

MINIMAL TRIANGULATION OF THE 4-CUBE*

Richard W. COTTLE

Department of Operations Research, Stanford University, Stanford, CA 94305, USA

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It is known that the 4-dimensional cube can be triangulated by a set of 16 simplices. This note demonstrates that the 4-dimensional cube cannot be triangulated with fewer than 16 simplices.

The aim of this note is to prove that the triangulation of the unit 4-cube given by Mara [2] is minimal. Like Mara, we restrict attention to triangulation of the 4-cube into simplices whose vertices are vertices of the cube itself. To say that a triangulation is minimal means that no fewer simplices can be used to triangulate the body in question.

The triangulation of the 4-cube into 16 simplices is based upon two operations. The first 'slices off' 8 particular vertices and their neighbors. The second operation splits the remaining convex body into another 8 simplices by passing three planes through it. He shows that this process yields a minimal triangulation. It is true that it is optimal to perform the first operation. This paper will demonstrate the minimality of the resulting triangulation without such an assumption. In any event, Mara's Theorem 1 is an integral part of the minimality result. It should be emphasized that it is independent of the assumption he made in obtaining his limited minimality result. We quote Mara's Theorem 1 for ease of reference.

Mara [2, p. 173]). If P_n denotes that number of simplices in the minimal triangulation of I^n , E_n denotes the total number of exterior $(n-1)$ -faces, and F_n denotes the number of interior $(n-1)$ -faces, then

$$(n+1)P_n = E_n + 2F_n, \quad (1)$$

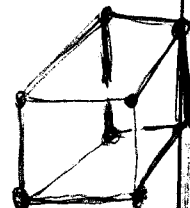
$$E_n \geq (2n)P_{n-1}, \quad (2)$$

$$F_n \geq 2P_{n-1}. \quad (3)$$

The words 'exterior' and 'interior' in Mara's Theorem are meant in the context of the n -cube. An $(n-1)$ -face (facet) of an n -simplex of a triangulation is

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exterior if and only if n of its vertices lie in some $(n-1)$ -face of the simplex. Otherwise, it is interior.

We now derive some consequences of Mara's Theorem for the case $n=4$.

$$(a_4) \quad 5P_4 = E_4 + 2F_4,$$

$$(b_4) \quad E_4 \geq 8P_3,$$

$$(c_4) \quad P_4 \geq 2P_3.$$

It is not difficult to show (see Mara [2]) that $P_3 = 5$, so (b_4) and (c_4) become

$$E_4 \geq 40$$

and

$$P_4 \geq 10,$$

respectively. Thus, we obtain a crucial inequality:

$$2F_4 \leq 5P_4 - 40.$$

From (8) and the existence of a triangulation of I^4 into 16 simplices, we have

$$10 \leq P_4 \leq 16.$$

Useful insight into the 4-cube is given by the following observation.

Lemma 1. *The volume of any 4-simplex whose vertices are also vertices of I^4 is of the form $i/24$ where i is one of the numbers 1/24, 2/24, 3/24.*

Proof. Recall that the volume of an n -simplex is $(1/n!) |\det[B, e]|$ where B is the $(n+1) \times n$ matrix whose rows are the coordinates of the vertices of the simplex and e is a column vector of ones. In the case at hand ($n=4$) we have $n! = 24$ which accounts for the denominators of the numbers listed. As for the numerators, Hadamard's determinant theorem (see [3, p.114]) implies for any n :

$$|\det[B, e]| = 2^{-n} |\det[2B - ee^T, e]| \leq (n+1)^{(n+1)/2}.$$

For $n=4$, it follows that $|\det[B, e]| \leq 3$.

We refer to a simplex (of the sort specified in Lemma 1) as being of type i if its volume is $i/24$ ($i=1, 2, 3$). As it turns out, I^4 admits

2672 simplices of type 1,

320 simplices of type 2,

16 simplices of type 3.

Consequently, when we speak of a triangulation of I^4 (minimal or otherwise), we can let x_i denote the number of simplices of type i that it uses. So, for any triangulation of I^4 ,

$$x_1 + 2x_2 + 3x_3 = 24. \quad (11)$$

minimal triangulation of the 4-cube, we have

$$P_4 = x_1 + x_2 + x_3. \tag{12}$$

In contrast to Mara, we find it somewhat more illuminating to label the vertices of the cube in accordance with the so-called Gray code rather than the base-2 code. In place of a lengthy discussion of the Gray code, we refer the reader to [1] of the literature cited therein. For the present purposes, the information provided in Table 1 will suffice.

Table 1
Gray code labelling of the vertices of I^4

Coordinates	Label	Coordinates	Label
(0, 0, 0, 0)	0	(1, 1, 0, 0)	8
(0, 0, 0, 1)	1	(1, 1, 0, 1)	9
(0, 0, 1, 1)	2	(1, 1, 1, 1)	10
(0, 0, 1, 0)	3	(1, 1, 1, 0)	11
(0, 1, 1, 0)	4	(1, 0, 1, 0)	12
(0, 1, 1, 1)	5	(1, 0, 1, 1)	13
(0, 1, 0, 1)	6	(1, 0, 0, 1)	14
(0, 1, 0, 0)	7	(1, 0, 0, 0)	15

It has been found empirically (using the Gray code labelling and a computer to generate all the 4-simplices in I^4) that there are exactly 16 simplices of type 3. Their vertices bear the labels listed in Table 2.

Table 2
Simplices of type 3 (with Gray code labelling of vertices)

0, 2, 4, 9, 12	1, 4, 9, 13, 15
0, 2, 6, 11, 14	1, 5, 7, 9, 12
0, 4, 6, 8, 13	2, 4, 6, 10, 15
0, 5, 8, 12, 14	2, 7, 9, 11, 15
0, 5, 9, 11, 13	2, 7, 10, 12, 14
1, 3, 5, 8, 13	3, 5, 7, 11, 14
1, 3, 7, 10, 15	3, 6, 8, 10, 14
1, 4, 8, 10, 12	3, 6, 11, 13, 15

Because of the Gray Code labelling, one interesting feature of these simplices stands out. Each simplex (of type 3) has exactly one vertex whose label has the opposite parity from the other four vertices of that simplex. We call this the apex of that simplex. As it turns out, each of the numbers 0, 1, ..., 15 is the label of some apex of a simplex of type 3. Furthermore, all 16 of these type-3 simplices

(11)

have the same 'structure'. The apex of each is the antipodal point (with respect to the 4-cube) of a point whose neighbors are the remaining vertices of the simplex. The simplices of type 3 do not have disjoint interiors.

Lemma 2. *The point $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ is interior to each simplex of type 3.*

Proof. Notice that the numbers $\frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{3}$ sum to 1. These 5 numbers (in some order) are the barycentric coordinates of $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2})$ with respect to the vertices of each simplex of type 3. Indeed, the apex gets 'weight' $\frac{1}{3}$, and the other vertices each get weight $\frac{1}{6}$.

Corollary. *No triangulation of I^4 uses more than one simplex of type 3, i.e.,*

$$x_3 \leq 1. \tag{1}$$

Further (computer-aided) analysis of these three types of simplices in I^4 reveals that each simplex of type 2 contains at least 4 interior 3-faces. It is clear that every simplex contains at least one interior 3-face, and it is easily verified that every simplex of type 3 contains exactly 5 interior 3-faces.

Since, in any triangulation, the interior 3-faces (of the 4-simplices it uses) must belong to exactly two of the 4-simplices, we have, for a minimal triangulation of I^4 :

$$2F_4 \geq x_1 + 4x_2 + 5x_3. \tag{14}$$

To show that a minimal triangulation of the 4-cube must consist of 16 simplices, we use (9), (10), (11), (12), (13), and (14). In particular, (9), (14) and (11) imply

$$7x_2 + 12x_3 \leq 56. \tag{15}$$

Moreover, (10), (12), and (11) imply

$$8 \leq x_2 + 2x_3 \leq 14. \tag{16}$$

Recall that x_1, x_2 and x_3 are nonnegative integers and from (13) we have $x_3 = 0$ or $x_3 = 1$.

If $x_3 = 0$, then (15) and (16) imply $x_2 = 8$, in which case (11) implies $x_1 = 8$ and (12) implies $P_4 = 16$. (This is just the sort of triangulation exhibited by Mara.) If $x_3 = 1$, then (15) and (16) imply $x_2 = 6$, in which case $x_1 = 9$ and (12) implies $P_4 = 16$. Thus, we have proved the

Theorem. $P_4 = 16$.

Discussion

This result has been obtained in a much different way by Sallee [4] who obtains an upper bound on P_n which in the case of $n = 4$ implies that the four cube has a minimal triangulation using only 16 simplices.

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Referen

- [1] R.W. Cottle, *Math. Programming*, 1971.
- [2] P.S. Shor, *Math. Programming*, 1971.
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- [4] J.F. Sallee, *Math. Programming*, 1971.

proof that $P_4 = 16$ given above raises the question: Do there exist triangulations of type (9, 6, 1)? The answer is in the negative as each simplex of type 3 is tangent to the ball of radius $1/(2\sqrt{7})$ centered at $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2})$. Four of the 3-faces of a simplex are tangent to this ball. These four faces also belong to simplices of type 3. But this ball meets every simplex of type 2. It follows that there can exist at most 4 simplices of type 2 in any triangulation of I^4 that uses a simplex of type 3.

From the parity of the vertices 'sliced off', the preceding remark implies there is essentially only one minimal triangulation of I^4 . It should be noted that this triangulation induces minimal triangulations of the faces of I^4 . The latter is congruent to I^3 . It would be very interesting to know whether a minimal triangulation of I^n induces minimal triangulations of its $(n-1)$ -faces. If so, then the hypothesis (that in minimal triangulations of I^n the alternate vertices are 'sliced off') would be verified.

References

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Some GKZ-vertices for the 3-cube

$$[1, 2, 2, 3, 3, 5, 6] \star$$

$$[1, 1, 3, 3, 3, 3, 5, 5] \star$$

$$[1, 1, 2, 3, 3, 4, 4, 6] \star$$

$$[1, 1, 1, 3, 4, 4, 4, 6] \star$$

$$[1, 1, 1, 1, 5, 5, 5, 5] \star$$

$$[2, 2, 2, 2, 2, 2, 2, 2] \star$$

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