

# TOPOLOGY OF T-SURFACES

ILIA ITENBERG

ABSTRACT. The paper is devoted to surfaces which can be obtained using a simple combinatorial procedure called the T-construction. The class of T-surfaces is sufficiently rich: for example, we construct T-surfaces of an arbitrary degree in  $\mathbf{R}P^3$  which are M-surfaces. We also present a construction of T-surfaces in  $\mathbf{R}P^3$  with  $\dim H_1(\mathbf{R}X; \mathbf{Z}/2) > h^{1,1}(CX)$ , where  $\mathbf{R}X$  and  $CX$  are the real and the complex point sets of the surface.

## 1. INTRODUCTION

The subject of the paper is T-surfaces, i. e. real algebraic surfaces which can be constructed in a simple combinatorial fashion : one can patchwork them from the pieces which essentially are planes.

The construction of combinatorial patchworking (or T-construction) works in any dimension. We restrict ourself here by the case of surfaces. The general T-construction can be formulated in a completely similar way (the combinatorial patchwork construction in the case of curves is described in [I-V], [I1], [I2]). The T-construction is a particular case of the Viro theorem (see [V2], [V3], [V5], [V6], [Ri]).

The results on topology of T-surfaces presented in the paper are concentrated around the following conjecture proposed by O. Viro ([V4]) : let  $X$  be a nonsingular simply connected compact complex surface with an antiholomorphic involution  $c : X \rightarrow X$ ; then  $\dim H_1(\mathbf{R}X; \mathbf{Z}/2) \leq h^{1,1}(X)$ , where  $\mathbf{R}X$  is the fixed point set of the involution  $c$ .

This conjecture is related to Ragsdale conjecture (see [Ra]) concerning the topology of real algebraic curves. To formulate the Ragsdale conjecture, let us denote the number of even ovals of a nonsingular real algebraic plane projective curve of

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degree  $2k$  by  $p$  (an oval of a nonsingular curve of an even degree is called *even* (resp. *odd*), if it lies inside of even (resp. odd) number of other ovals of this curve), and denote the number of odd ovals by  $n$ .

**Ragsdale conjecture.** *For a nonsingular real algebraic plane projective curve of degree  $2k$*

$$p \leq \frac{3k^2 - 3k + 2}{2}, \quad n \leq \frac{3k^2 - 3k}{2}.$$

Any counter-example to the inequality  $p \leq \frac{3k^2 - 3k + 2}{2}$  produces a counter-example to Viro's conjecture: one can take a double plane ramified along the complex point set of a counter-example to Ragsdale conjecture with appropriate choice of a lifting of the involution of complex conjugation. Thus, the counter-examples to Ragsdale conjecture obtained in [I1] (see, also, [I2], [I-V]) show that Viro's conjecture is not true. The counter-examples to Ragsdale conjecture are constructed as T-curves. So, it is natural to try to use the combinatorial patchwork construction in order to construct counter-examples to Viro's conjecture which are real algebraic surfaces in  $\mathbf{RP}^3$ .

We show in sections 3 and 4 that under some conditions of "maximality" of the triangulation participating in the combinatorial patchwork construction, Viro's conjecture is true for the resulting T-surfaces. However, using a "nonmaximal" triangulation (see exact definitions in section 2), we can obtain a T-surface  $X$  in  $\mathbf{RP}^3$  with  $\dim H_1(\mathbf{R}X; \mathbf{Z}/2) > h^{1,1}(\mathbf{C}X)$  (see section 6).

We also construct T-surfaces of any degree in  $\mathbf{RP}^3$  which are M-surfaces (it means that the total  $\mathbf{Z}/2$ -homology group of the real point set has the same rank as that of the complexification; see section 5).

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## 2. T-CONSTRUCTION

Let  $m$  be a positive integer number (it would be the degree of the surface under construction) and  $T$  be the tetrahedron in  $\mathbf{R}^3$  with vertices  $(0, 0, 0)$ ,  $(0, 0, m)$ ,  $(0, m, 0)$ ,  $(m, 0, 0)$ . Let us take a triangulation  $\tau$  of  $T$  with vertices having integer coordinates. Suppose that a distribution of signs at the vertices of  $\tau$  is given. The sign (plus or minus) at the vertex with coordinates  $(i, j, l)$  is denoted by  $\delta_{i,j,l}$ .

Take copies

$$T_x = s_x(T), \quad T_y = s_y(T), \quad T_z = s_z(T)$$

$$T_{xy} = s_x \circ s_y(T), \quad T_{xz} = s_x \circ s_z(T), \quad T_{yz} = s_y \circ s_z(T), \quad T_{xyz} = s_x \circ s_y \circ s_z(T)$$

of  $T$ , where  $s_x, s_y, s_z$  are reflections with respect to the coordinate planes. Denote by  $T_*$  the octahedron

$$T \cup T_x \cup T_y \cup T_z \cup T_{xy} \cup T_{xz} \cup T_{yz} \cup T_{xyz}.$$

Extend the triangulation  $\tau$  to a symmetric triangulation of  $T_*$ , and the distribution of signs  $\delta_{i,j,l}$  to a distribution at the vertices of the extended triangulation by the following rule: passing from a vertex to its mirror image with respect to a coordinate plane we preserve its sign if the distance from the vertex to the plane is even, and change the sign if the distance is odd.

If a tetrahedron of the triangulation of  $T_*$  has vertices of different signs, select a piece of the plane (triangle or quadrangle) being the convex hull of the middle points of the edges having endpoints of opposite signs. Denote by  $S$  the union of the selected pieces. It is a piecewise-linear surface contained in  $T_*$ . Glue by  $s_x \circ s_y \circ s_z$  the facets of  $T_*$ . The resulting space  $\tilde{T}$  is homeomorphic to the real projective space  $\mathbf{RP}^3$ . Denote by  $\tilde{S}$  the image of  $S$  in  $\tilde{T}$ .

Let us introduce an additional assumption: the triangulation  $\tau$  of  $T$  is *convex*. This means that there exists a convex piecewise-linear function  $\nu : T \rightarrow \mathbf{R}$  whose domains of linearity coincide with the tetrahedrons of  $\tau$ .

**Theorem 2.1 (O. Viro).** *Under the assumptions made above on the triangulation  $\tau$  of  $T$ , there exist a nonsingular real algebraic surface  $X$  of degree  $m$  in  $\mathbf{RP}^3$  and a homeomorphism  $\mathbf{RP}^3 \rightarrow \tilde{T}$  mapping the set of real points  $\mathbf{R}X$  of  $X$  onto  $\tilde{S}$ .*

Moreover, a polynomial defining the surface  $X$  can be written down explicitly: if  $t$  is positive and sufficiently small, the polynomial

$$\sum_{(i,j,l) \in V} \delta_{i,j,l} x_0^i x_1^j x_2^l x_3^{m-i-j-l} t^{\nu(i,j,l)}$$

(where  $V$  is the set of vertices of  $\tau$ ) defines a surface with the properties described in Theorem 2.1.

We consider two special types of triangulations of  $T$ . A triangulation  $\tau$  of  $T$  is called *primitive* if all the tetrahedrons of  $\tau$  are of volume  $1/6$ . A T-surface constructed using a primitive triangulation is called *primitive*.

A triangulation  $\tau'$  of  $T$  is called *maximal* if all the integer points of  $T$  are vertices of  $\tau'$ . Clearly, any primitive triangulation is maximal. The notions of primitive and maximal triangulations coincide in dimension 2. The situation is different in dimension 3 : there exist maximal triangulations of  $T$  which are not primitive.

### 3. EULER CHARACTERISTIC OF T-SURFACE

Let us consider a  $k$ -dimensional simplex  $Q$  having vertices with integer coordinates and belonging to the orthant  $\{x_i \geq 0\}$  of  $\mathbf{R}^n$ . We call the simplex  $Q$  *elementary* if the reductions modulo 2 of the vertices of  $Q$  are independent (generate an affine space of dimension  $k$  over  $\mathbf{Z}/2$ ).

Suppose that a distribution of signs at the vertices of the simplex  $Q$  is given. Let us take the distributions of signs at the vertices of the symmetric copies of  $Q$  using the following generalization of the rule formulated in section 2 :

the symmetric copy of a vertex  $a$  in an orthant  $b$  gets the sign  $(-1)^{\vec{a} \cdot \vec{b}} \text{sign}(a)$ , where  $\vec{a}$  is the reduction modulo 2 of the vertex  $a$  ; the  $i$ -th coordinate of the vector  $\vec{b}$  in  $(\mathbf{Z}/2)^n$  is equal to 0 (resp. to 1) if  $x_i > 0$  (resp.  $x_i < 0$ ) for a point  $(x_1, \dots, x_n)$  in the interior of the orthant  $b$ ; and  $\vec{a} \cdot \vec{b}$  denotes the standard scalar product of two vectors in  $(\mathbf{Z}/2)^n$ .

We call a symmetric copy of  $Q$  *nonempty* if it has vertices of different signs.

**Proposition 3.1.** *If the simplex  $Q$  is elementary and does not belong to a coordinate hyperplane, then  $Q$  has exactly  $2^n - 2^{n-k}$  nonempty symmetric copies.*

*Proof.* Let us, first, remark that the map  $\vec{a} \mapsto \vec{a} \cdot \vec{b}$  is linear over  $\mathbf{Z}/2$ . The following operations do not change the property of any symmetric copy of  $Q$  to be nonempty:

- (1) parallel translation of  $Q$ ,
- (2) changing of signs at all the vertices of  $Q$ .

Thus, we can suppose that the reduction  $\vec{v}_0$  modulo 2 of a vertex  $v_0$  of  $Q$  is 0 in  $(\mathbf{Z}/2)^n$ , and that the vertex  $v_0$  has the sign "+". Denote the other vertices of

$Q$  and their reductions modulo 2 by  $v_1, \dots, v_k$  and  $\vec{v}_1, \dots, \vec{v}_k$  respectively. The condition that the copy of  $Q$  in an orthant  $b$  is empty (i. e. is not nonempty) can be expressed by a system of linear equations

$$\vec{v}_1 \cdot \vec{b} = \varepsilon_1, \dots, \vec{v}_k \cdot \vec{b} = \varepsilon_k,$$

where  $\varepsilon_i = 0$  if the sign of the vertex  $v_i$  is positive, and  $\varepsilon_i = 1$  if the sign of  $v_i$  is negative. The unknowns of the system are the coordinates of  $\vec{b}$ . A solution of the system does exist because the rank of the system is equal to  $k$  (the simplex  $Q$  is elementary). Moreover, the dimension of the space of solutions is equal to  $n - k$ . It means that the number of solutions is equal to  $2^{n-k}$ , another words, the simplex  $Q$  has exactly  $2^n - 2^{n-k}$  nonempty copies.  $\square$

Proposition 3.1 is similar to Lemma 1 in [I-R].

Now we are able to calculate the Euler characteristic of a primitive T-surface.

**Theorem 3.2.** *If  $X$  is a primitive T-surface in  $\mathbf{RP}^3$ , then the Euler characteristic  $\chi(\mathbf{R}X)$  of the real point set of  $X$  is equal to the signature  $\sigma(\mathbf{C}X)$  of the complex point set of  $X$ . Another words, if  $X$  is a primitive T-surface of degree  $m$  in  $\mathbf{RP}^3$ , then*

$$\chi(\mathbf{R}X) = -\frac{m^3}{3} + \frac{4m}{3}.$$

*Proof.* Let us take an arbitrary primitive triangulation  $\tau$  of the tetrahedron  $T$  and an arbitrary distribution of signs at the integer points of  $T$ . The piecewise-linear surface  $\tilde{S}$  has a natural cell subdivision: each cell is the intersection of  $\tilde{S}$  with a simplex of the triangulation of  $\tilde{T}$ .

All the simplices of  $\tau$  are elementary. The number of simplices of  $\tau$  of any dimension is fixed (the number of simplices of any dimension contained in each face of  $T$  is also fixed). Thus, we can calculate the Euler characteristic of  $\tilde{S}$  according to Proposition 3.1.

The triangulation  $\tau$  contains

$m^3$  tetrahedrons,

$2m^3 + 2m^2$  triangles, and  $4m^2$  of them are contained in the facets of  $T$ ,

$7m^3/6 + 3m^2 + 11m/6$  edges,  $6m^2$  of them are contained in the facets of  $T$ ,  
 and  $6m$  of them are contained in the edges of  $T$ ,  
 $(m+1)(m+2)(m+3)/6$  vertices.

We obtain that the described cell subdivision of  $\tilde{S}$  contains  $7m^3$  two-dimensional cells,  $12m^3$  edges and  $14m^3/3 + 4m/3$  vertices. Thus,

$$\chi(\mathbf{R}X) = -\frac{m^3}{3} + \frac{4m}{3} = \sigma(\mathbf{C}X). \quad \square$$

**Theorem 3.3.** *If  $X$  is a  $T$ -surface constructed using a maximal triangulation of the tetrahedron  $T$ , then  $\chi(\mathbf{R}X) \geq \sigma(\mathbf{C}X)$ .*

*Proof.* Let us, first, remark that all simplices of dimension  $\leq 2$  of a maximal triangulation  $\tau'$  of  $T$  are elementary. Denote by  $q$  the number of tetrahedrons of  $\tau'$ . If any tetrahedron of  $\tau'$  is elementary then, repeating the calculation of the proof of Theorem 3.2, we obtain  $\chi(\tilde{S}) = 2m^3/3 - q + 4m/3$ .

Each nonelementary tetrahedron of  $\tau'$  has at least 6 nonempty copies, because the rank of the corresponding system of linear equations (see the proof of Proposition 3.1) is equal to 2. Thus,

$$\chi(\mathbf{R}X) = \chi(\tilde{S}) \geq \frac{2m^3}{3} - q + \frac{4m}{3} - q',$$

where  $q'$  is the number of nonelementary tetrahedrons of  $\tau'$ . It remains to remark that  $q + q' \leq m^3$ , and we obtain

$$\chi(\mathbf{R}X) \geq -\frac{m^3}{3} + \frac{4m}{3} = \sigma(\mathbf{C}X). \quad \square$$

#### CASE OF PRIMITIVE OR MAXIMAL TRIANGULATION

As we saw in section 3, the Euler characteristic of a primitive  $T$ -surface in  $\mathbf{R}P^3$  is determined by the degree and is equal to the signature  $\sigma(\mathbf{C}X)$  of the complex point set of the surface.

For a real algebraic surface  $X$  (or, more generally, for a real algebraic variety of any dimension), we have Smith inequality (see, for example, [Wi]) :

$$b_*(\mathbf{R}X) \leq b_*(\mathbf{C}X)$$

between the ranks of total  $\mathbf{Z}/2$ -homology groups of the real and of the complex point sets of  $X$ . If  $b_*(\mathbf{R}X) = b_*(\mathbf{C}X)$ , the surface  $X$  is called an *M-surface*. We denote by  $b_i(Y)$  the rank of  $i$ -th homology group of  $Y$  with  $\mathbf{Z}/2$ -coefficients.

Let us mention two congruences (see [Wi]).

**Rokhlin congruence.** *If  $X$  is an M-surface, then*

$$\chi(\mathbf{R}X) \equiv \sigma(\mathbf{C}X) \pmod{16}.$$

**Kharlamov-Gudkov-Krahnov congruence.** *If  $X$  is an (M-1)-surface (another words, if  $b_*(\mathbf{R}X) = b_*(\mathbf{C}X) - 2$ ), then*

$$\chi(\mathbf{R}X) \equiv \sigma(\mathbf{C}X) \pm 2 \pmod{16}.$$

Rokhlin congruence and Theorem 3.2 show that we can expect to construct primitive T-surfaces which are M-surfaces. We will see in section 5 that such surfaces do really exist in any degree. On the other hand, there are no (M-1)-surfaces among primitive T-surfaces in  $\mathbf{R}P^3$  according to Kharlamov-Gudkov-Krahnov congruence and Theorem 3.2.

**Theorem 4.1.** *If  $X$  is a primitive T-surface in  $\mathbf{R}P^3$  then*

$$b_1(\mathbf{R}X) \leq h^{1,1}(\mathbf{C}X), \quad b_0(\mathbf{R}X) \leq h^{2,0}(\mathbf{C}X) + 1.$$

**Remarks.** Theorem 4.1 states that Viro's conjecture holds in the case of primitive T-surfaces.

The inequality  $b_0(\mathbf{R}X) \leq h^{2,0}(\mathbf{C}X) + 1$  for primitive T-surfaces was proved by E. Shustin in [Sh].

*Proof of Theorem 4.1.* Using the Smith inequality

$$b_*(\mathbf{R}X) \leq b_*(\mathbf{C}X) = m^3 - 4m^2 + 6m$$

(where  $m$  is the degree of  $X$ ) and the equality

$$\chi(\mathbf{R}X) = \sigma(\mathbf{C}X) = -\frac{m^3}{3} + \frac{4m}{3}$$

proved in Theorem 3.2, we immediately obtain

$$b_1(\mathbf{R}X) \leq h^{1,1}(\mathbf{C}X) = \frac{2m^3}{3} - 2m^2 + \frac{7m}{3}$$

and

$$b_0(\mathbf{R}X) \leq h^{2,0}(\mathbf{C}X) + 1 = \frac{m^3}{6} - m^2 + \frac{11m}{6}. \quad \square$$

Viro's conjecture also holds in the case of T-surfaces constructed using maximal triangulations.

**Theorem 4.2.** *If  $X$  is a T-surface constructed using a maximal triangulation of the tetrahedron  $T$ , then*

$$b_1(\mathbf{R}X) \leq h^{1,1}(\mathbf{C}X).$$

*Proof.* The Smith inequality and the inequality  $\chi(\mathbf{R}X) \geq \sigma(\mathbf{C}X)$  proved in Theorem 3.3, give again the desired inequality

$$b_1(\mathbf{R}X) \leq h^{1,1}(\mathbf{C}X). \quad \square$$

No chance  
to  
find  
a counter  
example  
to Viro's  
conjecture  
with all  
interior  
points.

## 5. M-SURFACES

We describe, first, a special primitive triangulation  $\rho$  of  $T$  suggested by O. Viro. We show that the T-construction using the triangulation  $\rho$  and an appropriate distribution of signs at the integer points of  $T$  gives an M-surface of degree  $m$  in  $\mathbf{R}P^3$ . In fact, the surfaces given by the procedure described below are homeomorphic to ones constructed (not as T-surfaces) by O. Viro in [V1].

Let us divide the tetrahedron  $T$  by the planes  $z = l$ , and denote by  $P_l$  the polytop

$$\{(x, y, z) \in T : l \leq z \leq l+1, \quad l = 0, \dots, m-1\}.$$

Choose an arbitrary primitive convex triangulation of each triangle

$$T_l = T \cap \{z = l\}, \quad l = 0, \dots, m-1$$

(a triangulation of the triangle  $T_l$  is called *primitive* if all its triangles are of area  $1/2$ , or, equivalently, if all the integer points of  $T_l$  are vertices of the triangulation).



Each polytop  $P_l$  is triangulated as follows. If  $l$  is even, take the join  $J_l$  of the side of  $T_l$  lying in the  $xz$ -coordinate plane and of the side of  $T_{l+1}$  lying in the plane  $x + y + z = m$ . If  $l$  is odd, take as  $J_l$  the join of the side of  $T_l$  lying in the plane  $x + y + z = m$  and of the side of  $T_{l+1}$  lying in the  $xz$ -coordinate plane. The join  $J_l$  is naturally triangulated into the joins of segments

$$[(i, 0, l), (i + 1, 0, l)], [(m - (l + 1) - j, j, l + 1), (m - (l + 1) - (j + 1), j + 1, l + 1)],$$

$$i = 0, \dots, m - l - 1, \quad j = 0, \dots, m - l - 2$$

if  $l$  is even, and  $J_l$  is triangulated into the joins of segments

$$[(m - l - j, j, l), (m - l - (j + 1), j + 1, l)], [(i, 0, l + 1), (i + 1, 0, l + 1)],$$

$$i = 0, \dots, m - l - 2, \quad j = 0, \dots, m - l - 1$$

if  $l$  is odd.

The polytop  $P_l$  is the union of  $J_l$  and of two tetrahedrons. These tetrahedrons can be triangulated into the cones over the triangles of the chosen triangulations of  $T_l$  and of  $T_{l+1}$ .

Clearly, the described triangulation  $\rho$  of  $T$  is primitive and convex. Let us choose a following distribution of signs at the integer points of  $T$  :

a point  $(i, j, l)$  gets the sign "+" if  $i \equiv j \equiv l \equiv 0 \pmod{2}$  or  
 $l \equiv 1 \pmod{2}$  and  $ij \equiv 0 \pmod{2}$ ;  
 and it gets the sign "-" otherwise.

**Proposition 5.1.** *A  $T$ -surface  $X$  constructed using the triangulation  $\rho$  and the distribution of signs described is an  $M$ -surface. The real point set  $\mathbf{R}X$  of  $X$  is homeomorphic to the disjoint union of  $\frac{m^3}{6} - m^2 + \frac{11m}{6} - 1$  spheres and a sphere with  $\frac{m^3}{3} - m^2 + \frac{7m}{6}$  handles if  $m$  is even or a projective plane with  $\frac{m^3}{3} - m^2 + \frac{7m-3}{6}$  handles if  $m$  is odd.*

*Proof.* It is easy to verify that any integer point  $r$  lying strongly inside of  $T$  has a symmetric copy  $s(r)$  with the following property : all the neighbouring vertices of  $s(r)$  (i. e. vertices connected with  $s(r)$  by an edge of the triangulation) have the same sign, and this sign is opposite to the sign of  $s(r)$ . It means that the surface

$\tilde{S}$  has a connected component homeomorphic to a sphere contained in the star of  $s(r)$ .

We found  $\frac{m^3}{6} - m^2 + \frac{11m}{6} - 1 = h^{2,0}(\mathbf{C}X)$  components of  $\tilde{S}$ . There is at least one component of  $\tilde{S}$  more, because the surface  $\tilde{S}$  intersects the coordinate planes. On the other hand, according to Theorem 4.1, the number of connected components of  $\mathbf{R}X$  does not exceed  $h^{2,0}(\mathbf{C}X) + 1$ . Thus, the real point set  $\mathbf{R}X$  has exactly  $h^{2,0}(\mathbf{C}X) + 1$  connected components.

Using the equalities

$$\chi(\mathbf{R}X) = \sigma(\mathbf{C}X), \quad b_0(\mathbf{R}X) = h^{2,0}(\mathbf{C}X) + 1,$$

we get  $b_*(\mathbf{R}X) = b_*(\mathbf{C}X)$ , i. e.  $X$  is an M-surface. Furthermore,

$$b_1(\mathbf{R}X) = h^{1,1}(\mathbf{C}X),$$

and, thus, the topological type of  $\mathbf{R}X$  coincides with one described in the statement of Proposition.  $\square$

## 6. COUNTER-EXAMPLES TO VIRO'S CONJECTURE

We saw in section 4 that Viro's conjecture is true for T-surfaces constructed using a maximal triangulation. Surprisingly enough, a nonmaximal triangulation of  $T$  can produce a T-surface  $X$  in  $\mathbf{R}P^3$  with  $b_1(\mathbf{R}X) > h^{1,1}(\mathbf{C}X)$ .

Let us describe, first, the construction of *an extension* of a triangulation of the triangle  $T_0 = T \cap \{z = 0\}$ .

Suppose that  $m$  is even and that a primitive triangulation  $\tau_0$  of  $T_0$  with the vertices having integer coordinates is given. Divide the tetrahedron  $T$  into two parts  $T \cap \{z \geq 2\}$  and  $T \cap \{z \leq 2\}$  by the plane  $z = 2$ . Take in the first part the triangulation coinciding with the triangulation  $\rho$  described in the construction of M-surfaces.

Divide now the second part  $T \cap \{z \leq 2\}$  by the plane  $x + y + kz = m$  (where  $m = 2k$ ) into the tetrahedron  $\bar{T}$  with vertices  $(0, 0, 0)$ ,  $(m, 0, 0)$ ,  $(0, m, 0)$ ,  $(0, 0, 2)$  and the cone  $C$  with the vertex  $(0, 0, 2)$  over

$$\{(x, y, z) \in T : x + y + z = m, 0 \leq z \leq 2\}.$$

To triangulate the tetrahedron  $\bar{T}$ , we take the cones over all the triangles of  $\tau_0$ , and subdivide (in the unique possible way) the cones containing integer points of the plane  $z = 1$  in order to obtain a maximal triangulation of  $\bar{T}$ .

To describe the triangulation of the cone  $C$ , let us consider the cone  $\hat{C}$  with the vertex  $(k+1, 0, 1)$  over the triangle  $T \cap \{x + y + kz = m\}$ . The rest of the cone  $C$  is divided into two parts by the plane  $z = 1$ . Denote the lower part (contained in  $C \cap \{0 \leq z \leq 1\}$ ) by  $C_0$ , and denote the upper part (contained in  $C \cap \{1 \leq z \leq 2\}$ ) by  $C_1$ .

The triangulation of the triangle  $T \cap \{x + y + kz = m\}$  is already fixed (it comes from the triangulation of  $\bar{T}$ ). Thus, we can triangulate the cone  $\hat{C}$  by the cones with the vertex  $(k+1, 0, 1)$  over the triangles of the triangulation of  $T \cap \{x + y + kz = m\}$ .

Subdivide  $C_0$  taking the cone  $C'$  with the vertex  $(0, m, 0)$  over the facet of  $C_0$  belonging to the plane  $z = 1$ , and the join  $J'$  of segments  $[(m, 0, 0), (0, m, 0)]$  and  $[(k+1, 0, 1), (m-1, 0, 1)]$ . Let us choose an arbitrary primitive convex triangulation of the quadrangle  $C_0 \cap \{z = 1\}$ . It gives a natural primitive triangulation of  $C'$  (taking the cones over the triangles of the chosen triangulation of  $C_0 \cap \{z = 1\}$ ). The join  $J'$  is triangulated by the joins of segments  $[(m-j, j, 0), (m-j-1, j+1, 0)]$  and  $[(i, 0, 1), (i+1, 0, 1)]$  (where  $i = k+1, \dots, m-2$ ;  $j = 0, \dots, m-1$ ).

It remains to triangulate the part  $C_1$ . Subdivide  $C_1$  into the join of segments  $[(m-1, 0, 1), (0, m-1, 1)]$  and  $[(0, 0, 2), (m-2, 0, 2)]$  (triangulated by the joins of segments  $[(m-j-1, j, 1), (m-j-2, j+1, 1)]$  and  $[(i, 0, 2), (i+1, 0, 2)]$ , where  $i = 0, \dots, m-3$ ;  $j = 0, \dots, m-2$ ) and the naturally triangulated cones : with the vertex  $(0, 0, 2)$  (resp.  $(0, m-1, 1)$ ) over the quadrangle  $C_1 \cap \{z = 1\}$  (resp. over the triangle  $T_2 = T \cap \{z = 2\}$ ).

The described maximal triangulation of  $T$  is called *the extension* of the triangulation  $\tau_0$  and is denoted by  $ext(\tau_0)$ .

It is easy to see that if  $\tau_0$  is convex then  $ext(\tau_0)$  is also convex. Almost all tetrahedrons of  $ext(\tau_0)$  are of volume  $1/6$ . The only tetrahedrons of a greater volume (more precisely, of volume  $1/3$ ) are the cones with the vertex  $(0, 0, 2)$  over the odd triangles of  $\tau_0$  (we call a triangle of  $\tau_0$  *odd* if it does not have a vertex with the both even coordinates).

Suppose now that a distribution  $\delta_0$  of signs at the integer points of  $T_0$  is given. Let us describe a distribution  $\text{ext}(\delta_0)$  of signs at the integer points of  $T$  which we call *an extension* of  $\delta_0$ . In the part  $T \cap \{z \geq 2\}$  we take the distribution of signs described in the construction of M-surfaces. It remains, thus, to fix a distribution of signs at the integer points of  $T \cap \{z = 1\}$ . We do it as follows:

take an arbitrary distribution in  $T \cap \{z = 1\} \cap \{x + y < k\}$ ,  
 all the integer points of the segment  $[(k, 0, 1), (0, k, 1)]$  but the point  $(0, k, 1)$   
 get the sign "-",  
 for the other points of  $T_1$  we apply the rule : a point  $(i, j, 1)$  gets the sign  
 "-" if  $i$  and  $j$  are both odd, and the sign "+" otherwise.

Let us take a triangulation  $\tau_0^1$  and a distribution  $\delta_0^1$  of signs at the integer points of  $T_0$  producing a counter-example to Ragsdale conjecture with  $p = \frac{3k^2-3k+2}{2} + 1$  (see [I1], [I2], [I-V]). The triangulation  $\tau_0^1$  can be obtained placing the hexagon  $H$  shown in Figure 1 inside of  $T_0$  (on suppose that  $m \geq 10$ ) in such a way that the center of  $H$  has both the nonzero coordinates odd, and extending, then, the triangulation of  $H$  to a primitive convex triangulation of  $T_0$ . To obtain a distribution of signs at the integer points of  $T_0$ , we complete the distribution presented in Figure 1 by the rule :

a point  $(i, j, 0)$  gets the sign "-" if  $i$  and  $j$  are even, and  $i + j < m$ ,  
 a point  $(i, j, 0)$  gets the sign "+" otherwise.

Remark that this distribution of signs at the integer points of  $T_0$  is slightly different from the distribution described in [I1], [I2], [I-V].

**Proposition 6.1.** *The maximal triangulation  $\text{ext}(\tau_0^1)$  and a distribution of signs  $\text{ext}(\delta_0^1)$  produce a T-surface  $X$  of degree  $m$  in  $\mathbf{RP}^3$  with*

$$\chi(\mathbf{R}X) = -\frac{m^3}{3} + \frac{4m}{3}, \quad b_0(\mathbf{R}X) = h^{2,0}(\mathbf{C}X) - 2.$$

*The real point set  $\mathbf{R}X$  of  $X$  is homeomorphic to the disjoint union*

$$\left( \frac{m^3}{6} - m^2 + \frac{11m}{6} - 5 \right) S^2 \amalg S_2 \amalg S_{\frac{m^3}{3} - m^2 + \frac{7m}{6} - 5}$$

*of  $\frac{m^3}{6} - m^2 + \frac{11m}{6} - 5$  spheres, a sphere with 2 handles and a sphere with  $\frac{m^3}{3} - m^2 + \frac{7m}{6} - 5$  handles.*

*Proof.* Let us, first, calculate  $\chi(\mathbf{R}X)$ . It was already remarked that almost all tetrahedrons of  $\text{ext}(\tau_0^1)$  are of volume  $1/6$ . The only tetrahedrons of greater volume (of volume  $1/3$ ) are the cones over the odd triangles of  $\tau_0^1$ . Each of these tetrahedrons of volume  $1/3$  has 6 nonempty symmetric copies (a tetrahedron of volume  $1/3$  of a maximal triangulation has 6 nonempty copies if the product of signs at its vertices is positive, and it has 8 nonempty copies if the product of signs is negative). Thus, the arguments of the proof of Theorems 3.2 and 3.3 show that  $\chi(\mathbf{R}X) = \sigma(\mathbf{C}X)$ .

Calculate now the number of connected components of  $\tilde{S}$ . Exactly as in the proof of Theorem 5.1, any integer point lying strongly inside of  $(T \cap \{z \geq 2\}) \cup C$  has a symmetric copy with the star containing a component of  $\tilde{S}$  homeomorphic to a sphere. It is easy to see that the stars of integer points lying strongly inside of  $T$  and belonging to the segment  $[(k, 0, 1), (0, k, 1)]$  also contain the components of  $\tilde{S}$  homeomorphic to a sphere. Consider the integer points lying strongly inside of the tetrahedron  $\bar{T}$ . Let us call *even interior points of  $T_0$*  the integer points  $(i, j, 0)$  such that  $i > 0, j > 0, i + j < m, i$  and  $j$  are both even. There is a correspondence between the even interior points of  $T_0$  and the points of  $\text{Int}(\bar{T}) \cap \mathbf{Z}^3$ : any integer point lying strongly inside of  $\bar{T}$  is a middle point of a segment joining the point  $(0, 0, 2)$  and an even interior point of  $T_0$ . We denote the middle point of a segment  $[(0, 0, 2), r]$  (where  $r$  is an even interior point of  $T_0$ ) by  $f(r)$ .

Suppose that an even interior point  $r$  does not belong to the hexagon  $H$ . Then  $r$  has the sign "-". If  $f(r)$  has also the sign "-", then the union of stars of  $r$  and of  $f(r)$  (in the triangulation of  $T_*$ ) contains a component of  $\tilde{S}$  homeomorphic to a sphere. If  $f(r)$  has the sign "+", then the union of stars of  $r$  and of  $s_z(f(r))$  contains a component of  $\tilde{S}$  homeomorphic to a sphere.

We have found  $h^{2,0}(\mathbf{C}X) - 4$  spheres of  $\tilde{S}$  (a sphere was associated to any integer point lying strongly inside of  $T$  except 4 points of the form  $f(r)$ , where  $r$  is an even interior point of  $T_0$  belonging to the hexagon  $H$ ). There are two connected components of  $\tilde{S}$  more. One component is homeomorphic to a sphere with two handles and lies inside of  $\bar{H} \cup s_z(\bar{H})$ , where  $\bar{H}$  is a cone with the vertex  $(0, 0, 2)$  over  $H$ . The remaining part of  $\tilde{S}$  is connected. The number  $b_1(\tilde{S})$  can be calculated via the Euler characteristic.  $\square$

**Theorem 6.2.** *If  $m$  is an even integer number not less than 10, then there exists an  $(M-2)$ -surface  $X$  of degree  $m$  in  $\mathbf{RP}^3$  such that  $b_1(\mathbf{R}X) = h^{1,1}(\mathbf{C}X) + 2$ .*

*Proof.* Let us take the triangulation  $\text{ext}(\tau_0^1)$  of  $T$  and the distribution of signs  $\text{ext}(\delta_0^1)$  at the integer points of  $T$ . According to Proposition 6.1 the resulting surface  $\tilde{S}$  is homeomorphic to

$$\left(\frac{m^3}{6} - m^2 + \frac{11m}{6} - 5\right) S^2 \amalg S_2 \amalg S_{\frac{m^3}{3} - m^2 + \frac{7m}{6} - 5}.$$

Remove now 4 vertices of the form  $f(r)$ , where  $r$  is an even interior point of  $T_0$  belonging to  $H$  (see the proof of Proposition 6.1), with all the adjacent edges. Denote the new triangulation (which is nonmaximal) by  $\text{ext}'(\tau_0^1)$  and consider the surface  $\tilde{S}'$  constructed using  $\text{ext}'(\tau_0^1)$  and the restriction  $\text{ext}'(\delta_0^1)$  of the distribution  $\text{ext}(\delta_0^1)$  to the set of vertices of  $\text{ext}'(\tau_0^1)$ . Clearly, the surface  $\tilde{S}'$  is homeomorphic to

$$\left(\frac{m^3}{6} - m^2 + \frac{11m}{6} - 5\right) S^2 \amalg S_2 \amalg S_{\frac{m^3}{3} - m^2 + \frac{7m}{6} - 1}$$

because we added 4 handles to the component homeomorphic to  $S_{\frac{m^3}{3} - m^2 + \frac{7m}{6} - 5}$ .

Thus, the number of  $b_1(\tilde{S}')$  is equal to

$$\frac{2m^3}{3} - 2m^2 + \frac{7m}{3} + 2. \quad \square$$

Using counter-examples of degree  $2k$  to Ragsdale conjecture with more than  $\frac{3k^2 - 3k + 2}{2} + 1$  even ovals (see [I1], [I2], [I-V]), one can construct surfaces  $X$  of degree  $2k$  in  $\mathbf{RP}^3$  with  $b_1(\mathbf{R}X) > h^{1,1}(\mathbf{C}X) + 2$ .

**Theorem 6.3.** *If  $m = 2k$  is an even integer not less than 10, then there exists a surface  $X$  of degree  $m$  in  $\mathbf{RP}^3$  such that*

$$b_1(\mathbf{R}X) = h^{1,1}(\mathbf{C}X) + 2 \left\lceil \frac{(k-3)^2 + 4}{8} \right\rceil$$

(where  $\lceil u \rceil$  denotes the greatest integer which does not exceed  $u$ ).

*Proof.* We start from a triangulation  $\tau_0^a$  and a distribution  $\delta_0^a$  of signs at the integer points of  $T_0$  giving a counter-example to Ragsdale conjecture with

$$p = \frac{3k^2 - 3k + 2}{2} + a,$$

where  $a = \left\lceil \frac{(k-3)^2+4}{8} \right\rceil$  (see [I1], [I2], [I-V]). The triangulation  $\tau_0^a$  can be obtained in the following way. Consider the partition of the triangle  $T_0$  shown in Figure 2. Let us take in each shadowed hexagon the triangulation (and the signs) of the hexagon  $H$ . The triangulation of the union of the shadowed hexagons can be extended to a primitive convex triangulation  $\tau_0^a$  of  $T_0$ . To obtain the distribution  $\delta_0^a$  of signs at the integer points of  $T_0$ , we choose the signs outside of the union of the shadowed hexagons again using the rule :

a point  $(i, j, 0)$  gets the sign "-" if  $i$  and  $j$  are even, and  $i + j < m$ ,

a point  $(i, j, 0)$  gets the sign "+" otherwise.

Consider the triangulation  $\text{ext}(\tau_0^a)$  of  $T$  and the distribution  $\text{ext}(\delta_0^a)$  of signs at the integer points of  $T$ . The resulting surface  $\tilde{S}$  is homeomorphic to

$$\left( \frac{m^3}{6} - m^2 + \frac{11m}{6} - 1 - 4a \right) S^2 \coprod aS_2 \coprod S_{\frac{m^3}{3} - m^2 + \frac{7m}{6} - 5a}.$$

Remove now the vertices of the triangulation  $\text{ext}(\tau_0^a)$  (with adjacent edges) of the form  $f(r)$ , where  $r$  is an even interior point of  $T_0$  belonging to one of the shadowed hexagons, and take the restriction  $\text{ext}'(\delta_0^a)$  of the distribution  $\text{ext}(\delta_0^a)$  to the vertices of the new triangulation  $\text{ext}'(\tau_0^a)$ . We obtain a surface  $\tilde{S}'$  homeomorphic to

$$\left( \frac{m^3}{6} - m^2 + \frac{11m}{6} - 1 - 4a \right) S^2 \coprod aS_2 \coprod S_{\frac{m^3}{3} - m^2 + \frac{7m}{6} - a}$$

with

$$b_1(\tilde{S}') = \frac{2m^3}{3} - 2m^2 + \frac{7m}{3} + 2a. \quad \square$$

### Remarks.

1. Removing, if necessary, some of shadowed hexagons in the construction of Theorem 6.3, we get counter-examples to Viro's conjecture with the real point set homeomorphic to

$$\left( \frac{m^3}{6} - m^2 + \frac{11m}{6} - 1 - 4a \right) S^2 \coprod aS_2 \coprod S_{\frac{m^3}{3} - m^2 + \frac{7m}{6} - a},$$

where  $a = 1, \dots, \left\lceil \frac{(k-3)^2+4}{8} \right\rceil$ .

2. The counter-example of the smallest degree in  $\mathbf{RP}^3$  given by Theorems 6.2 and 6.3 is a surface of degree 10. The real point set of this surface is homeomorphic to

$$80S^2 \amalg S_2 \amalg S_{244}.$$

← Fedotkin.

It is unknown if there exist counter-examples of degree less than 10. The smallest degree we can expect for a counter-example to Viro's conjecture is degree 5.

3. Repeating the procedure described above for the new counter-examples to Ragsdale conjecture constructed by B. Haas [Ha], one can construct surfaces  $X$  of degree  $2k$  in  $\mathbf{RP}^3$  with

$$b_1(\mathbf{R}X) = h^{1,1}(CX) + 2a',$$

where  $a' = \left\lfloor \frac{k^2 - 7k + 16}{6} \right\rfloor$ .

4. We can obtain counter-examples to Viro's conjecture which are asymptotically better than the examples described above: there exist T-surfaces  $X$  of degree  $2k$  in  $\mathbf{RP}^3$  with  $b_1(\mathbf{R}X) = h^{1,1}(CX) + 2A$ , where  $A = k^3/24 +$  terms of smaller degrees. To construct such surfaces, we divide the tetrahedron  $T$  by the planes  $z = 2l$  (where  $l = 1, \dots, k-1$ ), and define a triangulation and a distribution of signs in each part of the subdivision using the procedure described in the proof of Theorem 6.3 for  $T \cap \{0 \leq z \leq 2\}$ .

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INSTITUT DE RECHERCHE MATHÉMATIQUE DE RENNES, CAMPUS DE BEAULIEU 35042 RENNES  
CEDEX FRANCE. E-mail: ILIA.ITENBERG@UNIV-RENNES1.FR

→ ~~nom~~ nom des étudiant de Viro.

\* → GKZ-vecteur pour donner la triangulation  
→ donner les endroit ou tout fait les  
flips / Shumakovisky

\* ~~travaux de Viro~~

travaux de Viro : beaucoup de  
feuille des M-surface  $Q$  de degré 5

travaux de la surface de Kato peut  
être fait par la méthode de Viro