

Augmentations, Fillings, and Clusters

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Joint work with Honghao Gao and Linhui Shen

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Legendrian Links and Exact Lagrangian Fillings

Definition

Equip $\mathbb{R}_{xyz}^3$ with the standard contact 1-form $\alpha = dz - ydx$.

Definition

A *Legendrian link* is an embedded closed 1-dimensional submanifold $\Lambda \subset \mathbb{R}_{xyz}^3$ such that $\alpha|_{\Lambda} = 0$.

There are two useful projections when studying Legendrian links.

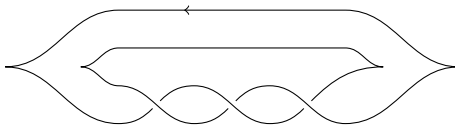
- Front projection $\pi_F : \mathbb{R}_{xyz}^3 \rightarrow \mathbb{R}_{xz}^2$; y can be recovered by $y = \frac{dz}{dx}$.
- Lagrangian projection $\pi_L : \mathbb{R}_{xyz}^3 \rightarrow \mathbb{R}_{xy}^2$; z can be recovered by $z = \int ydx$.

Example

A **Legendrian unknot**.

Example

For any positive braid $\beta \in \text{Br}_n^+$, its rainbow closure Λ_{β} is naturally a Legendrian link.



Symplectization and Exact Lagrangian Cobordism

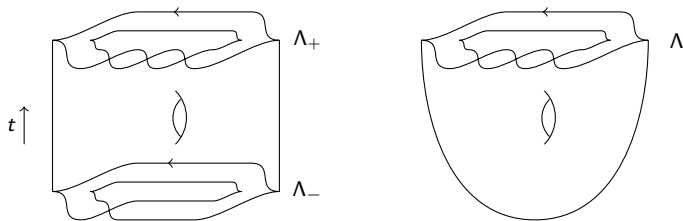
A contact manifold can be viewed as the boundary of a symplectic manifold. The symplectic manifold $\mathbb{R}^4_{xyzt}$ with symplectic form $\omega = d(e^t\alpha)$ is a *symplectization* of the standard contact $\mathbb{R}^3_{xyz}$.

Definition

Let $\Lambda_{\pm}$ be two Legendrian links. An *exact Lagrangian cobordism* $L : \Lambda_- \rightarrow \Lambda_+$ is a Lagrangian surface $L \subset \mathbb{R}^4_{xyzt}$ such that

- L is asymptotically $\Lambda_{\pm}$ at $\mathbb{R}^3_{xyz} \times (\pm\infty)$;
- the 1-form $e^t\alpha|_L = df$ for some function f on L that are asymptotically constant.

An exact Lagrangian cobordism $L : \emptyset \rightarrow \Lambda$ is also called an *exact Lagrangian filling*.

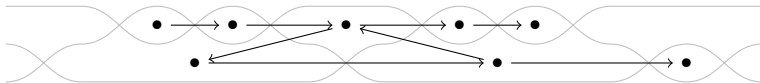


Distinguishing Exact Lagrangian Fillings

- By a result of Chantraine [Cha10], all exact Lagrangian fillings are topologically the same.
- We would like to distinguish exact Lagrangian fillings up to Hamiltonian isotopy.
- Another question: does there exist a Legendrian link with infinitely many non-Hamiltonian isotopic fillings?
- In 2020, different groups came up with examples with infinitely many fillings, answering the last question:
 - R. Casals and H. Gao [CG20] (January): any torus (n, m) -link except $(2, m)$, $(3, 3)$, $(3, 4)$ and $(3, 5)$.
 - R. Casals and E. Zaslow [CZ20] (July): rainbow closures of an infinite family of 3-strand braids.
 - H. Gao, L. Shen and W. [GSW20] (September): rainbow closures of positive braids β other than those associated with quivers of finite type.
 - R. Casals and L. Ng (upcoming): certain family of Legendrian links including some (-1) -closures of positive braids that are not necessarily rainbow closures of positive braids.
- Among the four projects above, the first two are based on the theory of microlocal sheaves [STZ17, TWZ19], and the latter two are based on symplectic field theory [EHK16]. Surprisingly (or not surprisingly), all of the four projects have direct or indirect connection to cluster theory.

Quiver from Positive Braids

Consider $\Lambda_{(2,1,1,1,2,1,1,2,2)} \in \text{Br}_3^+$.

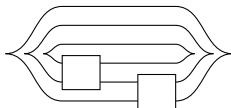


Below are what we call *standard ADE links*.

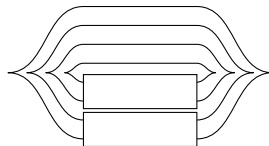
Br_2^+	Br_3^+			
A_r	D_r	E_6	E_7	E_8
s_1^{r+1}	$s_1^{r-2} s_2 s_1^2 s_2$	$s_1^3 s_2 s_1^3 s_2$	$s_1^4 s_2 s_1^3 s_2$	$s_1^5 s_2 s_1^3 s_2$

Theorem (Gao-Shen-W)

If the rainbow closure of a positive braid is not Legendrian isotopic to a split union of unknots and connect sums of standard ADE links, then it admits infinitely many non-Hamiltonian isotopic exact Lagrangian fillings.



connect sum



split union

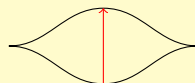
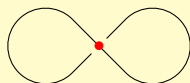
Chekanov-Eliashberg DGA and Augmentation Variety

Definition

Double points in a Lagrangian projection $\pi_L(\Lambda)$ are called *Reeb chords*. In other words, each Reeb chord corresponds to a pair of points in Λ with the same x - and y -coordinates.

Example

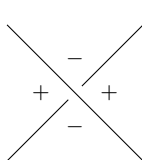
Below are depictions of the unique Reeb chord of the Legendrian unknot example in its Lagrangian projection and front projection.



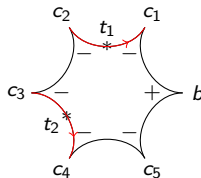
Definition of the Chekanov-Eliashberg dga

We follow [Che02, ENS02] for the construction of the CE dga. Let Λ be an **oriented** Legendrian link **each of whose components has rotation number 0**. We decorate $\pi_L(\Lambda)$ with marked points t_i away from crossings, such that each link component of Λ contains at least (a lift of) one such marked point.

- As an algebra, the CE dga $\mathcal{A}(\Lambda)$ is a $\mathbb{Z}_2$ -algebra freely generated by the Reeb chords in $\pi_L(\Lambda)$ and formal variables $t_i^{\pm 1}$ associated with the marked points.
- The degrees of the formal variables $t_i^{\pm 1}$ are 0; the degrees of the Reeb chords are given by the Maslov potential.
- The differential is given by a counting of pseudo-holomorphic disks in $\pi_L(\Lambda)$.



Reeb sign

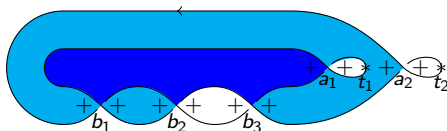


$$w(u) = c_1 t_1^{-1} c_2 c_3 t_2 c_4 c_5$$

$$\partial b = \sum_{c_1, \dots, c_n} \sum_{u \in \mathcal{M}(b; c_1, \dots, c_n)} w(u).$$

Example

Consider the following Legendrian trefoil Λ .



- As an algebra, $\mathcal{A}(\Lambda)$ is generated freely over $\mathbb{Z}_2$ by $t_i^{\pm 1}$, b_i , and a_i .
- The grading on the generators are

$$|t_i^{\pm 1}| = |b_i| = 0, \quad |a_i| = 1.$$

- Note that $\mathcal{A}(\Lambda)$ is concentrated in non-negative degrees, so automatically

$$\partial b_i = \partial t_i^{\pm 1} = 0.$$

For ∂a_1 and ∂a_2 :

$$\partial a_1 = t_1^{-1} + b_1 + b_3 + b_1 b_2 b_3,$$

$$\partial a_2 = t_2^{-1} + b_2 + t_1 + b_2 b_3 t_1 + t_1 b_1 b_2 + b_2 b_3 t_1 b_1 b_2.$$

Augmentation Variety

Definition

Let Λ be a Legendrian link and let $\mathcal{A}(\Lambda)$ be its CE-dga. Let $\mathbb{F}$ be an algebraically closed field of characteristic 2. An *augmentation* is a dga homomorphism $\epsilon : \mathcal{A}(\Lambda) \rightarrow \mathbb{F}$ where $\mathbb{F}$ is concentrated at degree 0 and equipped with the trivial differential. The *augmentation variety* $\text{Aug}(\Lambda)$ is defined to be the moduli space of augmentations of $\mathcal{A}(\Lambda)$.

- Note that an augmentation ϵ basically assigns $\mathbb{F}$ -values to the degree 0 generators of $\mathcal{A}(\Lambda)$ subject to the conditions $\partial a = 0$ for degree 1 Reeb chords a . Therefore augmentation varieties are always affine varieties.
- If $\mathcal{A}(\Lambda)$ is concentrated in non-negative degrees, then

$$\text{Aug}(\Lambda) \cong \text{Spec } H_0(\mathcal{A}^c(\Lambda)),$$

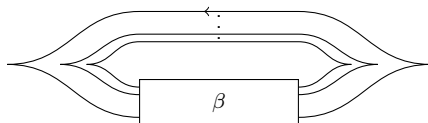
where c stands for the commutatization of $\mathcal{A}(\Lambda)$.

Example

In our last example, we have $\partial a_1 = t_1^{-1} + b_1 + b_3 + b_1 b_2 b_3$ and $\partial a_2 = t_2^{-1} + b_2 + b_1 + b_2 b_3 t_1 + t_1 b_1 b_2 + b_2 b_3 t_1 b_1 b_2$. Setting these two equations to 0 cuts out a 3-dimensional affine variety in $\mathbb{F}_{b_1, b_2, b_3}^3 \times (\mathbb{F}^\times)_{t_1, t_2}^2$.

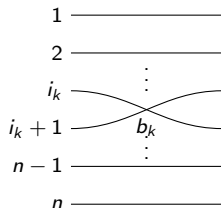
Augmentation Varieties of Positive Braid Closures

For a positive braid $\beta \in \text{Br}_n^+$, Λ_β has $n + l$ number of Reeb chords in total. There are l Reeb chords coming from the crossings of β , which we denote by b_k ($1 \leq k \leq l$), and n Reeb chords coming from the right cusps, which we denote by a_i . Their gradings are $|b_k| = 0$ and $|a_i| = 1$.



For the crossing i_k between the i_k th and $i_k + 1$ st strands, we associate an $n \times n$ matrix $Z_{i_k}(b_k)$ of the form

$$Z_{i_k}(b_k) = \begin{pmatrix} 1 & & & & & \\ & \ddots & & & & \\ & & b_k & 1 & & \\ & & 1 & 0 & & \\ & & & & \ddots & \\ & & & & & 1 \end{pmatrix}$$



Augmentation Varieties of Positive Braid Closures

Let $\beta = (i_1, \dots, i_l)$ be a positive braid in Br_n^+ . Define $M := Z_{i_1}(b_1) Z_{i_2}(b_2) \cdots Z_{i_l}(b_l)$.

Theorem (Gao-Shen-W)

The homology $H_0(\mathcal{A}(\Lambda_\beta))$ is generated by $b_1, \dots, b_l, t_1^{\pm 1}, \dots, t_n^{\pm 1}$, modulo the relations $t_k^{-1} = M_k$ for $1 \leq k \leq n$, where M_k is the Gelfand-Retakh quasi-determinant [GR91] of the upper-left $k \times k$ submatrix of M with respect to the (k, k) -entry.

Corollary (Gao-Shen-W.)

Let M^c be the matrix obtained by abelianizing entries of the matrix M . Then the augmentation variety $\text{Aug}(\Lambda_\beta)$ is isomorphic to the non-vanishing locus of $\prod_{m=1}^n \Delta_m(M^c)$ in $\mathbb{F}_{b_1, \dots, b_l}^l$, where Δ_m denotes the determinant of the $m \times m$ submatrix at the upper left corner.

We recognize that the non-vanishing locus stated above is isomorphic to a double Bott-Samelson cell, which is known to be a cluster variety.

Double Bott-Samelson Cells and Cluster Varieties

Double Bott-Samelson Cells

Double Bott-Samelson cells were introduced in a joint work with L. Shen [SW19]. Let G be a Kac-Peterson group with a pair of opposite Borel subgroups $B_{\pm}$. Denote $xB_{\pm} \xrightarrow{w} yB_{\pm}$ if $x^{-1}y \in B_{\pm}wB_{\pm}$ and denote $xB_{-} \xrightarrow{w} yB_{+}$ if $x^{-1}y \in B_{-}wB_{+}$.

$$P_{i_1} \times_{B_+} P_{i_2} \times_{B_+} \cdots \times_{B_+} P_{i_l} = \bigsqcup_{j \leq i} (B_+ s_{j_1} B_+) \times_{B_+} (B_+ s_{j_2} B_+) \times_{B_+} \cdots \times_{B_+} (B_+ s_{j_m} B_+)$$

$[x_1, \dots, x_m] \in (B_+ s_{j_1} B_+) \times_{B_+} \cdots \times_{B_+} (B_+ s_{j_m} B_+)$ gives rise to a unique sequence

$$B_+ \xrightarrow{s_{j_1}} x_1 B_+ \xrightarrow{s_{j_2}} x_1 x_2 B_+ \xrightarrow{s_{j_3}} \cdots \xrightarrow{s_{j_m}} x_1 \cdots x_m B_+.$$

Denote left cosets of B_+ as B^i and denote left cosets of B_- as B_j . Let $U_{\pm} := [B_{\pm}, B_{\pm}]$. Denote left cosets of U_+ as A^i and denote left cosets of U_- as A_j .

Definition (Shen-W.)

Let (β, γ) be a pair of positive braids associated to G and let $i = (i_1, \dots, i_l)$ and $j = (j_1, \dots, j_m)$ be words of β and γ . The *decorated double Bott-Samelson cell* is

$$\text{Conf}_{\beta}^{\gamma}(\mathcal{C}) = \left\{ \begin{array}{ccccccc} A_0 & \xrightarrow{s_{j_1}} & B_1 & \xrightarrow{s_{j_2}} & \cdots & \xrightarrow{s_{j_m}} & B_m \\ | & & & & & & | \\ B^0 & \xrightarrow{s_{i_1}} & B^1 & \xrightarrow{s_{i_2}} & \cdots & \xrightarrow{s_{i_l}} & B^l \end{array} \right\} / G.$$

Decorated Double Bott-Samelson Cells

For a point in $\text{Conf}_\beta^e(\mathcal{C})$, we can use the G -action to move the configuration such that the left vertical edge is $U_- \longrightarrow B_+$. There are $\mathbb{A}^1$ -many flags that are s_{i_1} away from B_+ , and they can be parametrized as $z_{i_1}(q_1)B_+$, where $z_i(q) = \varphi_i \begin{pmatrix} q & -1 \\ 1 & 0 \end{pmatrix}$.

$$\begin{array}{c}
 U_- \\
 \swarrow \quad \searrow \\
 B_+ \xrightarrow{s_{i_1}} z_{i_1}(q_1)B_+ \xrightarrow{s_{i_2}} z_{i_1}(q_1)z_{i_2}(q_2)B_+ \xrightarrow{s_{i_3}} \cdots \xrightarrow{s_{i_l}} z_{i_1}(q_1) \cdots z_{i_l}(q_l)B_+
 \end{array}$$

In order for $U_- \longrightarrow z_{i_1}(q_1) \cdots z_{i_l}(q_l)B_+$ to hold, we need all principal minors of $z_{i_1}(q_1) \cdots z_{i_l}(q_l)$ to be non-zero.

Theorem (Gao-Shen-W.)

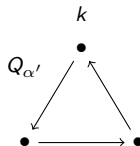
For $G = SL_n$, the map $\gamma^*(q_i) = b_i$ defines a canonical isomorphism $\gamma : \text{Aug}(\Lambda_\beta) \xrightarrow{\cong} \text{Conf}_\beta^e(\mathcal{C})$ (over characteristic 2). Moreover, this canonical isomorphism does not depend on the choice of word i for β .

We proved in [SW19] that double Bott-Samelson cells, both decorated and undecorated, are cluster varieties. By a pull-back through γ , we obtain a cluster variety structure on $\text{Aug}(\Lambda_\beta)$.

Crash Course on Cluster Varieties

- Cluster theory began with the invention of cluster algebras by Fomin and Zelevinsky [FZ02]. Fock and Goncharov introduced cluster varieties [FG09] as geometric counterparts of cluster algebras.
- There are two types of cluster varieties, one is called K2 or $\mathcal{A}$, and the other one is called Poisson or $\mathcal{X}$. We will focus on the $\mathcal{A}$ type today.
- A cluster variety is an affine variety together with an atlas (up to codimension 2) of open torus charts called *cluster charts*.
- Each torus chart α is equip with a set of *cluster coordinates* $(A_{i;\alpha})$ and a quiver Q_α . The cluster coordinates are indexed by the vertex set of the quiver Q_α .
- Charts are glued via a process called *cluster mutation*. For any (unfrozen) vertex k of Q_α , the cluster mutation μ_k relates α to another cluster chart α' , and their cluster coordinates are related by

$$A_{i;\alpha'} = \begin{cases} \frac{1}{A_{k;\alpha}} \left(\prod_{j \rightarrow k \text{ in } Q_\alpha} A_{j;\alpha} + \prod_{k \rightarrow j \text{ in } Q_\alpha} A_{j;\alpha} \right) & \text{if } i = k, \\ A_{i;\alpha} & \text{otherwise.} \end{cases}$$



On the other hand, their quivers $Q_{\alpha'}$ and Q_α are related by a *quiver mutation* at the quiver vertex k .

Admissible Fillings and Cluster Charts

Admissible Fillings

- Ekholm, Honda, and Kálmán [EHK16] constructed a contravariant functor Φ^* from the cobordism category of Legendrian links in the symplectization $\mathbb{R}^4_{xyzt}$ to the category of dga's, mapping Λ to $\mathcal{A}(\Lambda)$.
- They further proved that if two exact Lagrangian cobordisms $L, L' : \Lambda_- \rightarrow \Lambda_+$ are Hamiltonian isotopic, then the induced dga homomorphisms Φ_L^* and $\Phi_{L'}^*$ are chain-homotopic, which then implies that they induce equal homomorphisms between the 0th homologies

$$\Phi_L^* = \Phi_{L'}^* : H_0(\mathcal{A}(\Lambda_+)) \rightarrow H_0(\mathcal{A}(\Lambda_-)).$$

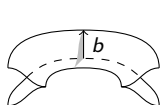
- Consequently, if the dga $\mathcal{A}(\Lambda_{\pm})$ are concentrated in non-negative degrees, then Hamiltonian isotopic exact Lagrangian cobordisms induce an equal augmentation variety morphisms

$$\Phi_L = \Phi_{L'} : \text{Aug}(\Lambda_-) \rightarrow \text{Aug}(\Lambda_+).$$

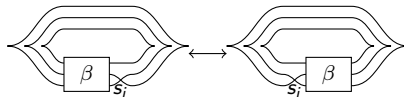
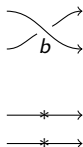
- In this project, we focus on a special family of exact Lagrangian fillings which we call *admissible fillings*. They are compositions of the following:
 - saddle cobordisms;
 - cyclic rotations;
 - braid moves;
 - filling an unknot.

We choose these as building blocks because we can compute their functorial morphisms explicitly by based on methods developed by Ekholm-Honda-Kálmán [EHK16] and Sivek [Siv11].

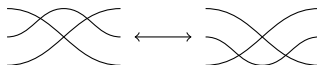
Fillings and Clusters



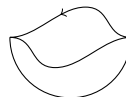
saddle cobordism



cyclic rotation



braid move

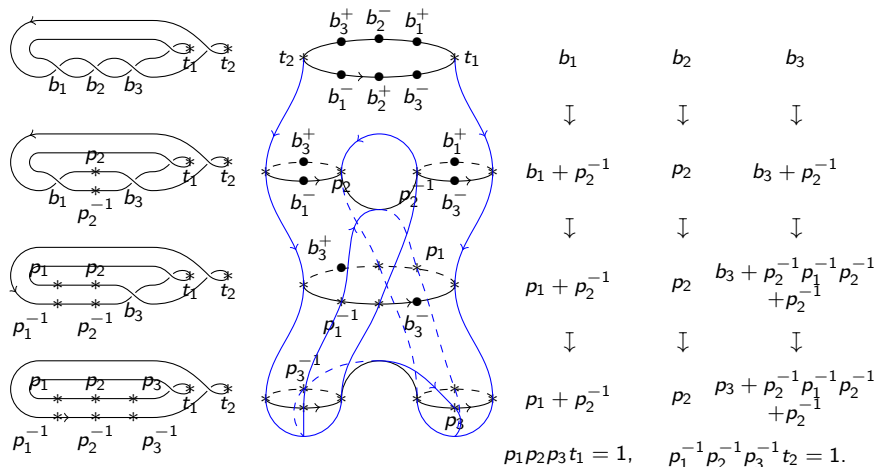


filling an unknot

Theorem (Gao-Shen-W.)

If L is an admissible filling of Λ_β , then $\Phi_L : \text{Aug}(\emptyset, \mathcal{P}) \rightarrow \text{Aug}(\Lambda_\beta)$ is an open embedding of an algebraic torus, and the image of Φ_L coincides with a cluster chart of $\text{Aug}(\Lambda_\beta)$. Moreover, if two admissible fillings L and L' correspond to two distinct cluster seeds, then L and L' are not Hamiltonian isotopic.

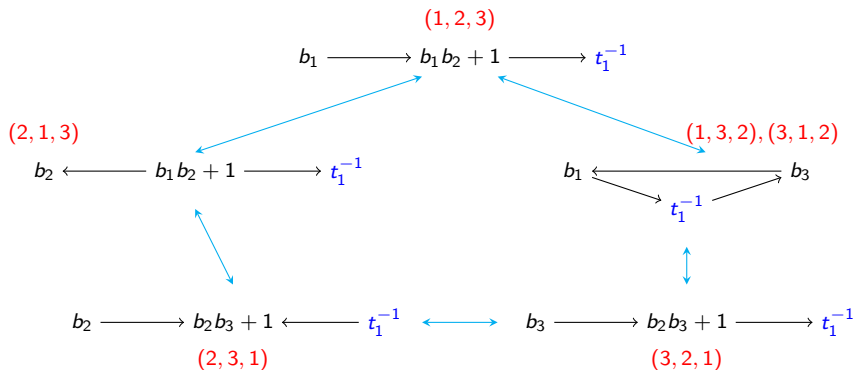
Example



$$\text{Aug}(\emptyset, \mathcal{P}) \cong (\mathbb{F}^\times)_{p_1, p_2, p_3}^3 \longrightarrow \text{Aug}(\Lambda_{(1,1,1)}) \subset \mathbb{F}_{b_1, b_2, b_3}^3.$$

Example Continued

The Legendrian trefoil augmentation variety $\text{Aug}(\Lambda_{(1,1,1)})$ is cut out from $\mathbb{F}_{b_1, b_2, b_3}^3 \times \mathbb{F}_{t_1}^\times$ by the equation $t_1^{-1} + b_1 + b_3 + b_1 b_2 b_3 = 0$. It has five clusters.



We have a **calculator** to compute Φ_L and the corresponding cluster for any admissible filling L of the rainbow closure of a positive braid.

Full Cyclic Rotation and Cluster DT Transformation

Definition

We define the *full cyclic rotation* $R : \Lambda_\beta \rightarrow \Lambda_\beta$ to be the exact Lagrangian cobordism that rotates the crossings of β one-by-one from right to left.

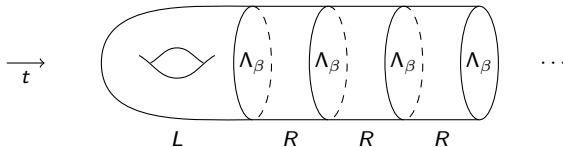
Theorem (Gao-Shen-W.)

The functorial isomorphism $\Phi_R : \text{Aug}(\Lambda_\beta) \rightarrow \text{Aug}(\Lambda_\beta)$ equals DT^2 , where DT is the cluster Donaldson-Thomas transformation on $\text{Aug}(\Lambda_\beta)$.

The cluster DT transformation is a biregular automorphism on a cluster variety and it permutes the cluster charts on a cluster variety. It is known that if Q is an acyclic quiver of infinite type, then this permutation is of infinite order [LLMSS20].

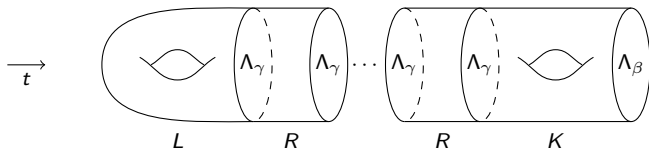
Corollary (Gao-Shen-W.)

Suppose $\text{Aug}(\Lambda_\beta)$ has a quiver that is acyclic and of infinite type. Then for any admissible filling L of Λ_β , the set $\{R^k \circ L \mid k \geq 0\}$ is an infinite family of non-Hamiltonian isotopic exact Lagrangian fillings.



Most Positive Braid Closures Admit Infinitely Many Fillings

- For a general positive braid β , we employ an exhaustive argument in [GSW20] to show that if Λ_β is not Legendrian isotopic to a split union of unknots and connect sums of standard ADE links, then there exists a positive braid γ with an acyclic quiver of infinite type and with an admissible cobordism $K : \Lambda_\gamma \rightarrow \Lambda_\beta$.



- We also prove that the functorial morphism $\Phi_K : \text{Aug}(\Lambda_\gamma) \rightarrow \text{Aug}(\Lambda_\beta)$ is an open embedding that preserves the cluster structure.
- Therefore, by composing K with the infinitely many non-Hamiltonian isotopic admissible fillings of Λ_γ we get infinitely many non-Hamiltonian isotopic admissible fillings of the form $K \circ R^k \circ L$ for Λ_β as well.

- There is a characteristic 0 version of CE dga's and augmentation varieties. When Λ_β is decorated with only one marked point per link component, the characteristic 0 augmentation variety $\text{Aug}(\Lambda_\beta)$ is a symplectic variety.
- There is a Deohdar stratification on double Bott-Samelson cells [SW19], and there is a stratification on augmentation variety by normal rulings [HR14]. These two stratifications coincide under the canonical isomorphism γ .
- For any Legendrian link Λ one can also define an *augmentation stack* $\text{Aug}_{st}(\Lambda)$ [NRSSZ15], and the augmentation stack possesses an unfrozen cluster $\mathcal{X}$ structure [STWZ19, SW19]. For any positive braid β , the projection map $\text{Aug}(\Lambda_\beta) \rightarrow \text{Aug}_{st}(\Lambda_\beta)$ coincides with the cluster theoretical map $p : \mathcal{A} \rightarrow \mathcal{X}^{uf}$.

Thank You!

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