text{if } s > 0 \\ 0 & \text{else} \end{cases}$$
$$f_i(i^r(i + 1)^s) = \begin{cases} i^{r-1}(i + 1)^{s+1} & \text{if } r > 0 \\ 0 & \text{else} \end{cases}$$

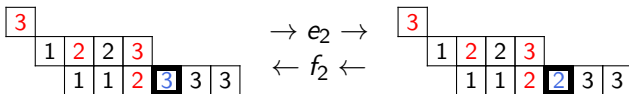
Crystal reformulation



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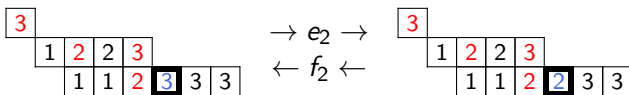
Crystal reformulation



e_2 : change leftmost unpaired 3 into 2

f_2 : change rightmost unpaired 2 into 3

Crystal reformulation



e_2 : change leftmost unpaired 3 into 2

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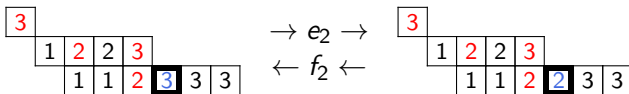
Theorem

b where all $e_i(b) = 0$ (*highest weight*)

\leftrightarrow *connected component*

\leftrightarrow *irreducible*

Crystal reformulation



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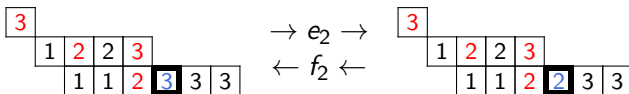
\leftrightarrow *connected component*

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Reformulation of LR rule

$c_{\lambda\mu}^\nu$ counts tableaux of shape ν/λ and weight μ which are *highest weight*.

Crystal reformulation



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Reason 4

Crystal operators explain/match the **Littlewood–Richardson rule**.

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Schur functions

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over alphabet $\{1, 2, \dots, n\}$

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Definition

Schur polynomial

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Example

Semi-standard Young tableaux of shape $(2, 1)$ over the alphabet $\{1, 2, 3\}$

2		3		3		3		2		2		3		3	
1	1	1	1	2	2	1	2	1	3	1	2	1	3	2	3

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Schur polynomial

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
Example

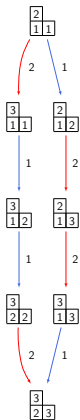
Semi-standard Young tableaux of shape $(2, 1)$ over the alphabet $\{1, 2, 3\}$

2		3		3		3		2		2		3		3	
1	1	1	1	2	2	1	2	1	3	1	2	1	3	2	3


$$s_{(2,1)}(x_1, x_2, x_3) = x_1^2 x_2 + x_1^2 x_3 + x_2^2 x_3 + 2x_1 x_2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2$$

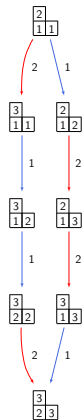
Crystal structure

Crystal B  with edges $f_1 \downarrow$ and $f_2 \downarrow$



Crystal structure

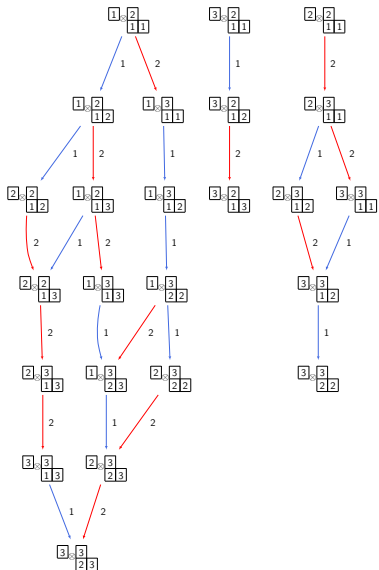
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Reason 5

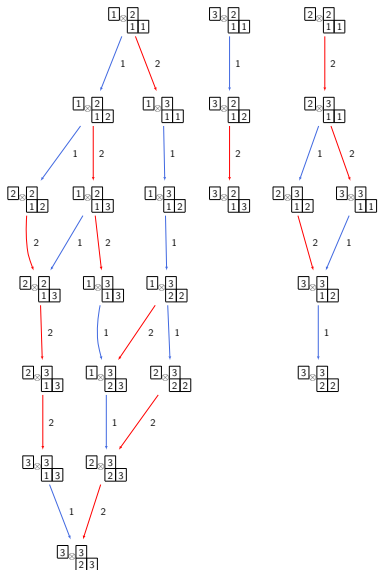
Schur polynomials are **characters** of type A crystals.

Tensor product decomposition



$$B \begin{array}{|c|} \hline \square \\ \hline \end{array} \otimes B \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}$$

Tensor product decomposition



$$\begin{aligned}
 & B_{\square} \otimes B_{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}} \\
 &= B_{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}} \oplus B_{\begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \square \\ \hline \square \\ \hline \end{array}} \oplus B_{\begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}}
 \end{aligned}$$

Symmetric functions

Reformulation of LR rule

$c_{\lambda\mu}^{\nu}$ counts pairs of tableaux of shape λ and μ of weight ν which are highest weight.

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Mechanism to get Schur expansion

$$s_{\nu/\lambda} = \sum_{T \in B_{\nu/\lambda}} x^{\text{weight}(T)} = \sum_{YT = \text{highest weights}} S_{\text{weight}(YT)}$$

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Reason 6

Crystals can help to understand **symmetric functions**.

Application: Stanley symmetric functions

History:

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- **2016:** Morse–S imposed **crystal structure** on increasing factorization

Reduced factorizations

Definition

- ρ reduced word

$$(S_n = \langle s_1, \dots, s_{n-1} \mid s_i s_j = s_j s_i, s_i s_{i+1} s_i = s_{i+1} s_i s_{i+1}, s_i^2 = id \rangle)$$

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Example

Reduced factorizations for $w = 321$ with reduced words 121, 212:

$$\begin{array}{cccccc} ()(12)(1) & (12)()(1) & (12)(1)() & (1)(2)(1) & & \text{for } \ell = 3 \\ ()(2)(12) & (2)()(12) & (2)(12)() & (2)(1)(2) & & \end{array}$$

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weight $\text{wt}(r)$ of increasing factorization r is weak composition with i th part the number of letters in i th block of r from the right

Example

$$\text{wt}(()(12)(1)) = (0, 2, 1)$$

Stanley symmetric functions

Definition

Stanley symmetric polynomial indexed by permutation w

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$r \in \mathcal{IW}_w^\ell$ increasing factorization of w^{-1} into ℓ factors

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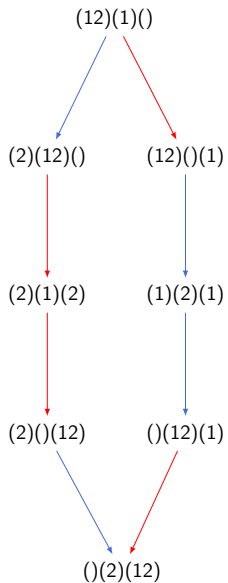
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Crystal on increasing factorizations



Stanley crystal

Theorem (Morse-S 2016)

\mathcal{IW}_w^ℓ with crystal operators f_i and e_i define an $A_{\ell-1}$ -crystal structure

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- 1 Origins
- 2 Representation Theory
- 3 Symmetric functions
- 4 Geometry**
- 5 Statistical mechanics and affine crystals

Variation c_{UV}^W

Indexed by permutations: $(1,2,3) (2,1,3) (3,2,1) \dots$

- **Intersections** in the set \mathbb{F}_n of complete flags
 $0 = W_0 \subset W_1 \subset \dots \subset W_n = \mathbb{C}^n$

$$c_{UV}^W = X_u \cap X_v \cap X_{w_0 w}$$

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WHAT ARE THESE COUNTING?

Schubert polynomials – history

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- **2018** Assaf–S prove that Schubert polynomials are **Demazure truncations** of **Stanley symmetric functions**.

Demazure crystals

$X \subseteq B(\lambda)$, define \mathfrak{D}_i as

$$\mathfrak{D}_i X = \{b \in B(\lambda) \mid f_i^k(b) \in X \text{ for some } k \geq 0\}$$

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$w = s_{i_1} s_{i_2} \cdots s_{i_k} \in S_n$ reduced expression, $u_\lambda \in B(\lambda)$ highest weight vector

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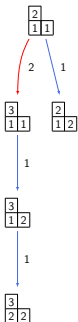
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Theorem (Littelmann (conjectured), Kashiwara (proven) 1993)

$\text{ch } B_w(\lambda)$ is a *Demazure character*.

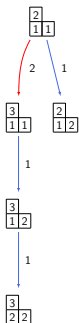
Demazure crystal

$$B_{s_1 s_2} \left(\begin{array}{c} \square \\ \square \end{array} \right)$$



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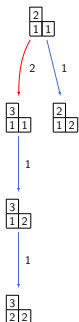


Theorem (Assaf, S. 2018)

Schubert polynomials are *Demazure truncations* of *Stanley symmetric functions*.

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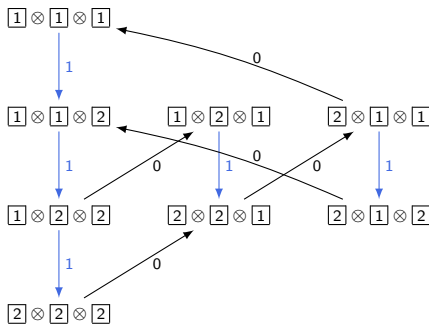
Reason 7

Crystals well behaved with respect to geometry and **Demazure truncations**.

Outline

- 1 Origins
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Affine crystals



One dimensional configuration sums

Why affine crystals?

One dimensional configuration sums

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- energy function $E : B_N \otimes \cdots \otimes B_1 \rightarrow \mathbb{Z}$

$$E(e_i(b)) = E(b) \quad \text{for } 1 \leq i \leq n$$

$$E(e_0(b)) = E(b) - 1$$

if e_0 does not act on leftmost step in $b = b_N \otimes \cdots \otimes b_1$.

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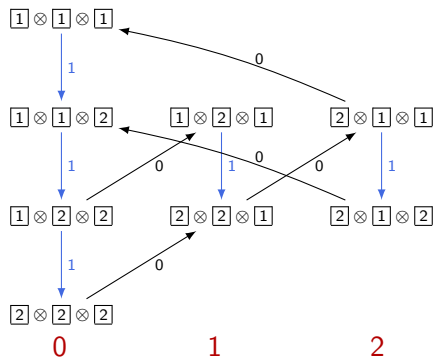
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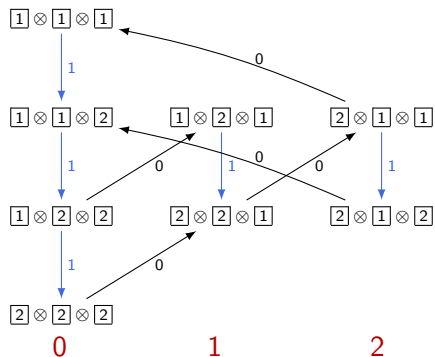
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- characters of conformal field theories as limits of $X(\lambda, B)$

Energy function

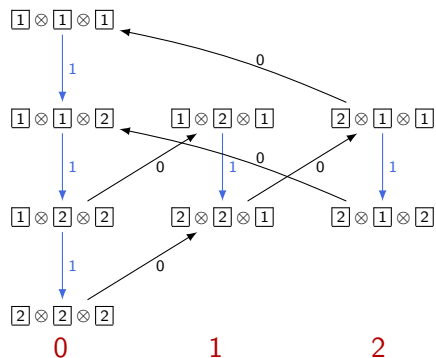


Energy function



$$X((2, 1), B) = 1 + q + q^2$$

Energy function



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Reason 8

Affine crystals give the **energy function** and **one-dimensional configuration sums**.

Kirillov–Reshetikhin crystals

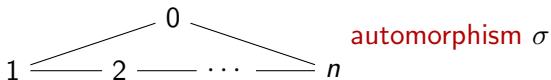
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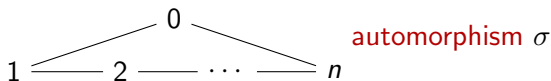
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Promotion operator pr uniquely defined by Shimozono

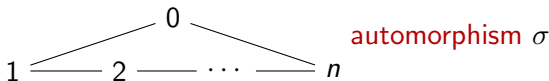
$$\begin{array}{ccc}
 B^{r,s} & \xrightarrow{\text{pr}} & B^{r,s} \\
 f_a \downarrow & & \downarrow f_{a+1} \\
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 \end{array}$$

$$\langle \alpha_{a+1}^\vee, \text{wt}(\text{pr}(b)) \rangle = \langle \alpha_a^\vee, \text{wt}(b) \rangle$$

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Then $e_0 = \text{pr}^{-1} \circ e_1 \circ \text{pr}$ $f_0 = \text{pr}^{-1} \circ f_1 \circ \text{pr}$

Promotion for type A_{n-1}

Classical crystal: $B(s^r)$ set of **Young tableaux** of shape (s^r) over alphabet $\{1, 2, \dots, n\}$

Promotion:

- Remove rightmost n , play **jeu de taquin** and repeat.
- Increase all entries by one and place 1's in the empty spaces.

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2	3	3
1	2	2

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Example

3	3	3
2	2	•
•	1	2

Promotion for type A_{n-1}

Classical crystal: $B(s^r)$ set of **Young tableaux** of shape (s^r) over alphabet $\{1, 2, \dots, n\}$

Promotion:

- Remove rightmost n , play **jeu de taquin** and repeat.
- Increase all entries by one and place 1's in the empty spaces.

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Example

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●	●	2

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Example

4	4	4
3	3	3
1	1	2

Promotion

Crystal commutor: (Henriquez, Kamnitzer 2006)

$$\begin{aligned}\sigma_{B,C}: B \otimes C &\rightarrow C \otimes B \\ b \otimes c &\mapsto \eta(\eta(c)) \otimes \eta(b)\end{aligned}$$

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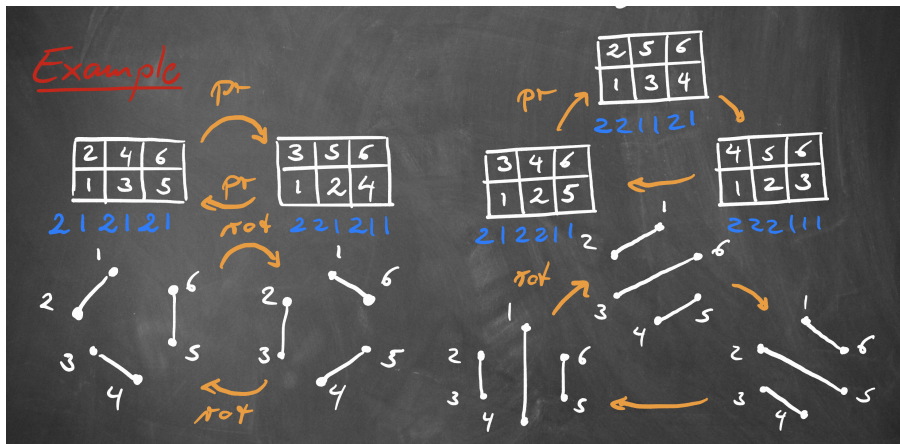
Definition (Promotion)

$u \in B^{\otimes n}$ highest weight

$$\text{pr}(u) = \sigma_{C^{\otimes n-1}, C}(u)$$

cyclic action on highest weight elements

Promotion – example



Cyclic sieving phenomenon

Theorem (Fontaine, Kamnitzer 2016, Westbury 2016, Pappé, Pfannerer, S., Simone 2023)

Highest weight elements in $B^{\otimes n}$ of weight zero, *promotion*, *one-dimensional configuration sums* gives rise to *cyclic sieving phenomenon*.

Cyclic sieving phenomenon

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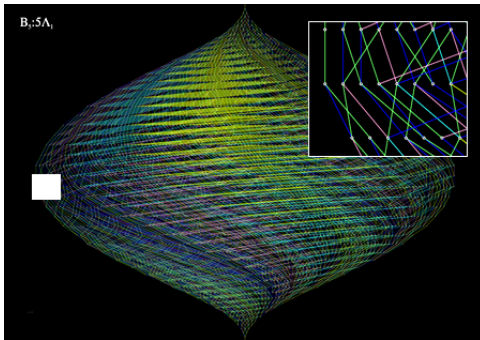
Cyclic sieving phenomenon: polynomials evaluated at roots of unity related to sizes of orbits under cyclic action

Reason 9

Crystals give rise to **cyclic sieving phenomena** and **promotion** gives a cyclic action.

Thank you !

Thank you !



Reason 10

Crystals are beautiful!