The Geometry of Products of Minors 1

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Abstract

We consider the Newton polytope $\Sigma(m,n)$ of the product of all minors of an $m \times n$ matrix of indeterminates. Using the fact that this polytope is the secondary polytope of the product $\Delta_{m-1} \times \Delta_{n-1}$ of simplices, and thus has faces corresponding to coherent polyhedral subdivisions of $\Delta_{m-1} \times \Delta_{n-1}$, we study facets of $\Sigma(m,n)$, which correspond to the coarsest, nontrivial such subdivisions. We make use of the relation between secondary and fiber polytopes, which in this case gives a representation of $\Sigma(m,n)$ as the Minkowski average of all $m \times n$ transportation polytopes.

1. Newton, secondary and fiber polytopes.

Given an $m \times n$ matrix $A = (a_{ij})$ of indeterminates, we consider the polytope $\Sigma(m,n) \subset \mathbb{R}^{mn}$ defined as the *Newton polytope* of the product of *all* minors of A, that is, the convex hull of all exponent vectors obtained when one expands this product as a polynomial in the a_{ij} . For example, when m = n = 2, $A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$, the product of minors is

$$|a_{11}| \cdot |a_{12}| \cdot |a_{21}| \cdot |a_{22}| \cdot \begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} = a_{11}^2 a_{12} a_{21} a_{22}^2 - a_{11} a_{12}^2 a_{21}^2 a_{22}^2$$

and so $\Sigma(2,2)$ is the line segment joining $\begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$ and $\begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix}$ in \mathbb{R}^4 .

We address here the problem of determining the polytope $\Sigma(m,n)$ for general m and n. Determining a polytope can be accomplished in several different ways, for example, by giving a description of its vertices, or by determining a minimal set of linear inequalities

Research done, in part, while the authors visiting the Mittag-Leffler Institute, Djursholm, Sweden, during the Spring of 1992. Its hospitality and support is gratefully acknowledged.

² Partially supported by NSF grants DMS-9207700 and DMS-9500581 and by the US Army Research Office through the Mathematical Sciences Institute of Cornell University, contract DAAL03-91-C-0027.

Partially supported by an NSF Postdoctoral Fellowship.

which define it. The latter amounts to describing all its faces of codimension 1, that is, its facets. This is the point of view we adopt in this paper. We begin a description of $\Sigma(m,n)$ by describing some classes of facets and giving a means to determine the linear inequalities to which they correspond. Note that the approach by description of vertices was taken in [1], [13] and [16], where the closely related polytope $\Pi_{m,n}$, the Newton polytope of the product of maximal minors, was considered.

Our approach to $\Sigma(m,n)$ depends on the fact that it is equal to the secondary polytope $\Sigma(\Delta_{m-1} \times \Delta_{n-1})$ of the product $\Delta_{m-1} \times \Delta_{n-1}$ [8;§3E.3], [7; §7.3.D]. (Here Δ_k denotes the standard k-simplex, defined as the convex hull of the k+1 unit vectors in \mathbb{R}^{k+1} .) Thus, the lattice of faces of $\Sigma(m,n)$ is isomorphic to the poset of all coherent polyhedral subdivisions of $\Delta_{m-1} \times \Delta_{n-1}$ that add no new vertices. A subdivision is coherent if it supports a strictly convex piecewise linear function, where strictly convex means there is a different linear function on each maximal cell of the subdivision. See [7], [8], [3] or [5] for a discussion of secondary polytopes and coherent (or regular) subdivisions. Vertices of $\Sigma(m,n)$ correspond to the coherent triangulations of $\Sigma(\Delta_{m-1} \times \Delta_{n-1})$, while facets correspond to the coarsest possible coherent subdivisions (see, e.g., [7; §7.2.B]).

It is known that $\Sigma(\Delta_{m-1} \times \Delta_{n-1})$ has only coherent triangulations when (m,n) satisfies (m-2)(n-2) < 4. In all other cases, there is at least one triangulation that is not coherent. See [6] and [15].

So to study faces of the secondary polytope $\Sigma(\Delta_{m-1} \times \Delta_{n-1})$, it is sufficient to study the structure of the associated coherent subdivisions of $\Delta_{m-1} \times \Delta_{n-1}$. To do this, we make use of the fact that secondary polytopes are (up to scalar multiple) equal to fiber polytopes of projections of simplices, in this case, the projection of the (mn-1)-simplex onto $\Delta_{m-1} \times \Delta_{n-1}$.

We begin with some definitions. If $P \subset \mathbb{R}^n$ and $Q \subset \mathbb{R}^d$ are convex polytopes, and $\pi : \mathbb{R}^n \to \mathbb{R}^d$ is a linear map with $\pi(P) = Q$, then we define the fiber polytope $\Sigma(P,Q)$ to be the Minkowski average of all fibers $\pi^{-1}(q)$ over $q \in Q$, i.e.,

$$\Sigma(P,Q) = \frac{1}{\operatorname{vol} Q} \int_Q \pi^{-1}(q) \ dq.$$

Here the set-valued integral can be defined as the set of integrals of all sections $\gamma: Q \to P$ of π (i.e., $\pi \circ \gamma$ is the identity on Q). Alternatively, it can be defined as a Riemann-type limit of Minkowski sums of sets $\pi^{-1}(q)$ or as the convex set whose support function is pointwise the integral, over q, of the support functions of the sets $\pi^{-1}(q)$. See [5], where it is shown that $\Sigma(P,Q) \subset \mathbf{R}^n$ is a polytope of dimension $\dim P - \dim Q$, whose face lattice is isomorphic to a poset of polyhedral subdivisions of Q (the P-coherent subdivisions).

In particular, when P is a simplex, say $P = \Delta_{n-1}$, the fiber polytope $\Sigma(P,Q)$ is (up to scaling) the secondary polytope $\Sigma(Q)$ (or, more precisely, the secondary polytope $\Sigma(A)$, where A is the set of images under π of the vertices of P). A brief discussion of fiber polytopes can also be found in [7; §7.1.E].

In the case of $\Sigma(\Delta_{m-1} \times \Delta_{n-1})$, the corresponding map $\pi: \Delta_{mn-1} \to \Delta_{m-1} \times \Delta_{n-1}$ has as fibers all $m \times n$ transportation polytopes, i.e., polytopes of nonnegative $m \times n$ matrices with prescribed row and column sums. Thus the study of the Newton polytope $\Sigma(m,n)$ is equivalent to the study of the average transportation polytope. From this we conclude, for example, that $\Sigma(m,n)$ has dimension (m-1)(n-1).

In general, the fiber polytope is a subset of the fiber $\pi^{-1}(x_Q)$ over the centroid of Q. The exact relationship between secondary and fiber polytopes [5; Thm. 2.5] is given by

$$\Sigma(Q) = (\dim Q + 1) \ vol(Q) \ \Sigma(\Delta_{n-1}, Q).$$

Thus $\Sigma(\Delta_{mn-1}, \Delta_{m-1} \times \Delta_{n-1})$ consists of $m \times n$ matrices with row sums $\frac{1}{m}$ and column sums $\frac{1}{n}$. In order to make the identification between the Newton polytope $\Sigma(m,n)$ and the secondary polytope $\Sigma(\Delta_{m-1} \times \Delta_{n-1})$, we normalize volume so that $vol(\Delta_{m-1} \times \Delta_{n-1}) = \binom{m+n-2}{m-1}$. (All simplices in $\Delta_{m-1} \times \Delta_{n-1}$ have the same volume, which we take to be 1; see §6). Thus, $\Sigma(m,n)$ consists of matrices with row sums $\binom{m+n-1}{m}$ and column sums $\binom{m+n-1}{n}$. Thus when m=n=2, the row and column sums must be 3, as seen above.

In §2, we consider the general case of fiber polytopes and give a concrete description of the isomorphism between faces and coherent polyhedral subdivisions. This is specialized in §3 to the case of faces of $\Sigma(m,n)$ and coherent polyhedral subdivisions of $\Delta_{m-1} \times \Delta_{n-1}$. In particular, for each $\Theta \in \mathbb{R}^{mn}$, we give a description of the subdivision Π_{Θ} corresponding to the face of $\Sigma(m,n)$ having outward normal Θ . If Θ is a 0-1-matrix, the maximal cells of Π_{Θ} can be read directly from the minimal line covers of the 1's of Θ (sets of rows and columns including all the 1's). In some cases the resulting subdivision can be shown to be coarsest possible, and so the corresponding Θ will be normal to a facet of $\Sigma(m,n)$. In other cases we can give an upper bound on the dimension of certain faces of $\Sigma(m,n)$.

We study edges of $\Sigma(m,n)$ in §4, and use this information to give lower bounds on the dimensions of certain faces. In some cases we can combine these bounds to fix the dimension of a face. We do this, in particular, for almost all faces of $\Sigma(m,n)$ admitting a **0-1** normal. In §5, we use homological methods to construct facets that need arbitrarily large integers for any integral normal; in particular, these admit no **0-1** normal.

In §6, formulas for the support function are derived for fiber and secondary polytopes. In the case of $\Sigma(m,n)$, the value of the support function h_{mn} at a 0-1 matrix Θ can be expressed in terms of the minimal line covers of Θ . This calculation is carried out in §7 for some special Θ and for small values of m and n.

Finally, we give some notational conventions. For P a convex polyhedron in \mathbb{R}^n and $\theta \in \mathbb{R}^n$, we denote by P^{θ} and P_{θ} the faces of P where the linear form $\langle \theta, \cdot \rangle$ achieves its maximum and minimum, respectively. Note that $P^{-\theta} = P_{\theta}$. For a set $X \subset \mathbb{R}^n$, we denote by cone X (resp. pos X) the set of all nonnegative (resp. positive) linear combinations of the points in X. We will denote the i^{th} row and the j^{th} column of a matrix B by B_i and B^j , respectively. The set $\{1, \ldots, n\}$ will be denoted [n].

2. Coherent subdivisions and faces of $\Sigma(P,Q)$.

We consider first the general fiber polytope $\Sigma(P,Q)$, where $P = conv\{p_1, \ldots, p_m\} \subset \mathbb{R}^n$, $Q = conv\{q_1, \ldots, q_m\} \subset \mathbb{R}^d$ and $\pi : P \to Q$ a linear map such that $\pi(p_i) = q_i$. By Theorem 2.1 of [5], each face $\Sigma(P,Q)^{\theta}$ of $\Sigma(P,Q)$ corresponds to a certain (coherent) polyhedral subdivision Π_{θ} . We describe this correspondence in this section and specialize it to obtain the correspondence between faces of the secondary polytope $\Sigma(Q)$ and coherent subdivisions of Q. As in [3], [5], [7] and [8], by a polyhedral subdivision of Q we mean a collection Π of subsets of $\{q_1, \ldots, q_m\}$ whose convex hulls form a polyhedral complex that covers Q (cf. [7; Definition 7.2.1]). Note this definition requires that if $A, B \in \Pi$ and conv A is a face of conv B, then $A = B \cap conv A$.

The fiber $\pi^{-1}(q)$ over a point $q \in Q$ can be written as

$$\pi^{-1}(q) = \{ \sum_{i=1}^{m} \lambda_i p_i \mid \sum_{i=1}^{m} \lambda_i q_i = q, \sum_{i=1}^{m} \lambda_i = 1, \lambda_i \ge 0 \} \subset \mathbb{R}^n.$$
 (2.1)

Let $(y_0, y) = (y_0, y_1, \dots, y_d)$ denote a point in \mathbb{R}^{d+1} . For $\theta \in \mathbb{R}^n$ define the polyhedron

$$S(\theta) = \{ (y_0, y) \in \mathbb{R}^{d+1} \mid y_0 + \langle y, q_i \rangle \ge \langle \theta, p_i \rangle, \ i = 1, \dots, m \}.$$
 (2.2)

For $y \in S(\theta)$, define

$$\sigma_y = \{ q_i \mid y_0 + \langle y, q_i \rangle = \langle \theta, p_i \rangle \}. \tag{2.3}$$

The following gives a concrete description of the lattice isomorphism given by Theorem 2.1 of [5].

Theorem 2.1. In the correspondence between faces of the fiber polytope $\Sigma(P,Q)$ and polyhedral subdivisions of Q, the face $\Sigma(P,Q)^{\theta}$ corresponds to the coherent subdivision

$$\Pi_{\theta} = \{ \sigma_{y} \mid y \in S(\theta) \}.$$

Proof: By general linear programming duality we can write

$$\max\{ \langle \theta, p \rangle \mid p \in \pi^{-1}(q) \} = \min\{ y_0 + \langle y, q \rangle \mid (y_0, y) \in S(\theta) \}.$$
 (2.4)

Since $\max\{\langle \theta, p \rangle \mid p \in \pi^{-1}(q) \}$ is finite precisely when $q \in Q$, we have that (1,q) is an element of the relatively open inner normal cone $N(S(\theta), y)$ to the polyhedron $S(\theta)$ at some point y if and only if $q \in Q$. In fact, for $y \in relint S(\theta)_{(1,q)}$, we have

$$(1,q) \in N(S(\theta), y) = pos\{ (1,z) \mid z \in \sigma_y \}.$$

Thus $\Pi := \{ \sigma_y \mid y \in S(\theta) \}$ is a polyhedral subdivision of Q; it is given by the intersection of the (sets of generators of the cones in the) normal fan of $S(\theta)$ with the hyperplane $y_0 = 1$ in \mathbb{R}^{d+1} .

By Theorem 2.1 of [5], $\sigma \in \Pi_{\theta}$ if and only if for $q \in conv \sigma$, the maximum value of $\langle \theta, p \rangle$ over the fiber $\pi^{-1}(q)$ is attained on the face

$$\pi^{-1}(\sigma) := conv\{ \ p_i \mid q_i = \pi(p_i) \in \sigma \ \}$$
 (2.5)

of P. That is,

$$\pi^{-1}(q)^{\theta} = \pi^{-1}(\sigma) \cap \pi^{-1}(q). \tag{2.6}$$

For $q \in relint \ conv \ \sigma$, the face $\pi^{-1}(q)^{\theta}$ contains a point $p \in relint \ \pi^{-1}(\sigma)$, and so

$$max\{ \langle \theta, p \rangle \mid p \in \pi^{-1}(q) \}$$

is attained at a point $p = \sum \lambda_i p_i$ for which $\lambda_i > 0$ whenever $q_i \in \sigma$. Thus for any (y_0, y) solving

$$\min\{\ y_0 + \langle y, q \rangle \mid (y_0, y) \in S(\theta)\ \},\$$

 $q_i \in \sigma$ implies $y_0 + \langle y, q_i \rangle = \langle \theta, p_i \rangle$, and so $\sigma \subset \sigma_y$. Thus Π_θ refines Π .

On the other hand, any $p = \sum \lambda_i p_i$ solving $max\{ \langle \theta, p \rangle \mid p \in \pi^{-1}(q) \}$ must satisfy $\lambda_i = 0$ when $q_i \notin \sigma$ and so, by strict complementarity, there is a $(y_0, y) \in S(\theta)_{(1,q)}$ with $y_0 + \langle y, q_i \rangle > \langle \theta, p_i \rangle$ whenever $q_i \notin \sigma$. Thus $\sigma_y \subset \sigma$, and we conclude $\Pi_\theta = \Pi$.

One way to understand this association $\theta \mapsto \Pi_{\theta}$ is to observe that, for fixed θ , the maximum in (2.4) varies piecewise-linearly in q. The subdivision Π_{θ} gives the associated regions of linearity. A consequence of the proof of Theorem 2.1 is that the lattice of faces of the subdivision Π_{θ} is the lattice of faces of the normal fan to the (necessarily unbounded) polyhedron $S(\theta)$.

Corollary 2.2. The lattice of faces of the subdivision Π_{θ} is anti-isomorphic to the lattice of faces of the polyhedron $S(\theta)$. In particular, the maximal cells of the subdivision Π_{θ} correspond to the minimal faces of $S(\theta)$. \triangleleft

In the case of the secondary polytope of Q, we have m = n and $P = \Delta_{n-1}$, and so (2.1), (2.2) and (2.3) simplify, respectively, to $\pi^{-1}(q) = \{ \lambda \in \mathbb{R}^n \mid \sum \lambda_i q_i = q, \sum \lambda_i = 1, \lambda \geq 0 \}$, the set of all convex representations of q, $S(\theta) = \{ (y_0, y) \in \mathbb{R}^{d+1} \mid y_0 + \langle y, q_i \rangle \geq \theta_i, i = 1, \ldots, m \}$, the set of all downward pointing halfspaces containing the convex hull of the points (q_i, θ_i) , and $\sigma_y = \{ q_i \mid y_0 + \langle y, q_i \rangle = \theta_i \}$, the set of points on some bottom face of this convex hull.

3. Coherent subdivisions of $\Delta_{m-1} \times \Delta_{n-1}$ and faces of $\Sigma(m,n)$.

We consider here the case of the secondary polytope $\Sigma(\Delta_{m-1} \times \Delta_{n-1})$, which corresponds to the special case of the situation described in §2 in which P is the (mn-1)-simplex Δ_{mn-1} and $Q = \Delta_{m-1} \times \Delta_{n-1}$. As in the general case, we make more explicit the association between faces and subdivisions of $\Delta_{m-1} \times \Delta_{n-1}$. For faces defined by **0-1** normals, this association leads to consideration of the classical combinatorial notion of a line cover of a **0-1** matrix.

We define the map $\pi: \mathbb{R}^{mn} \to \mathbb{R}^m \times \mathbb{R}^n$ in this case as follows. We consider elements of \mathbb{R}^{mn} to be $m \times n$ matrices; unit vectors are then the **0-1** matrices E_{ij} having a single 1 in the i^{th} row and j^{th} column. Then

$$\Delta_{mn-1} = \{ X \in \mathbb{R}^{mn} \mid X = (x_{ij}) \ge 0, \sum_{ij} x_{ij} = 1 \}$$
$$= conv\{ E_{ij} \mid i \in [m], j \in [n] \}.$$

For $X \in \mathbb{R}^{mn}$, let $r(X) \in \mathbb{R}^m$ and $c(X) \in \mathbb{R}^n$ denote the vectors of row sums and column sums of X. The map π is then defined by $\pi(X) = (r(X), c(X))$. We define $v_{ij} := \pi(E_{ij}) = (e_i, f_j)$, where e_i and f_j are the i^{th} and j^{th} unit vectors in \mathbb{R}^m and \mathbb{R}^n , respectively. Thus

$$\Delta_{m-1} \times \Delta_{m-1} = conv\{ v_{ij} \mid i \in [m], j \in [n] \}.$$

For $(a, b) \in \Delta_{m-1} \times \Delta_{n-1}$, the fiber

$$\pi^{-1}(a,b) = \{ X \in \mathbb{R}^{mn} \mid X \ge 0, \ r(X) = a, \ c(X) = b \ \}$$

has been studied in the optimization literature under the name transportation polytope; see [10] and [17]. Note that in this case, the restriction $\sum_{ij} x_{ij} = 1$ in (2.1) is implied by

r(X) = a. As in §2, we observe that for $\Theta = (\theta_{ij}) \in \mathbb{R}^{mn}$,

$$\max\{ \langle \Theta, X \rangle \mid X \in \pi^{-1}(a, b) \} = \max\{ \langle \Theta, X \rangle \mid X \ge 0, \ r(X) = a, \ c(X) = b \}$$

$$= \min\{ \langle u, a \rangle + \langle v, b \rangle \mid u_i + v_j \ge \theta_{ij} \}.$$

$$(3.1)$$

If we let

$$S(\Theta) = \{ (u, v) \in \mathbb{R}^m \times \mathbb{R}^n \mid u_i + v_j \ge \theta_{ij} \}$$
(3.2)

and define, for $(u, v) \in S(\Theta)$,

$$\sigma_{uv} = \{ v_{ij} \mid u_i + v_j = \theta_{ij} \}, \tag{3.3}$$

interpreting Theorem 2.1 and Corollary 2.2 in this case leads to the following

Theorem 3.1. For any $\Theta \in \mathbb{R}^{mn}$, the face $\Sigma(m,n)^{\Theta}$ of the secondary polytope $\Sigma(m,n) = \Sigma(\Delta_{m-1} \times \Delta_{n-1})$ corresponds to the coherent subdivision $\Pi_{\Theta} = \{ \sigma_{uv} \mid (u,v) \in S(\Theta) \}$. The lattice of faces of Π_{Θ} is anti-isomorphic to the lattice of faces of the polyhedron $S(\Theta)$, so Π_{Θ} is a triangulation precisely when $S(\Theta)$ is simple. \triangleleft

Note that in this case, $S(\Theta)$ is simple if every 1-face is on precisely m+n-1 facets. $S(\Theta)$ has no vertices so a maximal cell of Π_{Θ} is of the form σ_{uv} , where (u,v) lies on a 1-face.

We consider next subdivisions Π_{Θ} where $\Theta = (\theta_{ij})$ is a **0-1** matrix. In this case, we define a line cover of Θ to be a pair (I, J), with $I \subset [m], J \subset [n]$ such that for all (i, j) with $\theta_{ij} = 1$, either $i \in I$ or $j \in J$. A line cover (I, J) is said to be minimal if both I and J are minimal. Note that line covers of Θ correspond directly to **0-1** vectors in the polyhedron $S(\Theta)$.

Given 0-1 matrix Θ and line cover (I,J), we say θ_{ij} is exactly covered by (I,J) if $\theta_{ij} = 1$ and $(i,j) \notin I \times J$, or $\theta_{ij} = 0$ and $(i,j) \in ([m] \setminus I) \times ([n] \setminus J)$. Define

$$\sigma_{IJ} = \{ v_{ij} \mid \theta_{ij} \text{ exactly covered by } (I, J) \}.$$
 (3.4)

Note that we always have $\sigma_{\emptyset[n]} = \sigma_{[m]}_{\emptyset}$.

To every 0-1 matrix Θ , there corresponds a bipartite graph G_{Θ} having bipartition [m] and [n], with (i,j) an edge of G_{Θ} if and only if $\theta_{ij} = 1$. Note that when G_{Θ} is connected, both $([m], \emptyset)$ and $(\emptyset, [n])$ are minimal covers. In this case $\sigma_{\emptyset[n]} = \sigma_{[m]} \emptyset$ has dimension m + n - 2. On the other hand, if G_{Θ} is not connected, then this cell has dimension less than m + n - 2.

Corollary 3.2. For 0-1 matrices Θ , the maximal cells of the subdivision Π_{Θ} are precisely the cells σ_{IJ} , where (I,J) is a minimal line cover of Θ with $I \neq [m]$ and, if G_{Θ} is not connected, $J \neq [n]$.

Proof: We first show for every $(u,v) \in S(\Theta)$ there is a **0-1** vector $(\bar{u},\bar{v}) \in S(\Theta)$ with $\sigma_{uv} \subset \sigma_{\bar{u}\bar{v}}$, showing the σ_{IJ} to include all maximal cells of Π_{Θ} . We can assume that both $u \geq 0$ and $v \geq 0$ since, for example, if $u_1 = \min\{u_i, v_j\} < 0$, then $u' = u - u_1 e \geq 0$, $v' = v + u_1 e \geq 0$ and $\sigma_{u'v'} = \sigma_{uv}$. In this case, set $\bar{u}_i = [u_i \geq \frac{1}{2}]$ and $\bar{v}_j = [v_j > \frac{1}{2}]$ for all i and j, where [12] is 1 or 0 depending on whether the relation R is true or false. Then $u_i + v_j = 0$ implies $\bar{u}_i + \bar{v}_j = 0$, and $u_i + v_j = 1$ implies $\bar{u}_i + \bar{v}_j = 1$, and so $\sigma_{uv} \subset \sigma_{\bar{u}\bar{v}}$.

Next we show that if (I, J) and (I', J') are distinct minimal line covers, with $I, I' \neq [m]$ and $J \neq [n]$, then $\sigma_{IJ} \not\subset \sigma_{I'J'}$, showing σ_{IJ} to be a maximal cell of Π_{Θ} . Since both covers are minimal, one of $I' \setminus I$ or $J' \setminus J$ is not empty. Suppose $I' \setminus I \neq \emptyset$. Then if $i \in I' \setminus I$ and $j \in [n] \setminus J$, we have $v_{ij} \in \sigma_{IJ} \setminus \sigma_{I'J'}$.

Finally, if $\sigma_{\emptyset[n]} \subset \sigma_{IJ}$ for some $I \neq [m]$ and $J \neq [n]$, then all the edges of G_{Θ} are in $I \times ([n] \setminus J)$ or $([n] \setminus I) \times J$ and so G_{Θ} is not connected. Thus $\sigma_{\emptyset[n]}$ is also maximal when G_{Θ} is connected. \triangleleft

Example 3.3. $\Theta = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$, m = n = 2. Here Π_{Θ} is a triangulation of a square into triangles $\sigma_1 = \{v_{11}, v_{12}, v_{21}\}$ and $\sigma_2 = \{v_{12}, v_{21}, v_{22}\}$. The cell σ_1 corresponds to the minimal line cover $(\{1, 2\}, \emptyset)$ (as well as to $(\emptyset, \{1, 2\})$), while σ_2 corresponds to $(\{1\}, \{1\})$. Note that the cell $\sigma_1 \cap \sigma_2$ does not correspond to a line cover; however $\sigma_1 \cap \sigma_2 = \sigma_{uv}$ for $u = (1, \frac{1}{2})$ and $v = (\frac{1}{2}, 0)$. Finally, note that if $\Theta' = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$, then $\Pi_{\Theta} = \Pi_{\Theta'}$.

More generally, we consider

Example 3.4. (cf. [7; Example 7.3.14]) Let m=n and let Θ be an $n \times n$ 0-1 matrix which is 1 on and above the diagonal, 0 otherwise, i.e., $\theta_{ij} = 1$ if and only if $i \leq j$. First, we claim that the minimal line covers of Θ are $([i], [n] \setminus [i])$, $i = 0, \ldots, n$, where we take $[0] := \emptyset$. To see this, suppose (I, J) is a minimal line cover with $J \neq [n]$, and suppose k is the largest element of [n] not in J. Then $[k] \subset I$ and so $J \cap [k] = \emptyset$. Thus $J = [n] \setminus [k]$. Similarly $I \cap \{k+1,\ldots,n\} = \emptyset$ and so I = [k]. If we define $A_k := \sigma_{[k],[n] \setminus [k]}$, then, by Corollary 3.2. $\Pi_{\Theta} = \{A_1,\ldots,A_n\}$. (Note that $A_n = A_0$.) It is straightforward to check that the sets A_k , $k = 1,\ldots,n$ are precisely those specified in the course subdivision of [7; Example 7.3.14], so we can conclude that the corresponding facet has normal Θ (or, equivalently, that $\Sigma(n,n)^{\Theta}$ is a facet). We give an independent verification of this in §5. \triangleleft

Corollary 3.2 can give a simple means to verify that certain 0-1 matrices Θ are facet

normals of $\Sigma(m,n)$. Note that since $\Sigma(P,Q) \subset \mathbb{R}^n$ and generally $\dim \Sigma(P,Q) = \dim P - \dim Q < n$, facet normals of fiber polytopes are only determined up to the addition of an element of $\mathcal{K}(\pi,P) := (\ker \pi)^{\perp} + (aff\,P)^{\perp}$. In the case of $\Sigma(m,n) = \Sigma(\Delta_{m-1} \times \Delta_{n-1})$, $\mathcal{K}(\pi,P)$ is the linear span of the m+n **0-1** matrices consisting of a row or column of 1's. This is the same as the space of all additive matrices, that is, matrices of the form $X = (x_{ij})$ with $x_{ij} = \alpha_i + \beta_j$ for $\alpha \in \mathbb{R}^m$ and $\beta \in \mathbb{R}^n$. We call matrices Θ and Θ' equivalent, denoted $\Theta \sim \Theta'$, if $\Theta - \Theta'$ is additive. In this case $\Sigma(m,n)^{\Theta} = \Sigma(m,n)^{\Theta'}$; that is, equivalent matrices yield the same face (see Example 3.3.).

We consider a case in which the 1's in Θ form the union of two rectangles. We call a **0-1** matrix Θ a generalized hook if there are proper nonempty subsets $I' \subseteq I \subset [m]$ and $J' \subseteq J \subset [n]$ such that $\theta_{ij} = 1$ if and only if $(i,j) \in (I' \times J) \cup (I \times J')$. Included in this class are all **0-1** matrices having all 1's only in one row or one column.

Proposition 3.5. Suppose Θ is a **0-1** matrix which is a generalized hook. Then the face $\Sigma(m,n)^{\Theta}$ is a facet.

Proof: We consider first the case in which I' = I (and, without loss of generality J' = J). Then the 1's of Θ form a rectangle with rows in I and columns in J. There are only two minimal line covers of Θ , (I,\emptyset) and (\emptyset,J) , and so by Corollary 3.2 the subdivision Π_{Θ} has only two maximal cells. Thus Π_{Θ} must be maximal in the refinement order, and the conclusion follows.

In the case $I' \neq I$ and $J' \neq J$, Θ has three minimal covers, (I, \emptyset) , (\emptyset, J) and (I', J'), and so Π_{Θ} has three maximal cells. We show Π_{Θ} to be maximal in the refinement order in this case by showing that the union of no two of these cells is convex.

Let $\sigma_1 = \sigma_{I \emptyset}$, $\sigma_2 = \sigma_{\emptyset J}$ and $\sigma_3 = \sigma_{I'J'}$, and choose $i_1 \in I'$, $i_2 \in I \setminus I'$, $i_3 \in [m] \setminus I$, $j_1 \in J'$, $j_2 \in J \setminus J'$ and $j_3 \in [n] \setminus J$. It is straightforward to verify that $\frac{1}{2}v_{i_2j_3} + \frac{1}{2}v_{i_3j_2} \in conv(\sigma_1 \cup \sigma_2) \setminus (\sigma_1 \cup \sigma_2), \frac{1}{2}v_{i_1j_1} + \frac{1}{2}v_{i_3j_2} \in conv(\sigma_2 \cup \sigma_3) \setminus (\sigma_2 \cup \sigma_3)$ and $\frac{1}{2}v_{i_1j_1} + \frac{1}{2}v_{i_2j_3} \in conv(\sigma_1 \cup \sigma_3) \setminus (\sigma_1 \cup \sigma_3)$.

We note that if we let I = [m] or J = [n] in the definition of generalized hook (but keep both I' and J' to be proper), then the resulting matrix is equivalent to a **0-1** matrix in which the 1's form a proper rectangle, and thus defines a facet by Proposition 3.5. These include all of the facets corresponding to coarse subdivisions of $\Delta_{m-1} \times \Delta_{n-1}$ into two cells (cf. [7; §7.2.B(2)]).

Example 3.6. Suppose f is a linear form on \mathbb{R}^{m+n} such that

$$\{(x,y) \in \Delta_{m-1} \times \Delta_{n-1} \ | \ f(x,y) \geq 0 \} \text{ and } \{(x,y) \in \Delta_{m-1} \times \Delta_{n-1} \ | \ f(x,y) \leq 0 \}$$

are both (m+n-2)-dimensional polytopes all of whose vertices are vertices of $\Delta_{m-1} \times \Delta_{n-1}$. Then if $\sigma_+ := \{v_{ij} = (e_i, f_j) \mid f(e_i, f_j) \geq 0\}$ and $\sigma_- := \{v_{ij} = (e_i, f_j) \mid f(e_i, f_j) \leq 0\}$, we have $\Pi := \{\sigma_+, \sigma_-\}$ is a coarsest proper polyhedral subdivision of $\Delta_{m-1} \times \Delta_{n-1}$ and so corresponds to a facet of $\Sigma(m, n)$. To determine a normal vector for this facet, note that since

$$\{(x,y) \in \Delta_{m-1} \times \Delta_{n-1} \mid f(x,y) = 0\} = \operatorname{conv}(\sigma_+ \cap \sigma_-)$$

is a codimension 1 cell meeting the interior of $\Delta_{m-1} \times \Delta_{n-1}$ and spanned by vertices (i.e., a wall of its chamber complex), the linear form f must be (up to scalar multiple) of the form $f(x,y) = x(I) - y(J) := \sum_{I} x_{I} - \sum_{J} x_{J}$, with I and J proper nonempty subsets of [m] and [n], respectively. Let Θ be the **0-1** matrix defined by $\theta_{ij} = 1$ precisely for $(i,j) \in I \times J$. The matrix Θ has two minimal line covers, (I,\emptyset) and (\emptyset,J) . We have $\sigma_{I,\emptyset} = \sigma_{-}$ and $\sigma_{\emptyset,J} = \sigma_{+}$, so $\Pi = \Pi_{\Theta}$ corresponds to the facet $\Sigma(m,n)^{\Theta}$. Alternatively, defining Θ' by $\Theta'_{ij} = (f(e_i,f_j))^+$ (as in $[7; \S 7.2.B(2), (2.3)]$), it is straightforward to check that $\Sigma(m,n)_{\Theta'} = \Sigma(m,n)^{\Theta}$.

Finally, we can use Theorem 3.1 to give a lower bound on the codimension of certain faces of $\Sigma(m,n)$. Suppose $\Theta=\begin{pmatrix}A&0\\0&B\end{pmatrix}$, where both A and B are nonnegative nonzero matrices, and let $\bar{\Theta}=\begin{pmatrix}A&0\\0&0\end{pmatrix}$. A matrix, all of whose entries are the same, will be called constant.

Lemma 3.7. The subdivision Π_{Θ} is a refinement of the subdivision $\Pi_{\bar{\Theta}}$, and it is a strict refinement if the matrix B is not constant.

Proof: Given any $(u,v) \in S(\Theta)$, write $(u,v) = ((u^1,u^2),(v^1,v^2))$, where (u^1,v^1) correspond to the rows and columns of A, and let $(\bar{u},\bar{v}) = (((u^1,0),(v^1,0)))$. As in the proof of Corollary 3.2, we can translate (u,v) by a multiple of $(1,\ldots,1,-1,\ldots,-1)$ if necessary to assure that both $u \geq 0$ and $v \geq 0$ without changing σ_{uv} . In this case, $(\bar{u},\bar{v}) \in S(\bar{\Theta})$ and $\sigma_{uv} \subset \sigma_{\bar{u}\bar{v}}$ (see (3.2) and (3.3)). Thus the subdivision Π_{Θ} is a refinement of $\Pi_{\bar{\Theta}}$.

To see that the refinement is strict when B is not constant, it is enough to show some maximal cell of Π_{Θ} to be a strict subset of some cell in $\Pi_{\bar{\Theta}}$. For this purpose, let $(u,v)=((u^1,0),(0,v^2))$ be defined by setting the entries of u^1 and v^2 to be the successive row maxima of A and column maxima of B, respectively. Then $(u,v) \in S(\Theta)$ and so $\sigma_{uv} \in \Pi_{\Theta}$. Since the bipartite graph consisting of all edges (i,j) with $v_{ij} \in \sigma_{uv}$ is connected, the cell σ_{uv} has dimension m+m-2 and so is maximal. Letting $(\bar{u},\bar{v})=((u^1,0),(0,0))$, as above, then $\sigma_{uv} \subset \sigma_{\bar{u}\bar{v}}$ with equality only if the matrix B has constant columns. In this

case, since B cannot also have constant rows, the same argument using a similarly defined (u, v) of the form $((0, u^2), (v^1, 0))$ will yield a strict inclusion of σ_{uv} in $\sigma_{\bar{u}\bar{v}}$.

We can now prove the following

Theorem 3.8. If Θ is a block-diagonal matrix

where k > 1 and A_1, A_2, \ldots, A_k are nonnegative and nonzero, then codim $\Sigma(m, n)^{\Theta} \ge k-1$. If at least one of the A_j is not constant, then codim $\Sigma(m, n)^{\Theta} \ge k$.

Proof: The proof is by induction on k. For k = 2, it follows since $\begin{pmatrix} A_1 & 0 \\ 0 & 0 \end{pmatrix}$ defines a face of codimension at least 1 and, by Lemma 3.7, $\Sigma(m,n)^{\Theta}$ is a subface of this, which is proper when A_2 is not constant. The inductive step is completed by defining

observing that $\operatorname{codim} \Sigma(m,n)^{\bar{\Theta}} \geq k-1$ by induction $\begin{pmatrix} A_{k-1} & 0 \\ 0 & 0 \end{pmatrix}$ is not constant) and applying Lemma 3.7. \triangleleft

4. Edges of $\Sigma(m,n)$.

To discuss edges of $\Sigma(m,n)$, it is helpful to decompose it as a Minkowski sum in a canonical way. Define $\Sigma_k = \Sigma_k(m,n)$ to be the Newton polytope of the product of all $k \times k$ minors of an $m \times n$ matrix $A = (a_{ij})$. (We will usually suppress the dependence on m and n.) Then

$$\Sigma(m,n) = \Sigma_1 + \Sigma_2 + \dots + \Sigma_{m \wedge n}, \tag{4.1}$$

where $m \wedge n = \min\{m, n\}$. The polytope Σ_1 is just a point (the matrix of all 1's) and so has no effect on the face structure of $\Sigma(m, n)$. The polytope $\Sigma_{m \wedge n}$ was studied in [1] and [16] (where it was called $\Pi_{m,n}$).

Each of the polytopes $\Sigma_k(m,n)$ has a further decomposition as a Minkowski sum of Birkhoff polytopes as follows. For $I \subseteq [m]$, $J \subseteq [n]$ with |I| = |J|, let $B_{I,J}$ denote

the Newton polytope of the I, J-minor of A. The polytope $B_k := B_{[k],[k]}$ is the Birkhoff polytope of all doubly stochastic $k \times k$ matrices (see [4], [17]), and when |I| = |J| = k, $B_{I,J}$ is linearly isomorphic to B_k . Further

$$\Sigma_k(m,n) = \sum_{|I|=|J|=k} B_{I,J}$$
 (4.2)

is a Minkowski sum of all of these.

We note that the Birkhoff polytope B_k has dimension $(k-1)^2$ and so B_2 is a line segment. More directly, $B_2 = \operatorname{conv}\left\{\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}\right\}$. Thus Σ_2 is a Minkowski sum of line segments, that is, a zonotope. We will see that a great deal of the combinatorial structure of $\Sigma(m,n)$ is carried by the zonotope Σ_2 .

Let $K_{m,n}$ denote the complete bipartite graph with bipartition [m] and [n], with every edge directed from [m] to [n]. For each cycle in $K_{m,n}$ with 2k edges (which thus involves k vertices in [m] and k vertices in [n]) we associate an $m \times n$ oriented incidence matrix $C = (c_{ij})$ obtained by orienting the cycle and setting $c_{i,j} = \pm 1$ for (i,j) in the cycle (depending on whether or not it agrees with the orientation) and $c_{i,j} = 0$ otherwise. The resulting k-cycle matrix C is unique up to change of sign and has the property that it is 0 except for some $k \times k$ minor that can be put into the form

$$\begin{pmatrix} 1 & -1 & & & \\ & 1 & -1 & & \\ & & & \ddots & \\ -1 & & & 1 \end{pmatrix} \tag{4.3}$$

by permuting rows and columns.

The following shows that all the edge directions in $\Sigma(m,n)$ are given by the k-cycle matrices for $k \leq m, n$. Its proof is essentially that of Proposition 1.9 of [16].

Proposition 4.1. If X_1 and X_2 are vertices of $\Sigma(m,n)$ such that $[X_1,X_2]$ is an edge of $\Sigma(m,n)$, then $X_1-X_2=\ell(X_1,X_2)\cdot C$, where C is a k-cycle matrix for some $k\leq m,n$ and $\ell(X_1,X_2)$ is a positive integer.

Proof: By (4.1) and (4.2), $\Sigma(m,n)$ is a Minkowski sum of polytopes linearly isomorphic to Birkhoff polytopes B_k for $k \leq m, n$. For B_k , edge directions are precisely the k'-cycle matrices for $k' \leq k$ (see [17; Theorem 5.1.3] or [4]). Any face F of $\Sigma(m,n)$ has a Minkowski decomposition $F = \sum_{|I|=|J|\leq m,n} F_{I,J}$, where $F_{I,J}$ is a face of $B_{I,J}$. When $F = [X_1, X_2]$ is an edge, all the $F_{I,J}$ are either vertices or are edges parallel to F; $\ell(X_1, X_2) > 0$ is the number of edges. \triangleleft

Remark 4.2. We note that the number $\ell(X_1, X_2)$ has an interpretation in terms of the coherent triangulations Π_1 and Π_2 representing the vertices X_1 and X_2 . Edges of secondary polytopes correspond to embedded bistellar operations on triangulations. These amount to modifications (perestroikas) along minimal dependent sets of, say, p+1 vertices in which half the boundary of a p-simplex is replaced by the other half. (See [7; Definition 2.9].) If p-1 is less than the dimension of the polytope being triangulated (in our case, m+n-2), then this dependent set will have the same link in the triangulations Π_1 and Π_2 . This link will have $\ell(X_1, X_2)$ maximal simplices.

We note further that parallel edges appear in the sum $F = \sum_{|I|=|J| \leq m,n} F_{I,J}$ when the same k-cycle matrix appears as an edge for different $B_{I,J}$ with |I| = |J| > k. For the zonotope $\Sigma_2(m,n)$, edges correspond only to 2-cycle matrices, its zones, the $m \times n$ matrices with maximal nonzero minor $\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$.

We can use knowledge of edges of $\Sigma(m,n)$ to determine which normals support facets. For example, Θ will support a face of dimension at least k if Θ is orthogonal to at least k independent edge directions of Σ_2 . We use this to compute a lower bound on the dimension of faces supported by **0-1** Θ containing a line of zeros.

Assume Θ is a nonzero $m \times n$ **0-1** matrix having a zero row and no row of ones. We assume all zero rows are on the bottom. We define a graph Γ_{Θ} on the nonzero rows of Θ as follows. A pair of rows (i,j) will be an edge of Γ_{Θ} if and only if there are columns s and t with $\theta_{is} = \theta_{js} = 1$ and $\theta_{it} = \theta_{jt} = 0$.

Theorem 4.3. If the graph Γ_{Θ} has k components, then

$$\operatorname{codim} \Sigma(m,n)^{\Theta} \le k.$$

Proof: It will suffice to exhibit at least (m-1)(n-1)-k independent edge directions in Σ_2 orthogonal to Θ . To insure independence, we list these edge directions in an "upper triangular" order defined using the graph Γ_{Θ} .

We start by ordering the rows of Θ according the components of Γ_{Θ} . Within each component we choose a spanning tree and extend the order given by distance from a root in this spanning tree. Each edge direction in Σ_2 corresponds to four entries of Θ . Taking each row in order (except the last), we identify, again in order, n-1 edge directions orthogonal to Θ (n-2 in the case of the first row of any component), each labeled by one of its four entries that has not appeared as an entry of a previously labeled direction. The resulting (m-1)(n-1)-k edge directions are clearly independent.

The choice of edge directions and labelings proceeds as follows. When we first encounter row i, choose an element $\theta_{ij} = 0$. Then for every $\theta_{it} = 0$, $t \neq j$, choose direction $B_{in,jt}$ and label it by (i,t). If row i has no 1's, we go to the next row.

If row i has a 1 and if it is not the first row in its component, let (i, i') be the unique edge of its spanning tree with i' < i, and choose columns k and k' with $\theta_{ik} = \theta_{i'k} = 1$ and $\theta_{ik'} = \theta_{i'k'} = 0$ guaranteed by the definition of the graph Γ_{Θ} . Take direction $B_{ii',kk'}$ and label it by (i,k). If row i has a 1 and is the first row of its component, arbitrarily choose an entry $\theta_{ik} = 1$.

In either case, having an entry $\theta_{ik} = 1$, we proceed as follows. For every $\theta_{it} = 1$, $t \neq k$, choose direction $B_{in,kt}$ and label it by (i,t).

Recall, for a **0-1** matrix Θ , the bipartite graph G_{Θ} having vertex set $[m] \cup [n]$ and edges (i,j) whenever $\Theta_{ij} = 1$. Continuing to assume that Θ has a zero row and no row of ones, note that if G_{Θ} has $k \geq 2$ nontrivial components (that is, components having more than one vertex), then Γ_{Θ} also has k components, so we get the following corollary of Theorem 3.8 and Theorem 4.3.

Corollary 4.4. If Θ is a 0-1 matrix having a zero row and no row of ones such that the bipartite graph G_{Θ} has $k \geq 2$ nontrivial components, then

$$\operatorname{codim} \Sigma(m, n)^{\Theta} = k.$$

5. The cohomology of faces of Σ_2 .

By means of an associated complex of squares, and norms on its first homology and cohomology, resectively, we produce in this section examples of facets of $\Sigma(m,n)$ needing arbitrarily large integers for any integral normal.

Recall from (4.1) that $\Sigma(m,n) = \Sigma_1 + \Sigma_2 + \cdots + \Sigma_{m \wedge n}$, and further that Σ_2 is a zonotope in $C_1 := \mathbb{R}^{mn} = \mathbb{R}^n \otimes \mathbb{R}^m$. Since facet normals of Σ_2 are necessarily facet normals of Σ , we will restrict our attention to the former for the remainder of this section.

The space C_1 has the standard basis $\{E_{ij} = e_i \otimes f_j\}$, and the zonotope Σ_2 is the image of the unit cube in $C_2 := \bigwedge^2 \mathbb{R}^n \otimes \bigwedge^2 \mathbb{R}^m$ with standard basis $\{e_i \wedge e_j \otimes f_k \wedge f_l\}$, with i < j and k < l, under the linear map defined by ∂_2 with

$$\partial_2(e_i \wedge e_j \otimes f_k \wedge f_l) = (e_i - e_j) \otimes (f_k - f_l) = E_{ik} - E_{il} + E_{jl} - E_{jk}.$$

Note that the range of ∂_2 is the linear span of Σ_2 as well as that of $\Sigma(m,n)$. It is also the kernel of $\partial_1 = \pi : C_1 \to C_0 := \mathbb{R}^n \times \mathbb{R}^m$. Note that the exact sequence $C_2 \to C_1 \to C_0$

is also the cellular chain complex $C_*(K; \mathbb{R})$ over \mathbb{R} , where K is the 2-dimensional cubical complex having 1-skeleton the complete bipartite graph $K_1 = K_{m,n}$ and having one square for length 4 1-cycle in the graph K_1 .

If $\Theta \in C_1^*$ then

$$dim(\Sigma_2^{\Theta}) = dim(span\{(e_i - e_j) \otimes (f_k - f_l) \mid \langle \Theta, (e_i - e_j) \otimes (f_k - f_l) \rangle = 0\}).$$

Thus

$$\operatorname{codim} \Sigma_2^{\Theta} = \dim H_1(K_{\Theta}) = \beta_1(K_{\Theta}),$$

where K_{Θ} is the subcomplex of K with the same 1-skeleton, but only the 2-cells corresponding to basis elements $e_i \wedge e_j \otimes f_k \wedge f_l$ of C_2 with

$$\langle \Theta, \partial_2(e_i \wedge e_j \otimes f_k \wedge f_l) \rangle = 0.$$

Thus opposite pairs of facets of Σ_2 are in bijection with maximal subcomplexes of K with the same one skeleton as K and with $\beta_1 = 1$. Dualizing, $C_2^* \leftarrow C_1^* \leftarrow C_0^*$ is also exact, and $\Theta \in C_1^*$ is seen to represent a nonzero element in $H^1(K_{\Theta}) \approx \mathbb{R}$.

The task of identifying facets of Σ now take on a distinctly topological flavor. To construct a facet which lacks a **0-1** normal it will suffice to construct a square complex K' with bipartite 1-skeleton, one dimensional 1-homology, and two nonbounding length 4 1-cycles, one representing more than twice the other in $H_1(K') \approx \mathbb{R}$. This can then be extended to a complex with the same homotopy type, but with a complete bipartite 1-skeleton (generally in several ways), and finally uniquely extended to a maximal such complex. (The several ways of doing the first extension will yield several different facets).

To make this more precise, we define norms $\|\cdot\|_{\infty}$ and $\|\cdot\|_1$ on $H^1(K_1)$ and $H_1(K_1)$, respectively. With the standard dual basis, C_1^* has a natural infinity norm which is extended to the quotient $H^1(K_1)$ by taking the norm of a class to be the infimum of the infinity norms of all representatives of that class. Similarly, one can extend the usual 1-norm on C_1 to $H_1(K_1)$. We note that the norm $\|\cdot\|_1$ on $H_1(K_1)$ is the dual norm to $\|\cdot\|_{\infty}$ on $H^1(K_1)$, so for $\tau \in H_1(K_1)$ and $\Theta \in H^1(K_1)$, we have $\|\Theta\|_{\infty} \|\tau\|_1 \leq |\langle \Theta, \tau \rangle|$. We define $E_{i*} := \sum_i E_{ij}$, $E_{*j} := \sum_i E_{ij}$ and $J = \sum_{ij} E_{ij}$.

Theorem 5.1. A facet F of Σ_2 has a **0-1** normal if and only if in its associated complex K' every nonzero cycle τ has $\|\tau\|_1 \geq 2$.

Proof: Since F is a facet, $\beta_1(K') = \beta^1(K') = 1$. We define maps $H_1(K', \mathbb{Z}) \to H_1(K', \mathbb{R}) = H_1(K')$ and $H^1(K', \mathbb{Z}) \to H^1(K', \mathbb{R}) = H^1(K')$ by $\tau \mapsto \tau \otimes 1$ and $\Theta \mapsto \Theta \otimes 1$, respectively. That the images $H_1(K', \mathbb{Z}) \otimes 1$ and $H^1(K', \mathbb{Z}) \otimes 1$ are nonzero dual lattices follows from

the universal coefficient theorem, which yield isomorphisms $H_1(K', \mathbb{Z}) \otimes \mathbb{R} \to H_1(K')$ and $H^1(K', \mathbb{Z}) \to \text{Hom}(H_1(K', \mathbb{Z}), \mathbb{Z})$.

Since these lattices are dual, there is a $\Theta \in H^1(K',\mathbb{Z}) \otimes 1$ with $\|\Theta\|_{\infty} \leq \frac{1}{2}$ if and only if for each nonzero $\tau \in H_1(K',\mathbb{Z}) \otimes 1$, $\|\tau\|_1 \geq 2$. It will suffice to show that a class $\Theta \in H^1(K',\mathbb{Z}) \otimes 1$ with $\|\Theta\|_{\infty} \leq \frac{1}{2}$ is equivalent to a 0-1 matrix. To do this, choose a representative of Θ in C^1 with $\|\Theta\|_{\infty} \leq \frac{1}{2}$. We can assume the maximum entry in Θ is $\frac{1}{2}$: this can be arranged by adding an appropriate multiple of the matrix of all 1's. For simplicity we assume that $\theta_{11} = \frac{1}{2}$. Now take

$$\Theta' = \Theta + \sum_{i>1} \left(\frac{1}{2} - \theta_{i1} + \lfloor \theta_{i1} \rfloor \right) E_{i*}$$

$$+ \sum_{j>1} \left(-\frac{1}{2} - \theta_{1j} + \lceil \theta_{1j} \rceil \right) E_{*j} + \frac{1}{2} J.$$

$$(5.1)$$

The matrix $\Theta' \sim \Theta$ is **0-1** in the first row and first column. Since pairing Θ' with any length 4 1-cycle τ yields only integer values, all other entries of Θ' must be integral. Now the coefficients in the first summation of (5.1) lie in $\left(-\frac{1}{2}, \frac{1}{2}\right]$, while those in the second lie in $\left[-\frac{1}{2}, \frac{1}{2}\right]$, so entries in Θ' differ from those in Θ by amounts in $\left(-\frac{1}{2}, \frac{3}{2}\right)$, showing Θ' to be **0-1**. \triangleleft

When $min\{m,n\} \leq 2$ or m=n=3, all facets are known to have **0.1** normals. When m or n is 2, $\Sigma(m,n)$ is a permutohedron. The case m=n=3 can be verified by exact computation. (The case (m,n)=(3,5) seems to have only **0.1** normals as well.) The minimal known examples of such non **0.1** supported facets correspond to the minimal examples of complexes having cycles of norm less than 2 and can be given by the following normals Θ .

Example 5.2. The matrices

$$\begin{pmatrix} 2 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 2 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 1 & 0 & 1 & 0 \end{pmatrix}$$

give facets of $\Sigma(\Delta_3 \times \Delta_3)$ and $\Sigma(\Delta_2 \times \Delta_5)$, respectively, that do not have a **0-1** normals.

Proof: In each case, we can check that they give facets by finding enough orthogonal edge directions in Σ_2 . To verify that neither facet has a 0-1 normal, we note that in each case we have for $\tau = E_{11} - E_{12} - E_{21} + E_{22} = B_{\{12\},\{12\}}$, $|\langle \Theta, \tau \rangle| = 3$ while for $\tau' = E_{22} - E_{23} - E_{32} + E_{33} = B_{\{23\},\{23\}}$, $|\langle \Theta, \tau' \rangle| = 1$. Thus $\tau' = 3\tau$ and so

$$\|\tau'\|_1 = \frac{\|\tau\|_1}{3} \le \frac{4}{3} < 2. \quad \triangleleft$$

A similar argument leads to facets having only normals with large integer entries.

Example 5.3. The $3 \times 2k$ matrix

$$\Theta = \begin{pmatrix} 1 & 1 & 2 & 2 & 3 & 3 & \dots & k-1 & k-1 & k & 0 \\ 1 & 0 & 1 & 0 & 1 & 0 & \dots & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & \dots & 0 & 0 & 0 & 0 \end{pmatrix}$$

gives a facet of $\Sigma(\Delta_2 \times \Delta_{2k-1})$ not representable by an integer normal having all entries less than $\frac{k}{4}$ in absolute value. \triangleleft

6. The support function.

The support function $h_P: \mathbb{R}^n \to \mathbb{R}$ of a polytope $P \subset \mathbb{R}^n$ is defined by

$$h_P(\theta) := max\{ \langle x, \theta \rangle \mid x \in P \}$$

for $\theta \in \mathbb{R}^n$; thus

$$P^{\theta} = \{ x \in P \mid \langle x, \theta \rangle = h_P(\theta) \}. \tag{6.1}$$

Support functions are always positively homogeneous $(h_P(t\theta) = th_P(\theta), \text{ for } t \geq 0)$ and subadditive $(h_P(\theta + \theta') \leq h_P(\theta) + h_P(\theta'))$, and we have

$$P = \{ x \in \mathbb{R}^n \mid \langle x, \theta \rangle \le h_P(\theta), \text{ for all } \theta \in \mathbb{R}^n \}.$$

See, for example, [12] for a general discussion of support functions. We note that if $P = \{ x \mid Ax \leq b \}$, then $h_P(\theta) = min\{ \langle A_1, \theta \rangle, \dots, \langle A_m, \theta \rangle \}$.

We first consider the support function of a general $\Sigma(P,Q)$. Let $\theta \in \mathbb{R}^n$ and for each $\sigma \in \Pi_{\theta}$, let $\Delta(\sigma)$ be any fixed triangulation of the polytope σ . For $\tau \in \Delta(\sigma)$, denote by x_{τ} the centroid of the subset $\pi^{-1}(\tau)$ of P (see (2.5)).

Proposition 6.1. For $\theta \in \mathbb{R}^n$,

$$h_{\Sigma(P,Q)}(\theta) = \frac{1}{vol \, Q} \sum_{\sigma \in \Pi_{\theta}} \sum_{\tau \in \Delta(\sigma)} (\operatorname{vol} \tau) \, \langle \theta, x_{\tau} \rangle. \tag{6.2}$$

Proof: By definition of fiber polytopes and properties of the Minkowski integral, we have for each $\theta \in \mathbb{R}^n$

$$h_{\Sigma(P,Q)}(\theta) = \frac{1}{vol \, Q} \int_{Q} h_{\pi^{-1}(q)}(\theta) \, dq = \frac{1}{vol \, Q} \sum_{\sigma \in \Pi_{\theta}} \int_{\sigma} h_{\pi^{-1}(q)}(\theta) \, dq. \tag{6.3}$$

The function $h_{\pi^{-1}(q)}(\theta)$ is given by (2.4) and, for fixed θ , is a linear function of $q \in \sigma \in \Pi_{\theta}$. By (2.6) and (6.1), for a simplex $\tau \in \Delta(\sigma)$ and $q \in \tau$ we have $h_{\pi^{-1}(q)}(\theta) = \langle \theta, p \rangle$, where p is the unique point of $\pi^{-1}(\tau)$ such that $\pi(p) = q$. Thus by (6.3) we get

$$h_{\Sigma(P,Q)}(\theta) = \frac{1}{vol\,Q} \sum_{\sigma \in \Pi_{\theta}} \sum_{\tau \in \Delta(\sigma)} \int_{\tau} h_{\pi^{-1}(q)}(\theta) \,\, dq = \frac{1}{vol\,Q} \sum_{\sigma \in \Pi_{\theta}} \sum_{\tau \in \Delta(\sigma)} (\operatorname{vol}\tau) \,\, \langle \theta, x_{\tau} \rangle. \,\, \triangleleft$$

Note that $vol \tau = 0$ if τ is not a maximal simplex of $\Delta(\sigma)$. For a triangulation Δ refining Π_{θ} , Proposition 6.1 follows directly from [5; Cor. 2.6]. There is a slight advantage to the more general formulation here in that it allows one to use arbitrary triangulations of each cell of Π_{θ} .

To give the support function for secondary polytopes, we must be a bit more careful about the scaling involved in passing from fiber polytopes. Recall that if Q is a polytope with n vertices then the secondary polytope $\Sigma(Q)$ is homothetic to the fiber polytope $\Sigma(\Delta_{n-1}, Q)$, i.e.,

$$\Sigma(Q) = (\dim Q + 1) \ vol(Q) \ \Sigma(\Delta_{n-1}, Q)$$

[5; Thm. 2.5]. Again, letting Π_{θ} be any coherent subdivision of Q and for each $\sigma \in \Pi_{\theta}$, $\Delta(\sigma)$ a triangulation of σ , define $e_{\tau} := \sum_{q_i \in \tau} e_i = (\dim Q + 1)x_{\tau}$ for each $\tau \in \Delta(\sigma)$.

Corollary 6.2. For $\theta \in \mathbb{R}^n$.

$$h_{\Sigma(Q)}(\theta) = \sum_{\sigma \in \Pi_{\theta}} \sum_{\tau \in \Delta(\sigma)} (\operatorname{vol} \tau) \langle \theta, e_{\tau} \rangle. \triangleleft \tag{6.4}$$

Denote by h_{mn} the support function of the Newton polytope $\Sigma(m,n)$. This is a subadditive function on the space of $m \times n$ matrices Θ . Determining $h_{mn}(\Theta)$ for all integral matrices Θ is equivalent to determining a complete set of inequalities determining $\Sigma(m,n)$. Restricted to 0-1 matrices, h_{mn} can be viewed as giving a monotone, subadditive function on subsets of $[m] \times [n]$ (or shapes fitting in an $m \times n$ array); i.e., for $S, T \subset [m] \times [n]$, if $S \subseteq T$, then $h_{mn}(S) \leq h_{mn}(T)$, and if $S \cap T = \emptyset$, then $h_{mn}(S \cup T) \leq h_{mn}(S) + h_{mn}(T)$. It would be of interest to determine $h_{mn}(S)$ for all $S \subset [m] \times [n]$. We give below a formula for $h_{mn}(S)$ in terms of line covers of S and describe how to evaluate it for certain simple shapes.

By (6.4), we can relate the evaluation of $h_{mn}(\Theta)$ to the subdivision Π_{Θ} . Since each simplex in $\Delta_{m-1} \times \Delta_{n-1}$ has the same volume by the unimodularity of its coordinates (see, e.g., [9; Lemma 2]), the support function h_{mn} is obtained from (6.4) by setting $vol \tau = 1$ for each maximal simplex τ . In particular, when Θ is a **0-1** matrix, the indicator function of some shape S, we can view this shape as a subset of the vertex set of $\Delta_{m-1} \times \Delta_{n-1}$. In this case, the inner product in (6.4) counts the number of vertices in the set $S \cap \tau$.

Corollary 6.3. For any shape $S \subset [m] \times [n]$,

$$h_{mn}(S) = \sum_{(I,J)} \sum_{\tau \in \Delta(I,J)} |S \cap \tau|, \tag{6.5}$$

where the first sum is over minimal line covers (I, J) of S (with $J \neq [n]$) and the second sum is over maximal simplices of any triangulation $\Delta(I, J)$ of the cell σ_{IJ} in (3.4).

The primary difficulty in evaluating (6.5) for any particular 0.1 matrix Θ is determining a triangulation of the cell σ_{IJ} for minimal line covers of S. In certain cases this presents no problem. Recall the standard triangulation of $\Delta_{m-1} \times \Delta_{n-1}$ whose maximal simplices have vertex sets determined by all monotone paths in an $m \times n$ array, i.e., paths from the upper left entry to the lower right entry that only move down or to the right. In [2], it is shown that lexicographically ordering these paths gives a shelling of this triangulation. From this it follows that if one takes an initial segment of this triangulation, in the lexicographic order favoring moves down (so the initial path in this order moves down the first column and across the last row), stopping just prior to the addition of a new vertex, then the simplices so defined form a triangulation of the convex hull of the vertices involved. Thus if, after reordering rows and columns, the vertices of a cell σ_{IJ} are arranged in a block triangular form

$$\begin{pmatrix} E & O & \dots & O \\ E & E & \dots & O \\ \vdots & \vdots & \ddots & \vdots \\ E & E & \dots & E \end{pmatrix}, \tag{6.6}$$

where the E's and O's represent rectangular arrays of 1's and 0's, then a triangulation of σ_{IJ} is formed by taking all monotone paths among the 1's in this array. For example, if S is a generalized hook, then it is easy to check that the two or three cells σ_{IJ} in Π_S can be put in the form (6.6).

There is a determinantal formula giving the number of monotone paths in the array (6.6) (see [14; Exer. 3.63]). Note that if (6.6) consists only of 1's, then the number of monotone paths is easily shown to be $\binom{m+n-2}{m-1}$ (which is the number of simplices in any triangulation of $\Delta_{m-1} \times \Delta_{n-1}$). All of the cells of the coarse subdivision given in Example 3.4 correspond to square lower triangular matrices of the form (6.6). Here the number of monotone paths is $\frac{1}{2n+1} \binom{2n+1}{n}$ (see, e.g., [11; Theorem I.3A]).

It appears to be a considerably more difficult problem to count the number of meetings of these paths with a prescribed fixed set of cells, which is necessary for a complete evaluation of (6.5). In certain cases, this can be done, as is illustrated by the examples below.

Proposition 6.4. If $\Theta_{(k)}$ is a **0-1** $m \times n$ matrix with k 1's, all contained in a single line (row or column). then

$$h_{mn}(\Theta_{(k)}) = \sum_{j=1}^{k} {m+n-j-1 \choose m-1}.$$
 (6.7)

Proof: By Proposition 3.5, such matrices correspond to facets of $\Sigma(m,n)$. We can assume that $\Theta_{(k)}$ has 1's as the first k entries in the first row. In this case the subdivision $\Pi_{\Theta_{(k)}}$ consists of two cells σ_1 and σ_2 , corresponding, respectively, to the minimal line covers $(\{1\},\emptyset)$ and $(\emptyset,\{1,\ldots,k\})$. By (3.4), these cells have vertices indicated by the 1's in the $m \times n$ arrays

$$\begin{pmatrix} 1 \dots 10 \dots 0 \\ E_1 \end{pmatrix}$$
 and $\begin{pmatrix} 1 \dots 1 \\ O \end{pmatrix}$,

where E_1 is an $(m-1) \times n$ array of 1's and E_2 is an $m \times (n-k)$ array of 1's. By the above discussion, one sees that $vol \, \sigma_1 = \binom{m+n-2}{m-1} - \binom{m+n-k-2}{m-1}$ (which is the number of paths in an $m \times n$ array minus the number in an $m \times (n-k)$ array) and $vol \, \sigma_2 = \binom{m+n-k-2}{m-1}$. Counting the incidence of these paths with the first k entries in row 1, we get

$$h_{mn}(\Theta_{(k)}) = \sum_{j=1}^{k} \left[\binom{m+n-j-1}{m-1} - \binom{m+n-k-2}{m-1} \right] + k \binom{m+n-k-2}{m-1}$$

$$= \sum_{j=1}^{k} \binom{m+n-j-1}{m-1}. \quad \triangleleft$$
(6.8)

Remark 6.5. $\Sigma(2,n)$ is congruent to the permutohedron P_n . (See [16; Prop. 1.12] where this is proved for the Newton polytope of the product of maximal minors of a $2 \times n$ matrix, from which one obtains $\Sigma(2,n)$ by translation by the $2 \times n$ matrix of 1's.) In this case, the $2^n - 2$ facets of $\Sigma(2,n)$ have normals given by all matrices $\Theta_{(k)}$ consisting of k 1's in the first row, 1 < k < n, and (6.7) reduces to $h_{2,n}(\Theta_{(k)}) = \binom{n+1}{2} - \binom{k+1}{2}$ (c.f., [17; §5.3.1]).

Example 6.6. The case m = n = 3.

From (6.7) we get $h_{3,3}(\Theta_{(1)}) = 6$ and $h_{3,3}(\Theta_{(2)}) = 9$. The only other **0-1** matrix corresponding to a connected subgraph of $K_{2,2}$, and thus to a facet of $\Sigma(3,3)$, which is not equivalent to one of these two, is (up to row and column permutation)

$$\Theta = \begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

We show $h_{3,3}(\Theta) = 14$.

Corresponding to line covers $(\{1,2\},\emptyset)$, $(\emptyset,\{1,2\})$ and $(\{1\},\{1\})$, we have cells σ_1, σ_2 and σ_3 , having vertices indicated by

$$\begin{pmatrix} 1 & 1 & 0 \\ 1 & 0 & 0 \\ 1 & 1 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 1 & 1 \\ 1 & 0 & 1 \\ 0 & 0 & 1 \end{pmatrix} \text{and} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix},$$

respectively. These make contributions 5, 5 and 4 to the sum (6.5).

One can check by direct calculation that all row and column permutations of $\Theta_{(1)}$, $\Theta_{(2)}$ and Θ give all the facet normals of $\Sigma(3,3)$. Thus $\Sigma(3,3)$ consists of all 3×3 matrices having row and column sums 10, such that each entry is at most 6, any two entries in the same row or column sum to at most 9, and any 3 entries, two in the same row and the third in one of their columns, sum to at most 14. This polytope has 108 vertices and so $\Delta_2 \times \Delta_2$ has 108 (coherent) triangulations.

Example 6.7. The case m = n = 4. We tabulate in Table 1 the values of $h_{4,4}(\Theta)$ for those 0-1 Θ corresponding to facets by Theorem 4.3, as well as one example of a facet normal with no zero row or column.

We have omitted row and column permutations of $\Theta's$ in the table (which have the same values of $h_{4,4}$). We also omit $\Theta's$ which are equivalent to those on the table, and which thus yield the same facet, although usually different, but easily derivable, values of $h_{4,4}$ (using the fact that elements of $\Sigma(4,4)$ have row and column sums equal to 35). Note, for example that

where \sim denotes equivalence (see §3). Here, $h_{4,4}(\Theta_1) = h_{4,4}(\Theta_2) + 35 = 65$, since the row and column sums equal 35 in this case. Note also the monotonicity and subadditivity of $h_{4,4}$, as illustrated, for example, by the first, second and fourth values in the left table.

A complete list of the normals of facets of $\Sigma(4,4)$ has not been conjectured. The table accounts for all the **0-1** facet normals having a zero row. There appear to be many others. For example, the last entry in the table can be shown to be normal to a facet by analyzing its edges as in §4. (However, it does not give a facet of Σ_2 .)

The values in the table were all obtained by application of (6.5). In some cases, the resulting cells σ_{IJ} could only be partially triangulated by initial segments of the standard triangulation. In these cases, a full triangulation was obtained by "placing" the remaining

		$h_{4,4}(\Theta)$		
, 1			Λ,	784,4(0)
\int_{0}^{1}	0	0	\int_{0}^{0}	
	0	0	0	20
	0	0	$\begin{pmatrix} 0 \\ 0 \end{pmatrix}$	
$\begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$	0	0	07	
\int_{0}^{1}	1	0	\int_{0}^{0}	
\int_{0}^{∞}	0	0	0	30
\int_{0}^{∞}	0	0	$\begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$	
/0	0	0		
\int_{0}^{1}	1	1	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	
$\begin{pmatrix} 0 \\ 0 \end{pmatrix}$	0	0	0	34
\int_{0}^{∞}	0	0	0 /	
/0	0	0	0/	
\int_{-1}^{1}	1	0	$0 \setminus$	
1	0	0	0	46
0	0	0	0	10
/ 0	0	0	0/	
/1	1	0	$0 \setminus$	
1	1	0	0	50
0	0	0	0	52
/0	0	0	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	
/1	1	1		
$\begin{pmatrix} 1 \\ 1 \\ 0 \end{pmatrix}$	0	0	0	
0	0	0	0	53
/ 0	0	0	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	
	1	1		
1	1	0	0	
$\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$	0	0	0	56
\int_{0}^{0}	0	0	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	

	($h_{4,4}(\Theta)$		
$\begin{bmatrix} 1 \\ 1 \\ 1 \\ 0 \end{bmatrix}$	1 0 0 0	1 0 0 0	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	62
$\begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}$	1 0 1 0	1 0 0 0	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	71
$\begin{bmatrix} 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}$	1 1 0	1 0 0 0	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	74
$\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$	0 1 1 0	$\begin{matrix} 1 \\ 0 \\ 0 \end{matrix}$	$\begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$	76
$\begin{pmatrix} 0 \\ 1 \\ 1 \\ 0 \end{pmatrix}$	0 1 0 1	0 0 1 1	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	84
$\begin{pmatrix} 0 \\ 1 \\ 1 \\ 1 \end{pmatrix}$	0 1 1 0	0 1 0 1	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$	86
$\begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}$	0 1 1 0 0	0 0 1 1 0	$\begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 1 \end{pmatrix}$	116

Table 1

vertices outside the partial triangulation, forming further simplices by joining to exposed facets on the boundary. In this regard, the following observations are useful.

Full-dimensional simplices on the vertices of $\Delta_{m-1} \times \Delta_{n-1}$ (which thus involve m+n-1 vertices) correspond to spanning trees in the graph $K_{m,n}$. A simplex τ of codimension 1 corresponds to an acyclic subgraph G_{τ} having 2 components. (Edges of G_{τ} correspond to vertices of τ .) To form a full-dimensional simplex containing τ , one must add an edge which joins the components of G_{τ} . To tell whether two such simplices lie on the same or on opposite sides of the hyperplane spanned by τ , we orient each edge according to whether it meets the left or right side of the first component of G_{τ} . It is straightforward to verify the following.

Proposition 6.8. Vertices of $\Delta_{m-1} \times \Delta_{n-1}$ are on the same or opposite side of the hyperplane generated by τ depending on whether they have the same or opposite orientation in the graph G_{τ} .

This makes it fairly easy to determine, when placing a new vertex over a partial triangulation, to which of the current facets it is to be joined.

Looking at the Table 1, one is led to ask whether, on 0-1 matrices, the function h_{mn} can be viewed as giving the energy, in some unspecified sense, of the corresponding configuration of 1's.

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