

# A CLASS OF FOUR DIMENSIONAL GALE POLYTOPES

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**ABSTRACT.** For each  $k \geq 6$ , we introduce a convex 4-polytope with  $3k - 7$  vertices such that there is a complete description of each of its facets based upon a labelling (total ordering) of the vertices that satisfies a part of Gale's Evenness Condition.

## DEFINITIONS

Let  $Y$  be a set of points in  $R^d$ . Then  $\text{conv } Y$  and  $\text{aff } Y$  denote, respectively, the convex hull and affine hull of  $Y$ . If  $Y = \{y_1, \dots, y_m\}$  is finite, we set

$$[y_1, \dots, y_m] = \text{conv } Y \text{ and } \langle y_1, \dots, y_m \rangle = \text{aff } Y.$$

Thus, as usual,  $[y_1, y_2]$  is the closed segment with end points  $y_1$  and  $y_2$ .

Let  $P$  be a (convex)  $d$ -polytope. For  $-1 \leq i \leq d$ , let  $\mathcal{F}_i(P)$  denote the set of  $i$ -faces of  $P$  and  $f_i(P) = |\mathcal{F}_i(P)|$ . For convenience, we usually let  $\mathcal{F}(P) = \mathcal{F}_{d-1}(P)$ , the set of facets of  $P$ . We recall that the *face lattice* of  $P$  is the collection of all faces of  $P$  ordered by inclusion, and that two polytopes are (*combinatorially*) *equivalent* if their face lattices are isomorphic.

Next, a *facet system* of  $P$  is a pair  $(\mathcal{C}, N)$  where  $N$  is a finite set,  $\mathcal{C} \subset 2^N$  and there is a bijection  $h : N \rightarrow \mathcal{F}_o(P)$  such that

$$\mathcal{F}(P) = \{\text{conv } (\{h(n) | n \in C\}) | C \in \mathcal{C}\}.$$

We recall the following form [8] or [9], p. 71:

1. If  $(\mathcal{C}, N)$  and  $(\mathcal{C}', N)$  are facet systems of convex  $d$ -polytopes then  $\mathcal{C} \subseteq \mathcal{C}'$  implies that  $\mathcal{C} = \mathcal{C}'$ , and consequently the two polytopes are equivalent.

Finally, let  $H$  be a hyperplane of  $R^d$  such that  $H \cap (\text{int } P) = \emptyset$ , and let  $y$  be a point of  $R^d \setminus H$ . If  $y$  and  $\text{int } P$  are (are not) in the same open half-space determined by  $H$ , we say that  $y$  is *beneath* (*beyond*)  $H$  with respect to  $P$ . If  $H = \text{aff } F$  for some  $F \in \mathcal{F}(P)$ , we say that  $y$  is *beneath*  $F$  (*beyond*  $F$ ) provided  $y$  is beneath (*beyond*)  $H$  with respect to  $P$ .

As noted above, we are interested in totally ordering the vertices of a polytope.

Let  $V = \mathcal{F}_0(P) = \{x_1, x_2, \dots, x_n\}$ ,  $n \geq d+1$ . We set  $x_i < x_j$  if, and only if,  $i < j$ , and call  $x_1 < x_2 < \dots < x_n$  a *vertex array* of  $P$ . We say that  $P$  is a *Gale polytope* if there exists a vertex array of  $P$  such that for any  $F \in \mathcal{F}(P)$  and any  $x_i \neq x_j$  in  $V \setminus F$ ,  $x_i$  and  $x_j$  are

separated in that vertex array by an even number of vertices of  $F$ . If  $x_1 < x_2 < \dots < x_n$  is the vertex array in the preceding definition, we say also that  $P$  is Gale with  $x_1 < x_2 < \dots < x_n$ .

We note that a convex polygon that is Gale with  $x_1 < x_2 < \dots < x_n$  has the edges  $[x_n, x_1]$  and  $[x_i, x_{i+1}]$  for  $i = 1, \dots, n - 1$ . Since such a labelling of vertices is always possible, convex polygons are Gale.

As other examples of Gale polytopes, we cite the cyclic  $d$ -polytopes (cf. [5],[6]) the ordinary 3-polytopes (cf. [2]) and the  $d$ -multiplices for all odd  $d$  (cf. [3]). For each of these polytopes, there is a complete description of all the facets irregardless of how many vertices they may have. In general and already for  $d = 4$ , such a description is a very difficult task and has been accomplished mostly for polytopes with “few” vertices, cf. for example [1], [4] and [7].

The preceding three articles are concerned with neighbourly or simplicial  $d$ -polytopes for  $d = 4$  and 6 and the enumeration of their combinatorial types. We recall that a neighbourly  $d$ -polytope is a generalization of a cyclic  $d$ -polytope  $\tilde{P}$  because any  $\lfloor d/2 \rfloor$  vertices of  $\tilde{P}$  determine a face of  $\tilde{P}$ . We present a generalization of  $\tilde{P}$  that focuses on Gale’s Evenness Condition. The importance of this approach is that there is necessarily more information about the facial structure of the resultant polytope, and that this information is available even if the polytopes has “many” vertices.

We begin this investigation by introducing for each  $k \geq 6$  and  $n = 3k - 7$ , a 4-polytope  $P_n$  that is Gale with  $x_1 < x_2 < \dots < x_n$ . Now, the origin of these  $P_n$  is combinatorial. In particular, they are determined in the following manner:

Start with a 4-polytope that is not a simplex and that is cyclic with the vertex array  $x_1 < x_2 < \dots < x_k$  (this accounts both for  $k$  and for  $k \geq 6$ ). For  $i = 1, 2, \dots$ , adjoin a vertex  $x_{i+k}$  so that with  $x_1 < x_2 < \dots < x_{i+k}$ ,  $[x_1, \dots, x_{i+k}]$  is Gale,  $[x_{i+1}, \dots, x_{i+k}]$  is cyclic and  $[x_i, x_{i+1}, \dots, x_{i+k}]$  is not cyclic (call such a 4-polytope *k-sequentially cyclic*). Always choosing  $x_{i+k}$  so that as many vertices as possible may be adjoined to  $[x_1, \dots, x_{i+k}]$ , we obtained that  $i + k \leq 3k - 7$ .

Clearly, such a combinatorial approach presents us with two problems:

Is  $P_n$  realizable in  $R^4$  for each  $n$ ?

If  $P_n$  is realizable in  $R^4$ , is there a realization which is  $k$ -sequentially cyclic?

In this article, we deal with the first problem. To be precise, we describe  $P_n$  in terms of all of its facets. Next, we show how to construct geometrically a 4-polytope  $Q_n$  in  $R^4$  that is equivalent to  $P_n$ . Finally, we prove that such a construction is possible.

In regard to the second problem, we know already that there is a  $k$ -sequentially cyclic realization of  $P_n$  for  $k = 6, 7$  and 8. As a general proof seems to be long and complicated, we hope to present it in another paper.

## THE POLYTOPES $P_n$ ; $n = 3k - 7$ , $k \geq 6$

Before describing  $P_n$ , we note that it is Gale with  $x_1 < x_2 < \dots < x_n$  and that it has  $k^2 - 3k - 1$  facets. With the hope that the facial pattern becomes more evident as  $k$  increases,

we present first  $P_{11}$ ,  $P_{14}$  and  $P_{17}$ . Also, we simplify our notation by setting

$$\mathcal{F}(i) = \{F \in \mathcal{F}(P_n) \mid x_i \text{ is the least vertex of } F\}$$

and

$$\mathcal{C}(i) = \{[i, \dots, j] \mid F = [x_i, \dots, x_j] \in \mathcal{F}(i)\}.$$

We remark that  $\mathcal{F}(P_n) = \bigcup_{i=1}^{k-2} \mathcal{F}(i)$ , and set  $\mathcal{C}(P_n) = \bigcup_{i=1}^{k-2} \mathcal{C}(i)$ . Clearly,  $\mathcal{C}(P_n)$  represents a facet system of  $P_n$ .

$$P_{11}: \mathcal{C}(1) = \{[1, 2, 4, 5, 9, 10], [1, 2, 5, 6], [1, 2, 6, 7], [1, 2, 7, 8], [1, 2, 8, 9], \\ [1, 3, 4, 8, 9], [1, 3, 4, 7, 8], [1, 4, 5, 6, 7, 11]\},$$

$$\mathcal{C}(2) = \{[2, 3, 5, 6, 10, 11], [2, 3, 6, 7], [2, 3, 7, 8], [2, 3, 8, 9], [2, 3, 9, 10]\},$$

$$\mathcal{C}(3) = \{[3, 4, 6, 7, 11], [3, 4, 9, 10], [3, 4, 10, 11]\},$$

$$\mathcal{C}(4) = \{[4, 5, 10, 11]\}.$$

$$P_{14}: \mathcal{C}(1) = \{[1, 2, 4, 5, 11, 12], [1, 2, 5, 6], [1, 2, 6, 7], [1, 2, 7, 8], [1, 2, 8, 9], \\ [1, 2, 9, 10], [1, 2, 10, 11], [1, 3, 4, 10, 11], [1, 3, 4, 9, 10], \\ [1, 4, 5, 8, 9], [1, 5, 6, 7, 8, 14]\},$$

$$\mathcal{C}(2) = \{[2, 3, 5, 6, 12, 13], [2, 3, 6, 7], [2, 3, 7, 8], [2, 3, 8, 9], [2, 3, 9, 10], \\ [2, 3, 10, 11], [2, 3, 11, 12]\},$$

$$\mathcal{C}(3) = \{[3, 4, 6, 7, 13, 14], [3, 4, 7, 8], [3, 4, 8, 9], [3, 4, 11, 12], [3, 4, 12, 13]\},$$

$$\mathcal{C}(4) = \{[4, 5, 7, 8, 14], [4, 5, 12, 13], [4, 5, 13, 14]\},$$

$$\mathcal{C}(5) = \{[5, 6, 13, 14]\}.$$

$$P_{17}: C(1) = \{[1, 2, 4, 5, 13, 14], [1, 2, 5, 6], [1, 2, 6, 7], [1, 2, 7, 8], [1, 2, 8, 9], \\ [1, 2, 9, 10], [1, 2, 10, 11], [1, 2, 11, 12], [1, 2, 12, 13], [1, 3, 4, 12, 13], \\ [1, 3, 4, 11, 12], [1, 4, 5, 10, 11], [1, 5, 6, 9, 10], [1, 6, 7, 8, 9, 17]\},$$

$$C(2) = \{[2, 3, 5, 6, 14, 15], [2, 3, 6, 7], [2, 3, 7, 8], [2, 3, 8, 9], [2, 3, 9, 10], \\ [2, 3, 10, 11], [2, 3, 11, 12], [2, 3, 12, 13], [2, 3, 13, 14]\},$$

$$C(3) = \{[3, 4, 6, 7, 15, 16], [3, 4, 7, 8], [3, 4, 8, 9], [3, 4, 9, 10], [3, 4, 10, 11], \\ [3, 4, 13, 14], [3, 4, 14, 15]\},$$

$$C(4) = \{[4, 5, 7, 8, 16, 17], [4, 5, 8, 9], [4, 5, 9, 10], [4, 5, 14, 15], [4, 5, 15, 16]\},$$

$$C(5) = \{[5, 6, 8, 9, 17], [5, 6, 15, 16], [5, 6, 16, 17]\},$$

$$C(6) = \{[6, 7, 16, 17]\}.$$

$$P_n : C(1) = \{[1, 2, 4, 5, 2k-3, 2k-2], [1, 2, 5, 6], [1, 2, 6, 7], \dots, [1, 2, 2k-4, 2k-3], \\ [1, 3, 4, 2k-4, 2k-3], [1, 3, 4, 2k-5, 2k-4], \dots \\ [1, 3+i, 4+i, 2k-5-i, 2k-4-i], \dots, [1, k-3, k-2, k+1, k+2], \\ [1, k-2, k-1, k, k+1, 3k-7]\},$$

$$C(2) = \{[2, 3, 5, 6, 2k-2, 2k-1], [2, 3, 6, 7], [2, 3, 7, 8], \dots, [2, 3, 2k-3, 2k-2]\},$$

$$C(i) = \{[i, i+1, i+3, i+4, i+2k-3], [i, i+1, i+4, i+5], [i, i+1, i+5, i+6], \\ \dots, [i, i+1, 2k-3-i, 2k-2-i], [i, i+1, i+2k-6, i+2k-5], \\ [i, i+1, i+2k-5, i+2k-4]\} \text{ for } i = 3, \dots, k-4,$$

$$C(k-3) = \{[k-3, k-2, k, k+1, 3k-7], [k-3, k-2, 3k-9, 3k-8], \\ [k-3, k-2, 3k-8, 3k-7]\},$$

$$C(k-2) = \{[k-2, k-1, 3k-8, 3k-7]\}.$$

## THE CONSTRUCTION

Clearly, in order to determine how to construct a 4-polytope  $Q_n$  in  $R^4$  that is equivalent to  $P_n$ , we need to understand the relationship between  $P_n$  and its subpolytopes

$$P_m = [x_1, x_2, \dots, x_m], \quad m \leq n.$$

In particular, it is important to know which facets of  $P_m$  determine supporting hyperplanes of  $P_n$ . With this in mind and assuming a priori that  $P_n$  is realizable, we set

$$\mathcal{F}'(m) = \{F' \in \mathcal{F}(P_m) \mid x_m \in F' \text{ and aff } F' \text{ supports } P_n\}$$

and

$$C'(m) = \{[i, j, \dots, m] \mid F' = [x_i, x_j, \dots, x_m] \in \mathcal{F}'(m)\},$$

and claim the following.

$$2.1 \quad C'(m) = \{[1, 2, m-1, m], [2, 3, m-1, m], \dots, [m-4, m-3, m-1, m]\} \text{ for } m = 5, \dots, k-1.$$

$$2.2 \quad C'(k) = \{[1, 2, k-1, k], [2, 3, k-1, k], \dots, [k-4, k-3, k-1, k], [1, k-2, k-1, k]\}.$$

$$2.3 \quad C'(m) = \{[1, 2, m-1, m], [2, 3, m-1, m], \dots, [k-j-2, k-j-1, m-1, m], \\ [1, k-j-2, k-j-1, m], [1, k-j-1, k-j, m-1, m]\} \text{ for } m = k+j \\ \text{and } j = 1, \dots, k-5.$$

$$2.4 \quad C'(2k-4) = \{[1, 2, 2k-5, 2k-4], [1, 3, 4, 2k-5, 2k-4], [2, 3, 2k-5, 2k-4]\}.$$

$$2.5 \quad C'(2k-3) = \{[1, 2, 4, 5, 2k-3], [1, 2, 2k-4, 2k-3], [1, 3, 4, 2k-4, 2k-3], \\ [2, 3, 2k-4, 2k-3]\}.$$

$$2.6 \quad C'(m) = \{[j, j+1, j+3, j+4, m-1, m], [j+1, j+2, j+4, j+5, m], \\ [j+1, j+2, m-1, m], [j+2, j+3, m-1, m]\} \text{ for } m = 2k-3+j \\ \text{and } j = 1, \dots, k-5.$$

$$2.7 \quad C'(3k-7) = \{[1, k-2, k-1, k+1, 3k-7], [k-4, k-3, k-1, k, 3k-8, 3k-7], \\ [k-3, k-2, k, k+1, 3k-7], [k-3, k-2, 3k-8, 3k-7], \\ [k-2, k-1, 3k-8, 3k-7]\}.$$

**Proof.** (2.1,2.2) From  $\mathcal{C}(1)$  and  $\mathcal{C}(2)$ , it is clear that we need only to verify that  $[i, i+1, m-1, m] \in C'(m)$  for  $3 \leq i \leq m-4$  and  $5 \leq m \leq k$ , and that follows from  $\mathcal{C}(i)$  since  $2k-2-i \geq 2k-2+4-k = k+2$ .

As neither  $\mathcal{C}(k-3)$  nor  $\mathcal{C}(k-2)$  contributes any element to  $C'(m)$ , the assertions follow.

(2.3) Let  $m = k+j$  and  $1 \leq j \leq k-5$ . Then  $k+1 \leq m \leq 2k-5$  and  $k-j-2 \leq k-3$ .

From  $\mathcal{C}(1)$  and  $\mathcal{C}(2)$ ,  $\{[1, 2, m-1, m], [2, 3, m-1, m]\} \subset C'(m)$ . If  $3 \leq i \leq \min\{k-4, k-j-2\}$  then  $j \leq k-i-2$ ,  $m \leq 2k-i-2$  and  $[i, i+1, m-1, m] \in C'(m)$  from  $\mathcal{C}(i)$ . Finally, if  $k-j-2 = k-3$  then

$$[k-j-2, k-j-1, m-1, m] = [k-3, k-2, k, k+1] \in C'(m)$$

from  $\mathcal{C}(k-3)$ .

Since

$$\{[1, k-j-1, k-j, k+j-1, k+j] \mid j = 1, \dots, k-5\} \\ = \{[1, k-2, k-1, k, k+1], [1, k-3, k-2, k+1, k+2], \dots, [1, 4, 5, 2k-6, k-5]\},$$

it follows from  $\mathcal{C}(1)$  that  $[1, k-j-1, k-j, m-1, m] \in C'(m)$  for  $k+1 \leq m \leq 2k-5$ . Then  $[1, k-j-2, k-j-1, m, m+1] \in C'(m+1)$  for  $k \leq m \leq 2k-6$  and  $[1, 3, 4, 2k-5, 2k-4] \in \mathcal{C}(1)$  yield that  $[1, k-j-2, k-j-1, m] \in C'(m)$  for  $k+1 \leq m \leq 2k-5$ .

The assertion now readily follows.

(2.4,2.5) Immediate from  $\mathcal{C}(1)$  and  $\mathcal{C}(2)$ , and the fact that for  $i \geq 3$ ,  $2k - 2 - i \leq 2k - 5$  and  $i + 2k - 5 \geq 2k - 2$ .

(2.6) Let  $m = 2k - 3 + j$ . It is clear from  $\mathcal{C}(1)$ ,  $1 \leq i \leq k - 4$ , that  $[j, j + 1, j + 3, j + 4, m - 1, m] \in \mathcal{C}'(m)$  for  $2k - 2 \leq m \leq 3k - 7$ . From whence we argue as above to obtain that  $[j + 1, j + 2, j + 4, j + 5, m] \in \mathcal{C}'(m)$  for  $2k - 2 \leq m \leq 3k - 8$ .

We note that with  $j = i - 1$ ,

$$\{[j + 1, j + 2, m - 1, m] \mid j = 1, \dots, k - 5\} = \{[i, i + 1, i + 2k - 5, i + 2k - 4] \mid i = 2, \dots, k - 4\}$$

and that with  $j = i - 2$ ,

$$\{[j + 2, j + 3, m - 1, m] \mid j = 1, \dots, k - 5\} = \{[i, i + 1, i + 2k - 6, i + 2k - 5] \mid i = 3, \dots, k - 3\}.$$

It is now easy to verify the assertion from  $\mathcal{C}(P_n)$ .

(2.7) Immediate.  $\square$

Now that we have an idea of the relationship between  $P_m$  and  $P_n$ , we wish to approximate a facet system of  $P_m$  by eliminating the extraneous representations of its facets from  $\bigcup_{i=5}^m \mathcal{C}'(i)$ .

Accordingly, let  $\mathcal{F}^*(P_m)$  denote the set of all  $F^* \in \bigcup_{i=5}^m \mathcal{F}'(i)$  with the property that if  $F' \in \bigcup_{i=5}^m \mathcal{F}'(i)$  and  $\text{aff } F' = \text{aff } F^*$  then  $F^*$  contains  $F'$ , and set

$$\mathcal{C}^*(P_m) = \{[i, \dots, j] \mid F^* = [x_i, \dots, x_j] \in \mathcal{F}^*(m)\}.$$

We remark that  $\mathcal{C}^*(P_n) = \mathcal{C}(P_n)$  and that from 2.1 to 2.7 and  $\mathcal{C}(P_n)$ , it is easy to obtain

$$3.1 \quad \mathcal{C}^*(P_k) = \bigcup_{i=5}^k \mathcal{C}'(i),$$

$$3.2 \quad \mathcal{C}^*(P_m) = (\mathcal{C}^*(P_{m-1}) \setminus \{[1, k - j - 1, k - j, m - 1]\}) \cup \mathcal{C}'(m) \text{ for } m = k + j \\ \text{and } j = 1, \dots, k - 5,$$

$$3.3 \quad \mathcal{C}^*(P_{2k-4}) = (\mathcal{C}^*(P_{2k-5}) \setminus \{[1, 3, 4, 2k - 5]\}) \cup \mathcal{C}'(2k - 4),$$

$$3.4 \quad \mathcal{C}^*(P_{2k-3}) = (\mathcal{C}^*(P_{2k-4}) \setminus \{[1, 2, 4, 5]\}) \cup \mathcal{C}'(2k - 3),$$

$$3.5 \quad \mathcal{C}^*(P_m) = (\mathcal{C}^*(P_{m-1}) \setminus \{[j, j + 1, i + 3, j + 4, m - 1], [j + 1, j + 2, j + 4, j + 5]\}) \\ \cup \mathcal{C}'(m) \text{ for } m = 2k - 3 + j \text{ and } j = 1, \dots, k - 5, \text{ and}$$

$$3.6 \quad \mathcal{C}^*(P_n) = (\mathcal{C}^*(P_{n-1}) \setminus \{[1, k - 2, k - 1, k, k + 1], [k - 4, k - 3, k - 1, k, 3k - 8], \\ [k - 3, k - 2, k, k + 1]\}) \cup \mathcal{C}'(3k - 7).$$

Proceeding with the construction, let  $Q_k$  be a cyclic 4-polytope in  $R^4$  with the vertex array  $y_1 < y_2 < \dots < y_k$ ,  $k \geq 6$ , and choose points  $y_{k+1}, y_{k+2}, \dots, y_{3k-7}$  in  $R^4$  in the order presented so that  $y_m$  has the following position with respect to

$$Q_{m-1} = [y_1, y_2, \dots, y_m] :$$

- 4.1 For  $m = k + j$  and  $j = 1, \dots, k - 5$ ,  $y_m$  is on  $\langle y_1, y_{k-j-1}, y_{k-j}, y_{m-1} \rangle$  and beyond exactly  $[y_1, y_i, y_{i+1}, y_{m-1}]$  for  $i = 2, \dots, k - j - 2$ .
- 4.2  $y_{2k-4}$  is on  $\langle y_1, y_3, y_4 \rangle = \langle y_1, y_2, y_3, y_4 \rangle \cap \langle y_1, y_3, y_4, y_{2k-5} \rangle$  and beyond exactly  $[y_1, y_2, y_3, y_{2k-5}]$ .
- 4.3  $y_{2k-3}$  is on  $\langle y_2, y_4, y_5 \rangle = \langle y_1, y_2, y_4, y_5 \rangle \cap \langle y_2, y_3, y_4, y_5 \rangle$  and beyond exactly  $[y_1, y_2, y_3, y_4, y_{2k-4}]$ .
- 4.4 For  $m = 2k - 3 + j$  and  $j = 1, \dots, k - 4$ ,  $y_m$  is on  $\langle y_{j+2}, y_{j+4}, y_{j+5} \rangle = \langle y_{j+1}, y_{j+2}, y_{j+4}, y_{j+5} \rangle \cap \langle y_{j+2}, y_{j+3}, y_{j+4}, y_{j+5} \rangle$  and  $\langle y_j, y_{j+1}, y_{j+3}, y_{j+4}, y_{m-1} \rangle$ , and beyond exactly  $[y_{j+1}, y_{j+2}, y_{j+3}, y_{j+4}, y_{m-1}]$ .

Assuming again that such a construction is possible, we set

$$\mathcal{C}(Q_m) = \{[i, \dots, j] \mid [y_i, \dots, y_j] \in \mathcal{F}(Q_m)\}$$

and compare  $\mathcal{C}(Q_m)$  and  $\mathcal{C}^*(P_m)$  for  $m = k, \dots, n = 3k - 7$ .

- 5.  $\mathcal{C}(Q_m) = \mathcal{C}^*(P_m) \cup \{[s-3, s-2, s-1, s] \mid s = 4, \dots, k\} \cup \{[1, i, i+1, m] \mid i = 2, \dots, k-j-3\}$  for  $m = k + j$  and  $j = 0, \dots, k - 5$ .

**Proof.** From 2.1, 2.2 and 3.1,

$$\mathcal{C}^*(P_k) = \{[i, i+1, s-1, s] \mid i = 1, \dots, s-4 \text{ and } s = 5, \dots, k\} \cup \{[1, k-2, k-1, k]\}.$$

Since  $Q_k$  is cyclic with  $y_1 < y_2 < \dots < y_k$ ,

$$\mathcal{C}(Q_k) = \{[i, i+1, s-1, s] \mid i = 1, \dots, s-3 \text{ and } s = 4, \dots, k\} \cup \{[1, i, i+1, k] \mid i = 2, \dots, k-2\}$$

and the assertion follows for  $j = 0$ .

We assume that  $1 \leq j \leq k - 5$  and that

$$\mathcal{C}(Q_{m-1}) = \mathcal{C}^*(P_{m-1}) \cup \{[s-3, s-2, s-1, s] \mid s = 4, \dots, k\} \cup \{[1, i, i+1, m-1] \mid i = 2, \dots, u\}$$

where  $u = k - (j - 1) - 3 = k - j - 2$ .

We note that

$$[1, u+1, u+2, m-1] = [1, k-j-1, k-j, m-1] \in \mathcal{C}^*(P_{m-1})$$

and that by 4.1,  $y_m$  is on  $\langle y_1, y_{u+1}, y_{u+2}, y_{m-1} \rangle$  and beyond only  $[y_1, y_i, y_{i+1}, y_{m-1}]$  for  $i = 2, \dots, u$ .

We recall that if  $F \in \mathcal{F}(Q_m) \setminus \mathcal{F}(Q_{m-1})$  then

$$F = \text{conv}(\{y_m\} \cup G)$$

where either  $G \in \mathcal{F}(Q_{m-1})$  and  $y_m \in \text{aff } G$  or  $G \in \mathcal{F}_2(Q_{m-1})$  and  $y_m$  is beyond (beneath) at least one facet of  $Q_{m-1}$  that contains  $G$ . Accordingly, we need only to examine the 2-faces of  $[y_1, y_i, y_{i+1}, y_{m-1}]$  for  $i = 2, \dots, u$ . Such an examination readily yields that  $[y_1, y_i, y_{i+1}]$ ,  $2 \leq i \leq u$ , and  $[y_i, y_{i+1}, y_{m-1}]$ ,  $1 \leq i \leq u$ , are the only ones from these 2-faces that generate facets through  $y_m$ . Thus by the induction, 2.3 and 3.2,

$$\begin{aligned} \mathcal{C}(Q_m) &= (\mathcal{C}(Q_{m-1}) \setminus \{[1, i, i+1, m-1] \mid i = 2, \dots, u+1\}) \cup \{[1, i, i+1, m] \mid i = 2, \dots, u\} \\ &\quad \cup \{[i, i+1, m-1, m] \mid i = 1, \dots, u\} \cup \{[1, u+1, u+2, m-1, m]\} \\ &= (\mathcal{C}^*(P_{m-1}) \setminus \{[1, u+1, u+2, m-1]\}) \cup \{[s-3, s-2, s-1, s] \mid s = 4, \dots, k\} \\ &\quad \cup \{C'(m) \cup \{[1, i, i+1, m] \mid i = 2, \dots, u-1\}\} \\ &= \mathcal{C}^*(P_m) \cup \{[s-3, s-2, s-1, s] \mid s = 4, \dots, k\} \cup \{[1, i, i+1, m] \mid i = 2, \dots, k-j-3\} \end{aligned}$$

□

$$6. \mathcal{C}(Q_{2k-4}) = \mathcal{C}^*(P_{2k-4}) \cup \{[s-3, s-2, s-1, s] \mid s = 5, \dots, k\} \cup \{[1, 2, 3, 4, 2k-4]\}.$$

**Proof.** From 2.3, 4.2 and 5, we have that

$$\begin{aligned} \mathcal{C}(Q_{2k-5}) &= \mathcal{C}^*(P_{2k-5}) \cup \{[s-3, s-2, s-1, s] \mid s = 4, \dots, k\} \cup \{[1, 2, 3, 2k-5]\}, \\ [1, 3, 4, 2k-5] &\in \mathcal{C}^*(P_{2k-5}) \text{ and } y_{2k-4} \text{ is on both } \langle y_1, y_2, y_3, y_4 \rangle \text{ and } \langle y_1, y_3, y_4, y_{2k-5} \rangle, \text{ and} \\ &\text{beyond only } [y_1, y_2, y_3, y_{2k-5}]. \text{ Thus, it is immediate by 2.4 and 3.3 that} \end{aligned}$$

$$\begin{aligned} \mathcal{C}(Q_{2k-4}) &= (\mathcal{C}(Q_{2k-5}) \setminus \{[1, 2, 3, 4], [1, 3, 4, 2k-5], [1, 2, 3, 2k-5]\}) \cup \{[1, 2, 3, 4, 2k-4], \\ &\quad [1, 3, 4, 2k-5, 2k-4], [1, 2, 2k-5, 2k-4], [2, 3, 2k-5, 2k-4]\} \\ &= (\mathcal{C}^*(P_{2k-5}) \setminus \{[1, 3, 4, 2k-5]\}) \cup \{[s-3, s-2, s-1, s] \mid s = 5, \dots, k\} \\ &\quad \cup \{C'(2k-4) \cup \{[1, 2, 3, 4, 2k-4]\}\} \\ &= \mathcal{C}^*(P_{2k-4}) \cup \{[s-3, s-2, s-1, s] \mid s = 5, \dots, k\} \cup \{[1, 2, 3, 4, 2k-4]\}. \end{aligned}$$

We remark that  $[y_1, y_3, y_4, y_{2k-4}] \in \mathcal{F}_2(Q_{2k-4})$  and that  $[y_4, y_{2k-4}] \in \mathcal{F}_1(Q_{2k-4})$ . □

$$7. \mathcal{C}(Q_m) = \mathcal{C}^*(P_m) \cup \{[s-3, s-2, s-1, s] \mid s = j+6, \dots, k\} \cup \{[j+2, j+3, j+4, j+5, m]\} \text{ for } m = 2k-3+j \text{ and } j = 0, 1, \dots, k-5.$$

**Proof.** Noting that  $[y_1, y_3, y_4, y_{2k-4}]$ ,  $[y_1, y_2, y_4]$ ,  $[y_1, y_2, y_{2k-4}]$ ,  $[y_2, y_3, y_4]$  and  $[y_2, y_3, y_{2k-4}]$  are the 2-faces of  $[y_1, y_2, y_3, y_4, y_{2k-4}]$ , we argue as above to obtain that

$$\mathcal{C}(Q_{2k-3}) = \mathcal{C}^*(P_{2k-3}) \cup \{[s-3, s-2, s-1, s] \mid s = 6, \dots, k\} \cup \{[2, 3, 4, 5, 2k-3]\},$$



$[y_2, y_4, y_5, y_{2k-3}] \in \mathcal{F}_2(Q_{2k-3})$  and  $[y_5, y_{2k-3}] \notin \mathcal{F}_1(Q_{2k-3})$ .

Proceeding by induction; the assertion,  $[y_{j+2}, y_{j+4}, y_{j+5}, y_m] \in \mathcal{F}_2(Q_m)$  and  $[y_{j+5}, y_m] \notin \mathcal{F}_1(Q_m)$  follow as above from 2.6, 3.6 and 4.4.

We remark that  $\{[s-3, s-2, s-1, s] \mid k+1 \leq s \leq k\}$  is empty and thus,

$$\mathcal{C}(Q_{3k-8}) = \mathcal{C}^*(P_{3k-8}) \cup \{[k-3, k-2, k-1, k, 3k-8]\}.$$

□

$$8. \mathcal{C}(Q_{3k-7}) = \mathcal{C}^*(P_{3k-7}) = \mathcal{C}(P_{3k-7}).$$

**Proof.** Noting that  $y_1 \in \langle y_{k-2}, y_{k-1}, y_k, y_{k+21} \rangle$  from 4.1, the assertion is immediate by 3.6, 4.4 and 6. □

We remark that  $P_n$  and  $Q_n$  are equivalent and that for  $k = k, \dots, n$ ,  $Q_m$  is Gale with  $y_1 < y_2 < \dots < y_m$ .

## REALIZATION

Recalling that  $Q_k \subset R^4$  is a cyclic 4-polytope with the vertex array  $y_1 < y_2 < \dots < y_k$ ,  $k \geq 6$ , we verify the existence of points  $y_{k+1}, \dots, y_{3k-7}$  in  $R^4$  satisfying 4.1 to 4.4.

Set  $F = [y_1, y_{k-2}, y_{k-1}, y_k]$ ,  $F^* = [y_1, y_2, y_{k-1}, y_k]$  and  $F_i = [y_1, y_i, y_{i+1}, y_k]$  for  $i = 2, \dots, k-3$ . These are the facets of  $Q_k$  containing  $[y_1, y_k]$ . With

$$H = \text{aff } F, H^* = \text{aff } F^* \text{ and } H_i = \text{aff } F_i,$$

we have that

$$M^* = H^* \cap H \text{ and } M_i = H_i \cap H$$

are planes containing the line  $L = \langle y_1, y_k \rangle$ . From 4.1, we need initially to find a point  $y \in H$  such that, with respect to  $F$ , it is beneath  $M^*$  and beyond each  $M_i$ .

Let  $2 \leq i \leq k-3$ . Since  $[y_1, y_i, y_k] \in \mathcal{F}_2(Q_k)$ , there is a supporting hyperplane  $\tilde{H}_i \subset R^4$  such that

$$\tilde{H}_i \cap Q_k = [y_1, y_i, y_k].$$

We note that  $[y_1, y_2, y_k] = F^* \cap F_2$  implies that  $\tilde{H}_2$  ranges between  $H^*$  and  $H_2$ , and that for  $i > 2$ ,  $[y_1, y_i, y_k] = F_{i-1} \cap F_i$  implies that  $\tilde{H}_i$  ranges between  $H_{i-1}$  and  $H_i$ . Thus the plane  $\tilde{H}_i \cap H$ , containing  $L$ , ranges between  $M^*$  and  $M_2$  for  $i = 2$ , and between  $M_{i-1}$  and  $M_i$  for  $i > 2$ . Now if

$$\tilde{H}_u \cap H = \tilde{H}_v \cap H$$

for some  $2 \leq u < v \leq k-3$  then through the plane  $M = \tilde{H}_u \cap \tilde{H}_v \cap H$  with the property that  $M \cap Q_k = [y_1, y_k]$ , there passes three distinct supporting hyperplanes of  $Q_k$  such that each of

them intersects  $Q_k \setminus M$ ; a contradiction. Accordingly, the arrangement of  $M^*, M_2, \dots, M_{k-3}$  in  $H$  is as indicated in Figure 1.

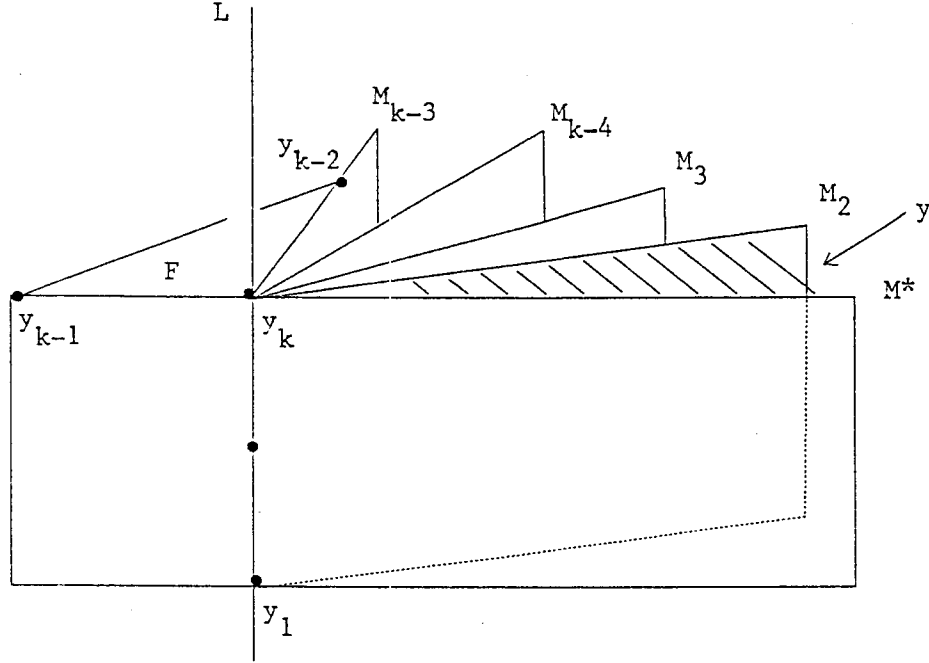


Figure 1

It is now clear that there are points  $y \in H$  that are, with respect to  $F$ , beneath  $M^*$  and beyond each  $M_i$ . With respect to  $Q_k$ , such  $y$  are on  $\text{aff } F$ , beneath  $F^*$  and beyond each  $F_i$ . Now if  $y$  is arbitrarily close to say the mid-point of  $[y_1, y_k]$  then it is obviously beneath all the other facets of  $Q_k$ , and we label it  $y_{k+1}$ .

Let  $2 \leq j \leq k-5$ ,  $u = k-j$  and assume that  $y_{k+1}, \dots, y_{m-1}$  exist satisfying 4.1 for  $m = k+j$ . From 2.3 and 5., the facets of  $Q_{m-1} = [y_1, \dots, y_{m-1}]$  containing  $[y_1, y_{m-1}]$  are  $F = [y_1, y_{u-1}, y_u, y_{m-1}]$ ,  $F' = [y_1, y_u, y_{u+1}, y_{m-2}, y_{m-1}]$ ,  $F^* = [y_1, y_2, y_{m-2}, y_{m-1}]$ , and  $F_i = [y_1, y_i, y_{i+1}, y_{m-1}]$  for  $i = 2, \dots, k-j-2 = u-2$ . Let  $H = \text{aff } F$ ,  $H' = \text{aff } F'$ ,  $H^* = \text{aff } F^*$  and  $H_i = \text{aff } F_i$ , as well as  $M' = H' \cap H$ ,  $M^* = H^* \cap H$  and  $M_i = H_i \cap H$ . Now we argue

as above and obtain that the arrangement of the planes in  $H$  is as indicated in Figure 2.

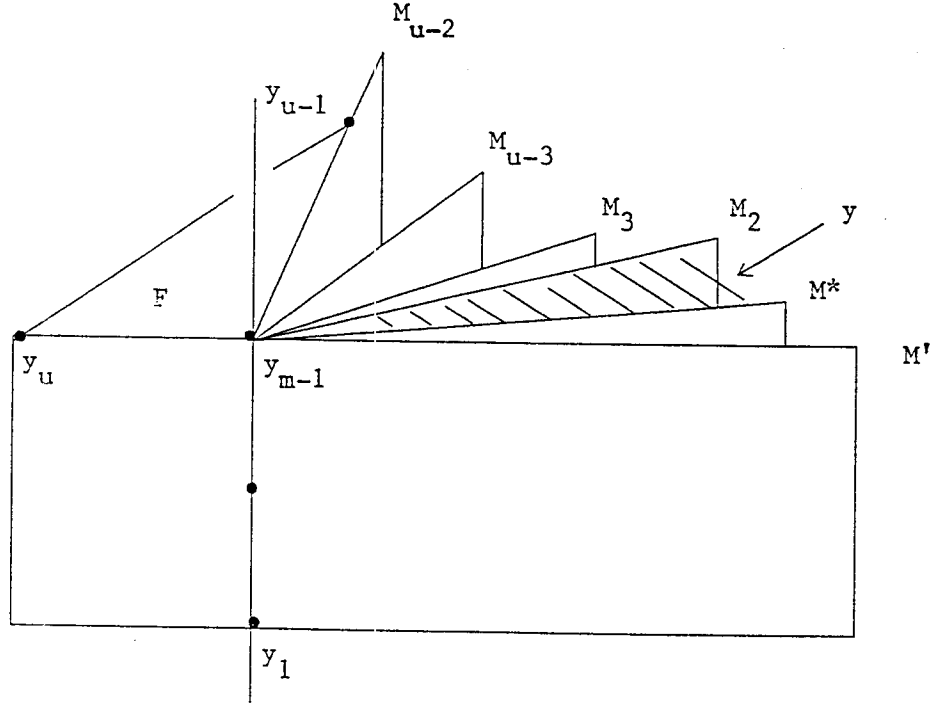


Figure 2

Again, we choose as  $y_m$  a point  $y \in H$  that is beyond  $M_1, \dots, M_{u-2}$  and beneath  $M^*$  (and  $M'$ ) with respect to  $F$ , and arbitrarily close to say the mid-point of  $[y_1, y_{m-1}]$ .

Next, we need a point on the plane  $M = \langle y_1, y_3, y_4 \rangle$  that is beyond only  $[y_1, y_2, y_3, y_{2k-5}]$  with respect to  $Q_{2k-5}$ . Since

$$\langle y_1, y_3 \rangle = M \cap \langle y_1, y_2, y_3, y_{2k-5} \rangle,$$

it is immediate that a point  $y \in M \setminus [y_1, y_3, y_4]$  that is arbitrarily close to say the mid-point of  $[y_1, y_3]$  is suitable choice for  $y_{2k-4}$ .

We choose  $y_{2k-3}$  in a similar manner.

Finally, let  $1 \leq j \leq k-4$  and assume that  $y_{2k-3}, \dots, y_{m-1}$  exist satisfying 4.3 to 4.4 for  $m = 2k-3+j$ . Set

$$M = \langle y_{j+2}, y_{j+4}, y_{j+5} \rangle,$$

$$F_0 = [y_j, y_{j+1}, y_{j+3}, y_{j+4}, y_{m-1}],$$

$$F_1 = [y_{j+1}, y_{j+2}, y_{j+3}, y_{j+4}, y_{m-1}],$$

$$L_i = M \cap \text{aff } F_i,$$

and let  $H \subset R^4$  be a supporting hyperplane of  $Q_{m-1}$  such that

$$H \cap Q_{m-1} = F_0 \cap F_1 = [y_{j+1}, y_{j+3}, y_{j+4}, y_{m-1}].$$

From 4.4, we need a point on  $L_0$  that is beyond  $L_1$  with respect to  $[y_{j+2}, y_{j+4}, y_{j+5}]$ , and beneath all the other facets of  $Q_{m-1}$  with respect to  $Q_{m-1}$ ; cf. Figure 3 with explanation to follow.

We note that if  $M \cap \text{aff}(F_0 \cap F_1)$  is a line then

$$\text{aff}(M \cup (F_0 \cap F_1)) = \text{aff}(\{y_{j+2}\} \cup (F_0 \cap F_1)) = \text{aff } F_1$$

and  $y_{j+5} \in F_1$ ; a contradiction. Hence,  $M \cap \text{aff}(F_0 \cap F_1) = \{y_{j+4}\}$  and  $L = H \cap M$  is a supporting line of  $[y_{j+2}, y_{j+4}, y_{j+5}]$  that passes through  $y_{j+4}$  and is distinct from  $L_0$  and  $L_1$ . Since  $H$  ranges between  $\text{aff } F_0$  and  $\text{aff } F_1$ , and  $L_0 \neq L \neq L_1$ , it follows that  $L$  ranges between  $L_0$  and  $L_1$ .

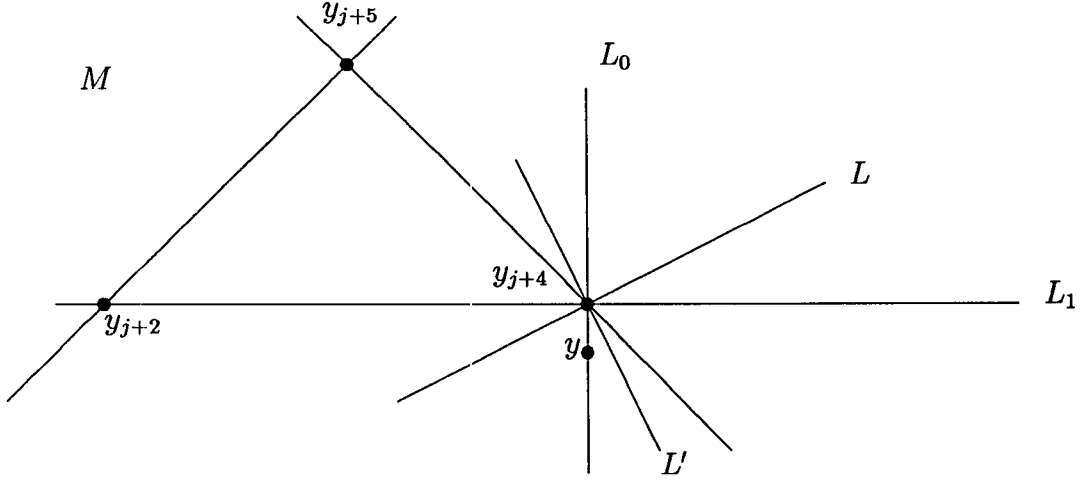


Figure 3

Let  $F' \in \mathcal{F}(Q_{m-1})$  such that  $y_{j+4} \in F'$  and  $F_0 \neq F' \neq F_1$ . Now if  $L' = (\text{aff } F') \cap M = L$  then  $y_{j+5} \notin F'$ , and it follows from the Gale property of  $Q_{m-1}$  that  $y_{j+3} \in F'$ . Hence, through the plane  $M' = \text{aff}(L \cup \{y_{j+3}\})$ , there passes three supporting hyperplanes  $\text{aff } F_0$ ,  $\text{aff } F_1$  and  $\text{aff } F'$  of  $Q_{m-1}$  such that each of them intersects  $Q_{m-1} \setminus M'$ ; a contradiction.

Since  $L' \neq L$  and  $L$  ranges between  $L_0$  and  $L_1$ , we have the explanation for Figure 3. It is now clear that a point  $y \in L_0$  that is beyond  $L_1$  with respect to  $[y_{j+2}, y_{j+4}, y_{j+5}]$  and is arbitrarily close to  $y_{j+4}$  is a suitable choice for  $y_m$ .

## REMARKS

In summary, we have the existence of a 4-polytope  $Q_n$  ( $n = 3k - 7$ ) and  $k \geq 6$  in  $R^4$  that is not only Gale with  $y_1 < y_2 < \dots < y_n$  but also has the property that for  $k \leq m < n$ , the subpolytopes  $Q_m = [y_1, y_2, \dots, y_m]$  are Gale with the induced vertex array. We remark that the  $f$ -vector of  $Q_n$  is

$$(3k - 7, k^2 + 3k - 19, 2k^2 - 3k - 13, k^2 - 3k - 1).$$

In addition to the earlier question of whether  $Q_n$  is  $k$ -sequentially cyclic, it is also natural to ask if there exists a point  $y_{n+1} \in R^4$  such that  $Q_{n+1} = [y_1, \dots, y_n, y_{n+1}]$  is Gale with  $y_1 < y_2 < \dots < y_n < y_{n+1}$ ? All we know at present is that our method of construction does not yield  $y_{n+1}$  and the reason seems to be the following: the construction pretends that the points  $y_i$  lie on simple, locally of order four, oriented curve in  $R^4$  that itself lies on the boundary of a strictly convex body. As such a curve may be closed then, of course, after choosing sufficiently many points as move along the curve, we return to the starting point  $y_1$ . The construction yields the  $3k - 7$  points are sufficiently many.

Next, we make no claim that we have presented the only or even the best way of constructing Gale 4-polytopes. As food for thought, we present the following combinatorial 4-polytope  $P = [x_1, x_2, \dots, x_{28}]$  that is Gale with the natural ordering. With our usual notation for facets,

$$\begin{aligned} \mathcal{C}(P) = \{ & [1, 2, 5, 6, 9, 10, 13, 14, 17, 18, 21, 22, 25, 26], [1, 2, 8, 9, 15, 16, 22, 23], \\ & [1, 4, 5, 8, 9, 12, 13, 16, 17, 20, 21, 24, 25, 28], [1, 7, 8, 14, 15, 21, 22, 28], \\ & [2, 3, 6, 7, 10, 11, 14, 15, 18, 19, 22, 23, 26, 27], [2, 3, 9, 10, 16, 17, 23, 24], \\ & [3, 4, 7, 8, 11, 12, 15, 16, 19, 20, 23, 24, 27, 28], [3, 4, 10, 11, 17, 18, 24, 25], \\ & [4, 5, 11, 12, 18, 19, 25, 26], [5, 6, 12, 13, 19, 20, 26, 27], \\ & [6, 7, 13, 14, 20, 21, 27, 28] \}. \end{aligned}$$

It is noteworthy that  $P$  is simple, with no triangular 2-faces and the  $f$ -vector  $(28, 56, 39, 11)$ .

It is evident that Gale polytopes have an inherent interest and it is our belief that, as a class of explicit examples, they will further the understanding of polytopes in general.

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