## BOUNDS FOR LATTICE POLYTOPES CONTAINING A FIXED NUMBER OF INTERIOR POINTS IN A SUBLATTICE

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ABSTRACT. A lattice polytope is a polytope in  $\mathbb{R}^n$  whose vertices are all in  $\mathbb{Z}^n$ . The volume of a lattice polytope  $\mathbb{P}$  containing exactly  $k \geq 1$  points in  $d\mathbb{Z}^n$  in its interior is bounded above by  $kd^n(7(kd+1))^{n2^{n+1}}$ . Any lattice polytope in  $\mathbb{R}^n$  of volume V can after an integral unimodular transformation be contained in a lattice cube having side length at most  $n\cdot n!$  V. Thus the number of equivalence classes under integer unimodular transformations of lattice polytopes of bounded volume is finite. If S is any simplex of maximum volume inside a closed bounded convex body K in  $\mathbb{R}^n$  having nonempty interior, then  $K \subseteq (n+2)S - (n+1)s$  where mS denotes a homothetic copy of S with scale factor m, and s is the centroid of S.

1. Introduction. A lattice polytope in  $\mathbb{R}^n$  is a convex polytope all of whose vertices are lattice points, i.e. points in  $\mathbb{Z}^n$ . A rational polytope  $\mathbb{P}$  is a convex polytope with all vertices in  $\mathbb{Q}^n$ . The denominator of a rational polytope  $\mathbb{P}$  is the smallest integer  $d \geq 1$  such that  $d\mathbb{P}$  is a lattice polytope.

For each  $n \ge 2$  there are lattice polytopes in  $\mathbb{R}^n$  of arbitrarily large volume containing no interior lattice points, and for  $n \ge 3$  there are lattice simplices of arbitrarily large volume whose vertices are their only lattice points. However D. Hensley [5] proved that any lattice polytope  $\mathbb{P}$  in  $\mathbb{R}^n$  containing exactly  $k \ge 1$  interior lattice points has volume bounded by a finite bound V(n,k), and furthermore the total number of lattice points in the interior and on the boundary of such  $\mathbb{P}$  is bounded by a finite bound J(n,k).

The main purpose of this paper is to sharpen Hensley's upper bounds for V(n,k) and J(n,k), and to extend his results to apply to lattice polytopes containing a fixed number  $k \ge 1$  of interior points in a given sublattice  $\Lambda$  of  $\mathbb{Z}^n$ . We also prove finiteness of the number of equivalence classes of such polytopes under lattice-point preserving affine maps. Finally, we prove that any closed convex body  $\mathbb{K}$  in  $\mathbb{R}^n$  contains a simplex  $\mathbb{S}$  such that  $\mathbb{K} \subseteq (-n)\mathbb{S} + (n+1)\mathbf{s}$  and  $\mathbb{K} \subseteq (n+2)\mathbb{S} - (n+1)\mathbf{s}$ , where  $\mathbf{s}$  is the centroid of  $\mathbb{S}$ , and if  $\mathbb{K}$  is a lattice polytope then one can choose  $\mathbb{S}$ .  $(-n)\mathbb{S} + (n+1)\mathbf{s}$ , and  $(n+2)\mathbb{S} - (n+1)\mathbf{s}$  to all be lattice simplices.

In extending Hensley's bounds, we treat first the special case  $\Lambda = d\mathbf{Z}^n$ . This case arises in considering rational polytopes of denominator d containing k interior lattice points in  $\mathbf{Z}^n$ , after rescaling to clear the denominator.

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THEOREM 1. Let V(n, k, d) denote the maximal volume of a lattice polytope in  $\mathbb{R}^n$  that contains exactly  $k \geq 1$  points in  $d\mathbb{Z}^n$  in its interior, and let J(n, k, d) denote the maximum number of lattice points J(n, k, d) inside or on the boundary of such a polytope. Then V(n, k, d) and J(n, k, d) are finite, with

(1.1) 
$$V(n,k,d) \le kd^{n} (7(kd+1))^{n2^{n+1}}$$

and

(1.2) 
$$J(n,k,d) \le n + n! k d^{n} (7(kd+1))^{n2^{n+1}}$$

The proof follows the general approach of Hensley's proof, obtaining an improvement by sharpening his basic Diophantine approximation lemma. (Hensley's bound for V(n, k, 1) is roughly  $k(4k)^{n+1}$ .)

Any bound on V(n, k, d) must have double exponential dependence on n. In §2 we generalize examples of Zaks, Perles and Wills [10] to show that for  $n \ge 2$ ,

$$V(n,k,d) \ge \frac{k+1}{n!} (d+1)^{2^{n-1}-1},$$
  
$$J(n,k,d) \ge k(d+1)^{2^{n-2}}.$$

The bound (1.1) is probably far from the truth in its dependence on k, however, and conjectured extremal examples (see Proposition 2.6) suggest that V(n, k, d) grows linearly in k as  $k \to \infty$  with n and d fixed.

Exact formulae for V(n, k, d) are known in a few cases. One has

$$V(1,k,d) = (k+1)d$$

and a result of Scott [9] gives

$$V(2, k, 1) = \begin{cases} 9/2 & \text{for } k = 1, \\ 2(k+1) & \text{for } k \ge 2. \end{cases}$$

The bounds of Theorem 1 immediately yield bounds applicable to a general (full rank) whattice  $\Lambda$  of  $\mathbf{Z}^n$ . Let d be the smallest positive integer such that  $d\mathbf{Z}^n \subset \Lambda$ . If  $\lambda_i = \min\{\lambda \in \mathbb{N} : \lambda \mathbf{e}_i \in \Lambda\}$ , then  $\Lambda_0 = \langle \lambda_1 \mathbf{e}_1, \dots, \lambda_n \mathbf{e}_n \rangle$  is a sublattice of  $\Lambda$ , and  $d\mathbf{Z}^n \subseteq \Lambda$  requires  $d\mathbf{Z}^n \subseteq \Lambda_0$  so that  $d = l.c.m.(\lambda_1, \dots, \lambda_n)$ . Since for each i there is a basis of  $\Lambda$  whose first vector is  $\lambda_i \mathbf{e}_i$ , one has  $\lambda_i | \det(\Lambda)$ , so that  $d | \det(\Lambda)$ . If the columns of the integer matrix M are a basis of  $\Lambda$  then  $\det(\Lambda) = |\det(\Lambda)|$  and  $\det(M) = |\det(M)|M^{-1}|$  is an integer matrix. Furthermore  $\tilde{M} = \frac{d}{\det(\Lambda)}$  adj(M) is also an integer matrix, because MM = dl, and the columns of  $\tilde{M}$  express a basis of the sublattice  $d\mathbf{Z}^n$  of  $\Lambda$  in terms of the basis M of  $\Lambda$ , hence are integral. The linear map  $\Phi: \mathbf{R}^n \to \mathbf{R}^n$  given by  $\Phi(x) = \tilde{M}x$  has  $\Phi(I^n) \subseteq I^n$  and  $\Phi(\Lambda) = dI^n$ , and its determinant is  $d^n(\det(\Lambda))^{-1}$ . If a lattice polytope

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containing exactly k interior lattice points in  $d\mathbf{Z}^n$ , hence **P** contains exactly  $k \geq 1$  interior lattice points in  $\Lambda$ , then  $\Phi(P)$  is a lattice polytope

$$Vol(\Phi(\mathbf{P})) \leq V(n, k, d),$$

so that

(1.3) 
$$\operatorname{Vol}(P) \leq \left(\operatorname{det}(\Lambda)\right) d^{-n} V(n, k, d),$$

and one also obtains

$$\#(\mathbf{P}\cap\mathbf{Z}^n)\leq J(n,k,d).$$

ing k-dimensional face. Two polytopes are d-integrally equivalent if  $L(P_1) = P_2$  for grally equivalent polytopes have the same number of lattice points in each correspond also have  $L(d\mathbf{Z}^n)=d\mathbf{Z}^n$ ; they consist of those maps  $L\in\mathcal{L}_n(\mathbf{Z})$  having  $\mathbf{m}\in d\mathbf{Z}^n$ with  $G \in GL(n, \mathbb{Z})$  and  $\mathbf{m} \in \mathbb{Z}^n$ . The subgroup  $\mathcal{L}_{n,d}(\mathbb{Z})$  contains all such maps which sixts of those affine maps L with  $L(\mathbf{Z}^n) = \mathbf{Z}^n$ . They are exactly the maps  $L(\mathbf{x}) = G\mathbf{x} + \mathbf{m}$ alence classes of such polytopes. The group of lattice point preserving maps  $\mathcal{L}_n(\mathbf{Z})$  con-Two polytopes  $P_1$  and  $P_2$  are integrally equivalent if  $L(P_1) = P_2$  for  $L \in \mathcal{L}_n(\mathbb{Z})$ . Integration on corresponding faces.  $L \in \mathcal{L}_{n,d}(\mathbf{Z})$ ; such polytopes have the same number of lattice points in both  $\mathbf{Z}^n$  and  $d\mathbf{I}^*$ The second question we study concerns the finiteness of the number of integral equiv-

polytopes of bounded volume, as a consequence of the following result. A lattice culv is a cube with sides parallel to the coordinate axes whose vertices are lattice points. We establish the finiteness of the number of integral equivalence classes of lattice

a map  ${f x} o U {f x}$  with  $U \in GL(n,{f Z})$  to a lattice polytope contained in a lattice cube if side length at most n · n! V. THEOREM 2. Any lattice polytope in  $\mathbb{R}^n$  of volume  $\leq V$  is integrally equivalent under

 $\mathbf{v}_0 = \mathbf{0}$  and  $\mathbf{v}_i = \mathbf{e}_i$  for  $1 \le i \le n-1$  and  $\mathbf{v}_n = [n! \ V] \mathbf{e}_n$  has volume  $\text{Vol}(\mathbf{S}_n) \le V$  and for any  $L \in \mathcal{L}_n(\mathcal{I})$  the simplex  $L(S_n)$  is not contained in any lattice cube of side length The bound of Theorem 2 is reasonably tight since the lattice simplex S, with vertice

such polytopes. If we wish to preserve membership in  $d\mathbf{Z}^n$  as well, this translation mud the cube inside  $\{(x_1,\ldots,x_n):0\leq x_i\leq n\cdot n!\,V\}$ . Since there are only finitely many volume  $\leq V$  follows immediately from Theorem 2. By a translation in  $\mathbb{Z}^n$  we may many established by Reznick [8, Section 3]. finiteness of integral equivalence classes for lattice simplices for n=3 was previously be in  $d\mathbf{Z}^n$  and we can move the cube into  $\{(x_1,\ldots,x_n):0\leq x_i\leq n\cdot n!\ V+d\}$  The lattice points in this cube, there are at most finitely many integral equivalence types of The finiteness of the number of integral equivalence classes of lattice polytopes of

body K, some of which are used in the proof of Theorem 2. We also prove several properties of maximal volume simplices contained in a conver-

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Then interior. Let S be any simplex of maximal volume contained in K, and let s be its centriod THEOREM 3. (a) Suppose K is a closed bounded convex body in  $\mathbb{R}^n$  with nonempty

$$\mathbf{K} \subseteq (-n)\mathbf{S} + (n+1)\mathbf{s}$$
,

and

(1.5)

(1.6)

$$\mathbf{K} \subseteq (n+2)\mathbf{S} - (n+1)\mathbf{S}$$

both (-n)**S** + (n+1)**s** and (n+2)**S** - (n+1)**s** are lattice simplices. vertices of **K**. In particular if **K** is a lattice polytope then this **S** is a lattice simplex, and (b) Any convex polytope **K** contains a maximal volume simplex **S** whose vertices are

[1, Lemma 2]. The observation that  $K \subseteq (n+2)S - (n+1)s$  is apparently new. is a well-known result traceable back to Mahler [6, pp. 111-116], and appears in Andrews into two segments of ratio k:l satisfying  $\frac{1}{n} \leq \frac{k}{l} \leq n$ . The inclusion  $\mathbb{K} \subseteq (-n)\mathbb{S} + (n+1)\mathbb{S}$ convex body K as in part (a) has the property that any chord in K through s is divided [7, pp. 242-244], who showed that the centroid s of a maximal volume simplex in a These two inclusions in part (a) are both sharp for all  $n \ge 2$ , in the sense that the The study of maximal volume simplices in a convex body goes back at least to Rado

with  $c_n < 0$  is  $c_n = -n$ , see the end of § 4. minimal  $c_n > 0$  such that  $S \subseteq K \subseteq c_n S + (c_n - 1)s$  is  $c_n = n + 2$ , and the minimal  $|c_n|$ 

coefficient of asymmetry of S around the lattice point w, which leads to a bound on its S, i.e. that its barycentric coordinates are bounded away from 0 and 1. This bounds the volume by a generalization of Minkowski's convex body theorem due to Mahler.  $(\alpha_0,\alpha_1,\ldots,\alpha_n)$  denote the barycentric coordinates of an interior point  $\mathbf{w}\in d\mathbf{Z}^n$  in S. The basic idea (due to Hensley [5]) is to show that w cannot be too close to a face of 2. Proof of Theorem 1. We first consider a lattice simplex S in  $\mathbb{R}^n$  and

(Hensley's lemma yields roughly the bound  $\delta(n,d) \ge (4d)^{-n!-1}$ .) vides the basic ingredient in the proof. This result sharpens Lemma 3.1 in Hensley [5] The lower bound in the following one-sided Diophantine approximation lemma pro-

**r**al numbers  $\alpha_1, \ldots, \alpha_n > 0$  satisfying LEMMA 2.1. For  $d \ge 1$  let  $\delta(n, d)$  be the largest constant such that for all positive

$$\geq \sum_{i=1}^{n} \alpha_{i} > 1 - \delta(n, d)$$

there exist integers  $Q, P_1, \dots, P_n$  with Q > 0, all  $P_i \ge 0$ , such that

(1) 
$$\sum_{i=1}^{n} \frac{P_i}{Q} = 1.$$
(2)  $\alpha_i > \frac{dP_i}{dQ+1}$  for  $1 \le i \le n$ ,

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(3)  $1 \le dQ + 1 \le \delta(n, d)^{-1}$ 

Then

) 
$$\frac{d}{t_{n+1,d}-1} \ge \delta(n,d) \ge (7(d+1))^{-2^{n+1}}$$

where  $t_{n,d}$  is determined by  $t_{1,d} = d+1$  and the recursion  $t_{n,d} = t_{n-1,d}^2 - t_{n-1,d} + 1$ .

One can easily prove by induction on n that

$$(d+1)^{2^{n-1}} \ge t_{n,d} \ge (d+1)^{2^{n-2}},$$

order of magnitude to the upper bound.  $u_{n-1,d}$ . These inequalities show that the lower bound in (2.1) is qualitatively similar in where the lower bound is derived using  $u_{n,d} = t_{n,d} - 1$ , which satisfies  $u_{n,d} = u_{n-1,d}^2 + 1$ 

PROOF. The upper bound in (2.1) is obtained on choosing  $\alpha_i = \frac{d}{t_{i,d}}$  for  $1 \le i \le n$ . One can easily prove by induction on n that  $t_{n+1,d} - 1 = d \prod_{i=1}^n t_{i,d}$  and

$$\sum_{i=1}^{n} \alpha_i = 1 - \frac{d}{t_{n+1,d} - 1}.$$

 $dQ+1>P_it_{i,d}$  for all i. This implies that  $dQ\geq P_it_{i,d}$  since  $t_{i,d}\in \mathbb{Z}$ , hence Now there is no approximation satisfying (1)-(3), for if there were then (2) would give

$$\frac{d}{t_{i,d}} \ge \frac{P_i}{Q}, \quad 1 \le i \le n.$$

$$1 - \frac{d}{t_{n-1,d} - 1} = \sum_{i=1}^{n} \alpha_i \ge \sum_{i=1}^{n} \frac{P_i}{Q} = 1,$$

a contradiction.

with  $Q = P_1 = 1$ . The upper bound in (2.1) holds with equality for this case. on n, holding d fixed. It's true for all d in the base case n=1, on taking  $\delta(1,d)=\frac{1}{d+1}$ The main content of the lemma is the lower bound in (2.1). The proof is by induction

set  $\sum_{i=1}^{n} \alpha_i = 1 - \mu$  with  $0 < \mu < \frac{1}{\Delta_{n,i}}$ . which will be determined in the proof (by (2.11) below), and choose  $\Delta_{1,d} = d + 1$ . We the upper bound in (2.1)) we have  $\alpha_1 \ge \frac{1}{2n}$ . Let  $\frac{1}{\Delta_{n,d}}$  denote a lower bound for  $\delta(n,d)$ . than n. Reorder the  $\alpha_i$  so that  $\alpha_1 \geq \alpha_2 \geq \cdots \geq \alpha_n > 0$ , and since  $\sum_{i=1}^n \alpha_i \geq \frac{1}{2}$  (using Now suppose  $n \ge 2$  and that the lower bound in (2.1) is true for all values smaller

$$\alpha_1 + \cdots + \alpha_j > 1 - \frac{1}{\Delta_{j,d}},$$

then by the induction hypothesis there exists  $(Q, P_1, \ldots, P_j)$  satisfying (1)-(3) for  $(\alpha_1,\ldots,\alpha_n)$ . Thus we need only consider the case that  $(\alpha_j)$ , and on setting  $P_{j+1} = \cdots = P_n = 0$  we obtain a solution to (1)-(3) for

(2.2) 
$$\alpha_{j+1} + \cdots + \alpha_n \geq \frac{1}{\Delta_{j,d}}, \quad 1 \leq j \leq n-1,$$

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holds. Now the ordering of the  $\alpha_i$ 's gives

$$(n-j)\alpha_{j+1} \geq \alpha_{j+1} + \alpha_{j+2} + \cdots + \alpha_n$$

which with (2.2) yields

$$\alpha_{j+1} \ge \frac{1}{n\Delta_{j,d}}, \quad 1 \le j \le n-1.$$

(2.3)

in the open symmetric convex body  $\mathbf{K} = \mathbf{K}(Q, P_2, \dots, P_n)$  in  $\mathbb{R}^n$  defined by By Minkowski's convex body theorem ([3, p. 71]) there exists a nonzero lattice point

$$(2.4a) |Q| <$$

$$|Q\alpha_i - P_i| < \min\left(\frac{1}{d}\alpha_i, \frac{1}{2n^2(d+1)}\right), \quad i \geq 2,$$

(2.4b)

provided that  $Vol(\mathbf{K}) > 2^n$ , that is provided

$$R\prod_{i=2}^n \min\left(\frac{1}{d}\alpha_i, \frac{1}{2n^2(d+1)}\right) > 1.$$

(2.5)

Using the facts that  $\alpha_j < 1/2$  for  $i \ge 2$  and (2.3) we obtain, for  $i \ge 2$ ,

$$\min\left(\frac{1}{d}\alpha_i, \frac{1}{2n^2(d+1)}\right) > \frac{\alpha_i}{n^2(d+1)} \ge \frac{1}{n^3(d+1)\Delta_{i-1,d}}$$

Thus (2.5) is certainly satisfied whenever

(.6) 
$$R \ge n^{3n-3}(d+1)^{n-1} \prod_{i=1}^{n-1} \Delta_{i,d}.$$

 $(-Q_1-P_1,\ldots,-P_n)$  is also in **K**, and (2.4b) then shows that all  $P_i\geq 0$  for  $i\geq 2$ . implies by (2.4b) that all  $P_i=0$ , a contradiction. We may suppose that Q>0 since Take a nonzero solution  $(Q, P_2, \dots, P_n)$  in **K**, and observe that  $Q \neq 0$  because Q = 0

$$P_1 = Q - \sum_{j=2}^n P_j$$

which makes (1) hold. We also have by (2.4b) that

$$(dQ+1)\alpha_i = dP_i + \alpha_i + d(Q\alpha_i - P_i) > dP_i$$

 $\dot{\alpha}_1 = \alpha_1 + \mu = 1 - \sum_{i=2}^n \alpha_i$ , then for  $2 \le i \le n$ , which verifies (2) except for i = 1. Next we show that  $P_1 \ge 0$ . If

$$Q\tilde{\alpha}_1 - P_1 = Q\left(1 - \sum_{i=2}^n \alpha_i\right) \quad \left(Q = \sum_{i=2}^n P_i\right)$$
$$= -\sum_{i=2}^n (Q\alpha_i - P_i).$$

Hence using  $\tilde{\alpha}_1 \ge \alpha_1 \ge \frac{1}{2n}$ ,

$$(2.8) |Q\tilde{\alpha}_1 - P_1| \le \sum_{i=2}^n |Q\alpha_i - P_i| \le \sum_{i=2}^n \frac{1}{2n^2(d+1)} < \frac{1}{d+1}\tilde{\alpha}_1.$$

Thus  $P_1$  is the nearest integer to  $Q\tilde{\alpha}_1$ , hence  $P_1 \geq 0$ .

(2) we need only treat the case i = 1, by (2.7). We have, using (2.8) and (2.4a), We claim that (2) and (3) will hold provided  $\Delta_{n,d}$  and Rare suitably chosen. To check

$$\begin{split} (dQ+1)\alpha_1 &= (dQ+1)\tilde{\alpha}_1 - (dQ+1)\mu \\ &= dP_1 + \tilde{\alpha}_1 + d(Q\tilde{\alpha}_1 - P_1) - (dQ+1)\mu \\ &\geq dP_1 + \tilde{\alpha}_1 - \frac{d}{d+1}\tilde{\alpha}_1 - (dR+1)\mu \\ &> dP_1 + \frac{1}{d+1}\tilde{\alpha}_1 - (dR+1)\frac{1}{\Delta_{n,d}}. \end{split}$$

This shows that (2) holds provided that

$$dR+1 \leq \frac{1}{2n(d+1)} \Delta_{n,d},$$

since  $\tilde{\alpha}_1 \geq \frac{1}{2n}$ . Also the inequality (2.9) guarantees that (3) holds, since  $1 \leq Q \leq R$ . Thus to prove existence it suffices to choose  $\Delta_{n,d}$  large enough that an R exists satis-

fying (2.6) and (2.9). Now (2.9) holds if

$$R \leq \frac{1}{2n(d+1)^2} \Delta_{n,d}.$$

This condition will allow an R for which (2.6) holds to exist provided that

(2.10) 
$$\frac{1}{2n(d+1)^2} \Delta_{n,d} \ge n^{3n-3} (d+1)^{n-1} \prod_{i=1}^{n-1} \Delta_{i,d}.$$

It suffices to choose

) 
$$\Delta_{n,d} = n^{3n}(d+1)^{n+1} \prod_{i=1}^{n-1} \Delta_{i,d},$$

for  $\Delta_{n,d}$  to make (2.10) hold for  $n \geq 2$  and this completes the induction step. To complete the proof, we show that

$$\Delta_{n,d} \leq \left(7(d+1)\right)^{2^{n+1}}$$

Indeed (2.11) for  $n \ge 2$  gives the recursion

$$\log \Delta_{n,d} = 3n \log n + (n+1) \log(d+1) + \sum_{i=1}^{n-1} \log(\Delta_{i,d})$$

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with  $\Delta_{1,d}=d+1$ . This recursion can be solved explicitly, yielding the following inequalities (in which the logarithms are to base 2):

$$\log \Delta_{n,d} = 3n \log n + 3 \sum_{i=2}^{n-1} 2^{n-i-1} i \log i + (5 \cdot 2^{n-2} - 1) \log(d+1)$$

$$< 3 \cdot 2^{n-1} \sum_{i \ge 2} 2^{-i} (i \log i) + 5 \cdot 2^{n-2} \log(d+1)$$

$$< 3 \cdot 2^{n-1} \sum_{i \ge 2} 2^{-i} i (i-1) + 5 \cdot 2^{n-2} \log(d+1)$$

$$= 3 \cdot 2^{n+1} + 5 \cdot 2^{n-2} \log(d+1) < 2^{n+1} \log(7(d+1)).$$

(n,d) = (2,1), (3,1), (2,2) and (2,3).the conjecture is true for n = 1 and all d, and we have also verified it in the cases all n, and we extend this to conjecture that it holds for all n and d. The proof showed Hensley conjectured that the upper bound in (2.1) holds with equality for d=1 and

 $(\alpha_0,\ldots,\alpha_n)$  are the barycentric coordinates of an interior point **w** in  $d\mathbf{Z}^n$  then LEMMA 2.2. If S is a lattice simplex in  $\mathbb{R}^n$  with  $k = \#(d\mathbb{Z}^n \cap \operatorname{Int}(S)) \geq 1$ , and if

$$\delta(n,dk) \leq \alpha_i \leq 1 - n\delta(n,dk).$$

Lemma 2.1 applies to  $(\alpha_1, \ldots, \alpha_n)$  and the  $(Q, P_1, \ldots, P_n)$  it produces satisfies PROOF. Suppose not, so that some  $\alpha_i < \delta(n,dk)$ , which we may take to be  $\alpha_0$ .

$$(jQ+1)\alpha_i > jP_i, \quad 1 \le i \le n$$

for  $1 \le j \le kd$ , If  $\mathbf{v}_i$  are the vertices of S then

$$\mathbf{x}_m = (mdQ + 1)\mathbf{w} + m\sum_{i=1}^n dP_i\mathbf{v}_i$$

for  $0 \le m \le k$  are distinct points in  $d\mathbf{Z}^n \cap \text{Int}(\mathbf{S})$ , a contradiction.

Theorem 1.1 for a lattice simplex S follows from Lemma 2.1 and the following bound.

LEMMA 2.3. Suppose that S is a lattice simplex in  $\mathbb{R}^n$  such that  $k=\#(d\mathbb{Z}^n\cap \mathbf{ht}(S))\geq 1$ . Then

$$Vol(S) \le \frac{1}{n!} (k+1) d^n \delta(n, dk)^{-n}.$$

to the "standard simplex"  $S_0$  having vertices  $0, e_1, \dots, e_n$  in  $\mathbb{R}^n$ . Let  $\Lambda = \Phi(\mathbb{Z}^n)$ ,  $\mathbf{ol}(S) = \frac{1}{n!} |\det(\mathbf{\Phi})|^{-1}$ **PROOF.** We adapt the proof of Theorem 3.4 in [5]. Let  $\Phi$  be an affine map that takes that  $\Lambda$  is a (possibly noninteger) lattice of determinant  $|\det(\Phi)|$  and S has volume

Suppose that  $y \in dZ^n \cap \text{Int}(S_0)$  and set  $v = \Phi(y) = \sum_{i=1}^n \alpha_i e_i$ , where  $\alpha_i$  are **Example 2.2** Coordinates. The region  $\mathbf{R} = \{\mathbf{v} + \mathbf{u} : |u_i| < \alpha_i \text{ for } 1 \le i \le n\}$  is **traily** symmetric about v, and  $\Phi(d\mathbf{Z}^n) = \mathbf{v} + d\Lambda$  is a coset of the lattice  $d\Lambda$ . By

van der Corput's theorem ([4, p. 51]) **R** contains at least the greatest integer strictly less than  $\left(\prod_{i=1}^{n} \alpha_{i}\right) \frac{1}{\alpha^{i}} |\det(\Phi)|^{-1}$  distinct pairs of points  $\mathbf{v} \pm \mathbf{u}$  where each  $\mathbf{u} \in d\Lambda$  is nonzero. Now let  $\mathbf{u} = \sum_{i=1}^{n} u_{i}\mathbf{e}_{i}$  with  $|u_{i}| < \alpha_{i}$  for all *i*. Then at least one of  $\mathbf{v} + \mathbf{u}$  and  $\mathbf{v} - \mathbf{u}$  is in  $Int(\mathbf{S}_{0})$  if some  $\alpha_{i} > 1/2$  and both  $\mathbf{v} \pm \mathbf{u}$  are in  $Int(\mathbf{S}_{0})$  otherwise. Thus Lemma 2.2 yields

$$k = \# \Big( d\mathbb{Z}^n \cap \operatorname{Int}(\mathbb{S}) \Big) = \# \Big( (\mathbf{v} + d\Lambda) \cap \operatorname{Int}(\mathbb{S}_0) \Big) \ge \frac{1}{d^n} \Big( \prod_{i=1}^n \alpha_i \Big) |\det(\Phi)|^{-1} - 1,$$
$$\ge d^{-n} \delta(n, kd)^n n! \operatorname{Vol}(\mathbb{S}) - 1.$$

To prove Theorem 1 for a general lattice polytope **P** we follow Hensley's arguments exactly. As a consequence of Lemma 2.2 one has:

LEMMA 2.4. Let **F** be a lattice polytope in **R**<sup>n</sup> of dimension n-1. Let  $\mathbf{x}_0$  be a lattice point not in the (n-1)-dimensional hyperplane containing **F** and let **P** be the conical lattice polytope which is the convex hull of **F** and  $\mathbf{x}_0$ . Suppose  $k = \#(d\mathbf{Z}^n \cap \operatorname{Int}(\mathbf{P})) \ge 1$ . If  $\mathbf{x}_1, \ldots, \mathbf{x}_m$  are the lattice vertices of **F** then for any barycentric representation of **y** contained in  $d\mathbf{Z}^n \cap \operatorname{Int}(\mathbf{P})$  as  $\mathbf{y} = \sum_{i=0}^m \alpha_i \mathbf{x}_i$  with all  $\alpha_i \ge 0$ ,  $\sum_{i=0}^m \alpha_i = 1$ , one has

$$\delta(n, dk) \le \alpha_0 \le 1 - \delta(n, dk).$$

PROOF. See Hensley, [5, Corollary 3.2].

The coefficient of asymmetry  $\sigma(\mathbf{K}, \mathbf{x})$  of a convex body  $\mathbf{K}$  about a point  $\mathbf{x}$  is

$$\sigma(\mathbf{K}, \mathbf{x}) = \sup_{\|\mathbf{y}\|=1} \frac{\max\{\lambda : \mathbf{x} + \lambda \mathbf{y} \in \mathbf{K}\}}{\max\{\lambda : \mathbf{x} - \lambda \mathbf{y} \in \mathbf{K}\}}$$

Using Lemma 2.4 one finds that the coefficient of asymmetry  $\sigma(P, y)$  of a lattice polytope **P** having  $\#(d\mathbf{Z}^n \cap \operatorname{Int}(P)) = k \ge 1$  about any  $y \in (d\mathbf{Z}^n \cap \operatorname{Int}(P))$  satisfies

12) 
$$\sigma(\mathbf{P}, \mathbf{y}) \le \frac{1 - \delta(n, kd)}{\delta(n, kd)}.$$

Now we use the following extension of a theorem of Mahler (see [4, p. 52]).

THEOREM 2.5. If **K** is any convex body having  $k = \#(d\mathbf{Z}^n \cap \operatorname{Int}(\mathbf{K})) \ge 1$ , such that the coefficient of assymmetry  $\sigma(\mathbf{P}, \mathbf{y})$  about some  $\mathbf{y} \in d\mathbf{Z}^n \cap \operatorname{Int}(\mathbf{K})$  satisfies  $\sigma(\mathbf{P}, \mathbf{y}) \le \frac{1-\delta}{\delta}$  then

$$Vol(\mathbf{K}) \le k \left(\frac{d}{\delta}\right)^n$$
.

PROOF. By rescaling coordinates by a factor of d we may suppose without loss of generality that d=1, and by a further translation we may suppose that  $\mathbf{y}=0$ . We argue by contradiction. If  $\operatorname{Vol}(\mathbf{K})>k\delta^{-n}$ , then one can choose  $\varepsilon>0$  small enough that  $\mathbf{K}'=(1-\varepsilon)\mathbf{K}$  has  $\operatorname{Vol}(\mathbf{K}')>k\delta^{-n}$ . Then put  $\mathbf{K}''=(1+\sigma)^{-1}\mathbf{K}'=\delta^{-1}\mathbf{K}'$ , and

 $Vol(\mathbf{K}'') > k$ . By van der Corput's theorem ([4, p. 51])  $\mathbf{K}''$  contains points  $\mathbf{x}, \mathbf{y}_1, \dots, \mathbf{y}_{k+1}$ 

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wol(**k**) 
$$> \kappa$$
. By valued Colput 3 theorem at  $P = -y$  such that all  $y_i - x \in \mathbb{Z}^n$ . Now  $-\frac{1}{\sigma}x \in \mathbb{K}''$  by definition of  $\sigma = \sigma(\hat{\mathbf{K}}, \mathbf{0}) = \sigma(\hat{\mathbf{K}}'', \mathbf{0})$ . By convexity

 $\frac{1}{1+\sigma}(\mathbf{y}_i - \mathbf{x}) = \frac{1}{1+\sigma}\mathbf{y}_i + \frac{\sigma}{1+\sigma}\left(-\frac{1}{\sigma}\mathbf{x}\right) \in \mathbf{K''},$  hence all  $\mathbf{y}_i - \mathbf{x} \in \mathbf{K'}$ . Since  $\mathbf{K'} \subseteq \text{Int}(\mathbf{K})$ , there are k+1 interior lattice points in  $\mathbf{K}$ , a

We have now completed all the work for Theorem 1. In fact, applying Theorem 2.5 (2.12) yields

$$Vol(\mathbf{P}) \leq kd^n\delta(n,kd)^{-n}$$

and (1.1) follows using Lemma 2.1. If **P** is a lattice simplex Lemma 2.3 gives a slightly stronger bound for  $n \ge 2$ .

A theorem of Blichfeldt ([2],[3, p. 69]) asserts that any body **P** containing *J* lattice points spanning  $\mathbb{R}^n$  has  $Vol(\mathbf{P}) \geq \frac{j-n}{n!}$ , which yields  $J \leq n + n!$   $Vol(\mathbf{P})$ , and (1.2)

follows. We give lower bounds for V(n, k, d) and J(n, k, d) by extending examples of Zaks.

Perles and Wills [10]. These involve the sequences  $t_{\tau,d}$  defined in Lemma 2.1.

PROPOSITION 2.6. The lattice simplex  $S_{n,k,d}$  having vertices  $v_0 = 0$ ,  $v_i = t_{i,d}e_i$  for  $1 \le i \le n-1$ , and  $v_n = (k+1)(t_{n,d}-1)e_n$  contains exactly k interior lattice points in

(2.13) 
$$V(n,k,d) \ge \frac{k+1}{n!} \left( \prod_{i=1}^{n-1} t_{i,d} \right) (t_{n,d} - 1) = \frac{k+1}{n!} \frac{1}{d} (t_{n,d} - 1)^2.$$

and

$$J(n,k,d) \ge (k+1)(t_{n,d}-1).$$

This proposition gives the lower bounds stated in § 1 using  $t_{n,d} > (d+1)^{2^{n-2}}$  for  $n \ge 2$ .

PROOF. We show that

$$\operatorname{Int}(\mathbf{S}_{n,k,d}) \cap d\mathbf{Z}^n = \{(d,d,\ldots,d,id) : 1 \le i \le k\}.$$

Let  $(\alpha_0, \alpha_1, \dots, \alpha_n)$  denote the barycentric coordinates of a lattice point  $\mathbf{w} = \sum_{i=0}^n \alpha_i \mathbf{v}_i \in d\mathbf{Z}^n$  in  $\mathrm{Int}(\mathbf{S}_{n,k,d})$ . By induction on i for  $1 \le i \le n-1$  starting from i=1 one shows that  $\alpha_i = \frac{d}{t_{id}}$  using the relation

$$\sum_{j=1}^{i} t_{j,d} = 1 - \frac{d}{t_{i+1,d} - 1}.$$

because necessarily  $\alpha_j = \frac{md}{t_{i,d}}$  for some  $m \ge 1$ , and choosing  $m \ge 2$  gives  $\sum_{j=1}^{i} \alpha_j \ge 1$ , a contradiction. Next (2.14) allows only  $\alpha_n = \frac{md}{(k+1)(t_{i,d}-1)}$  with  $1 \le m \le k$ . Since  $\alpha_0 = 1 - \sum_{j=1}^{n} \alpha_i$  one checks that these barycentric coordinates actually yield the k lattice points in  $dZ^n$  above.

It is possible that equality holds in (2.13) for all  $(n, k, d) \neq (2, 1, 1)$ . This is however an open problem even for n = 2. Furthermore it is possible that the only lattice polytoper attaining equality in (2.13) are lattice simplices unless (n, d) = (2, 1).

3. **Proof of Theorem 2.** First consider the case that the polytope is a simplex S having vertices  $\mathbf{v}_0, \mathbf{v}_1, \dots, \mathbf{v}_n \in \mathbf{Z}^n$ . Consider the lattice  $\Lambda$  spanned by the basis vectors  $\mathbf{w}_i = \mathbf{v}_i - \mathbf{v}_0$  for  $1 \le i \le n$ . Then  $\Lambda$  is a sublattice of  $\mathbf{Z}^n$  and

$$\det(\Lambda) = [\mathbf{Z}^n : \Lambda] = n! \text{ Vol}(\mathbf{S}) \le n! V.$$

Let B be the integer matrix whose  $i^{th}$  row is  $\mathbf{w}_i$ , so that  $|\det(B)| = \det(\Lambda)$ . If  $\mathbf{P}_0$  is the parallelepiped  $\{\mathbf{y}: \mathbf{y} = \sum_{i=1}^n y_i \mathbf{w}_i, \ 0 \le y_i \le 1\}$  then  $\mathbf{S}$  is contained in the translated parallelepiped  $\mathbf{v}_0 + \mathbf{P}_0$ . Now there is a matrix  $U \in GL(n, \mathbf{Z})$  taking the basis matrix to the lower-triangular form (Hermite normal form):

3.1) 
$$UB = \begin{bmatrix} a_{11} & a_{22} \\ a_{21} & a_{22} \\ \vdots \\ a_{n1} & \cdots & a_{nn} \end{bmatrix},$$

with  $0 \le a_{ii} < a_{ii}$  for j > i and all  $a_{ii} > 0$  ([3, p. 13]). Now  $|\det(B)| = \prod_{i=1}^n a_{ii} \le n! V$ , hence  $1 \le a_{ii} \le n! V$  and the parallelepiped generated by the row vectors of UB is contained in the cube  $\{\mathbf{x} : 0 \le x_i \le n! V \text{ for } 1 \le i \le n\}$ . The map  $\mathbf{x} \to U\mathbf{x} \in \mathcal{L}_n$  takes S to US, which is contained in this parallelepiped, and thus lies in a lattice cube of side at most n! V.

Now suppose that **P** is an arbitrary lattice polytope. We assume that Theroem 3 is proved. By Theorem 3(b) it contains a maximal volume simplex S which is a lattice simplex. The argument above shows that there exists a transformation  $U \in GL(n, \mathbb{Z})$  such that  $\mathbf{x} \to U\mathbf{x}$  maps **S** to a lattice simplex  $\mathbf{S}_1$  contained in a lattice cube **C** of side n! V, and maps **P** to a lattice polytope **P**<sub>1</sub>. Then  $\mathbf{S}_1$  is a maximal volume simplex in  $\mathbf{P}_1$ , so by Theorem 3(a)  $\mathbf{P}_1$  is contained in the lattice simplex  $(-n)\mathbf{S}_1 + (n+1)\mathbf{s}$ , where **s** is the centroid of  $\mathbf{S}_1$ , and  $(n+1)\mathbf{s} \in \mathbb{Z}^n$ . Consequently  $\mathbf{P}_1$  is contained in the lattice cube  $(-n)\mathbf{C} + (n+1)\mathbf{s}$  of side  $n \cdot n!$  V.

4. **Proof of Theorem 3.** Let **S** be any maximal volume simplex in the bounded convex body **K**, and let  $\mathbf{v}_0, \ldots, \mathbf{v}_n$  be the vertices of **S**. By making a translation if necessary we may assume that the centroid of **S** is  $\mathbf{0}$ , i.e.  $\sum_{i=0}^n \mathbf{v}_i = \mathbf{0}$ . Our object is then to show that  $\mathbf{K} \subseteq (-n)\mathbf{S}$  and  $\mathbf{K} \subseteq (n+2)\mathbf{S}$ . Let  $H_i$  be the hyperplane spanned by all the vertices except  $\mathbf{v}_i$ , and let  $d_i = \text{dist}(\mathbf{v}_i, H_i)$ . Define  $H_i^t$ ,  $H_i^-$  to be the two hyperplanes parallel to  $H_i$  such that  $H_i^t$  contains  $\mathbf{v}_i$  while  $H_i^-$  is at distance  $d_i$  from  $H_i$  with  $H_i$  separating  $H_i^-$  from  $\mathbf{v}_i$ . We claim that **K** is contained in the closed region  $\mathbf{R}_i$  between  $H_i^t$  and  $H_i^-$ . For if  $\mathbf{y} \in \mathbf{K}$  were outside this region, then the simplex spanned by  $\mathbf{y}$  and all  $\mathbf{v}_j$  for  $j \neq i$  would have volume bigger than Vol(**S**). a contradiction. Hence  $\mathbf{K} \subseteq \bigcap_{i=0}^n \mathbf{R}_i$ .

e will show tha

$$\bigcap_{i=0}^{n} \mathbf{R}_{i} = (n+2)\mathbf{S} \cap (-n)\mathbf{S}.$$

which implies part (a) of the theorem. Since **S** has nonzero volume, all points in  $\mathbb{R}^n$  have unique barycentric coordinates  $\mathbf{y} = \sum_{i=0}^n \beta_i \mathbf{v}_i$ , with  $\sum_{i=0}^n \beta_i = 1$ . The region  $\mathbb{R}_i$  is given by the barycentric coordinates:

$$\mathbf{R}_i = \left\{ \mathbf{y} = \sum_{j=0}^n \beta_j \mathbf{v}_j : \sum_{j=0}^n \beta_j = 1 \text{ and } |\beta_i| \le 1 \right\}.$$

This is clear since if  $\mathbf{y} = \sum_{j=0}^{n} \beta_j \mathbf{v}_j$  then  $\operatorname{dist}(\mathbf{y}, H_i) = |\beta_i| d_i$ . Hence

(.2) 
$$\bigcap_{i=1}^{n} \mathbf{R}_{i} = \left\{ \mathbf{y} = \sum_{j=0}^{n} \beta_{j} \mathbf{v}_{j} : \sum_{j=0}^{n} \beta_{j} = 1 \text{ and all } |\beta_{j}| \le 1 \right\}.$$

Since  $\sum_{i=0}^{n} \mathbf{v}_i = \mathbf{0}$  by hypothesis,

$$(-n)\mathbf{S} = \left\{ \mathbf{y} = \sum_{j=0}^{n} \alpha_{j}(-n\mathbf{v}_{j}) : \sum_{j=0}^{n} \alpha_{j} = 1 \text{ and all } \alpha_{j} \ge 0 \right\}$$

$$= \left\{ \mathbf{y} = \sum_{j=0}^{n} \beta_{j}\mathbf{v}_{j} : \sum_{j=0}^{n} \beta_{j} = 1 \text{ and all } \beta_{j} \le 1 \right\},$$

where  $\beta_j = -n\alpha_j + 1$ . Similarly

$$(n+2)\mathbf{S} = \left\{ \mathbf{y} = \sum_{j=0}^{n} \alpha_j (n+2) \mathbf{v}_j : \sum_{j=0}^{n} \alpha_j = 1 \text{ and all } \alpha_j \ge 0 \right\}$$

$$= \left\{ \mathbf{y} = \sum_{j=0}^{n} \beta_j \mathbf{v}_j : \sum_{j=0}^{n} \beta_j = 1 \text{ and all } \beta_j \ge -1 \right\}$$

where  $\beta_j = (n+2)\alpha_j - 1$ . The equality (4.1) follows on comparing (4.2)–(4.4).

To prove part (b), let P be a convex polytope having nonzero volume. We wish to show that P contains a maximal volume simplex whose vertices are all vertices of P. Let S' be a maximal volume simplex contained in P. If it has a vertex w' not a vertex of P, consider the linear program of maximizing the (oriented) distance of a point in P from the hyperplane spanned by the other n vertices of S'. Some vertex w' of P is an optimal point for this linear program, so we can replace w' by w'' to obtain a new maximal volume simplex for P which has one fewer vertex not a vertex of P. Continuing in this way, we eventually obtain a maximal volume simplex S all of whose vertices are vertices of P.

If **P** is a lattice polytope this **S** is a lattice simplex. If its vertices are  $\mathbf{v}_0, \dots, \mathbf{v}_n$  then  $(n+1)\mathbf{s} = \sum_{i=0}^n \mathbf{v}_i \in \mathbf{Z}^n$ . Hence  $(-n)\mathbf{S} + (n+1)\mathbf{s}$  and  $(n+2)\mathbf{S} - (n+1)\mathbf{s}$  are lattice simplices.

REMARKS. (1) If **P** is a lattice polytope having the maximum volume simplex **S** which is a lattice simplex, then

$$\bigcap_{i=0}^{n} \mathbf{R}_{i} = (n+2)\mathbf{S} \cap (-n)\mathbf{S}$$

is a lattice polytope. For (4.2) implies that is vertices are contained in the set of lattice points  $\left\{\sum_{i=0}^{n} \beta_i \mathbf{v}_i : \sum_{i=0}^{n} \beta_i = 1 \text{ and all } \beta_i \in \{1,0,-1\}\right\}$ .

for all **K** and  $c_n < 0$  then  $c_n \le -n$ . Take **K** to be a simplex (2) The inclusion  $K \subset (-n)S + (n+1)s$  is sharp in the sense that if  $K \subset c_nS + (1-c_n)s$ 

$$S = \operatorname{conv}(0, e_1, \ldots, e_n).$$

$$= \left\{ \mathbf{x} \in \mathbf{R}^n : \text{ all } x_i \ge 0 \text{ and } \sum_{i=1}^n x_i \le 1 \right\}.$$

Then  $\mathbf{s} = \left(\frac{1}{n+1}, \dots, \frac{1}{n+1}\right)$  and for  $c_n < 0$  one has

$$c_n \mathbf{S} = \left\{ \mathbf{x} \in \mathbf{R}^n : \text{ all } x_i \le 0 \text{ and } \sum_{i=1}^n x_i \ge c_n \right\}.$$

$$c_n \mathbf{S} + (1 - c_n) \mathbf{s} = \left\{ \mathbf{x} \in \mathbf{R}^n : \text{ all } x_i \le \frac{1 - c_n}{n+1} \text{ and } \sum_{i=1}^n x_i \ge \frac{1}{n+1} (n + c_n) \right\}.$$

To obtain  $e_1$  in this region requires  $c_n \leq -n$ .

for all **K** and  $c_n > 0$  then  $c_n \ge n + 2$ . Let (3) The inclusion  $\mathbf{K} \subset (n+2)\mathbf{S} - (n+1)\mathbf{s}$  is sharp in the sense that if  $\mathbf{K} \subset c_n\mathbf{S} + (1-c_n)\mathbf{s}$ 

$$\mathbf{K} = \operatorname{conv}\{\pm \mathbf{e}_i : 1 \le i \le n\}$$

be the n-dimensional cross-polytope. A maximum volume simplex S in K is given by

$$\mathbf{S} = \text{conv}\{-\mathbf{e}_1, \mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n\}$$
$$= \left\{\mathbf{x} \in \mathbb{R}^n : x_2 \ge 0, \dots, x_n \ge 0, \pm 1 + \sum_{i=2}^n x_i \le 1\right\}.$$

Computation yields sending certain  $x_i \to -x_i$ . Now suppose  $c_n > 0$  is such that  $\mathbf{K} \subseteq c_n \mathbf{S} - (c_n - 1)\mathbf{s}$ . plex in K has this form after a suitable permutation of the coordinate axes, and after of volume  $\frac{2}{n!}$ , with centroid  $\mathbf{s} = \left(0, \frac{1}{n+1}, \dots, \frac{1}{n+1}\right)$ . This holds because every lattice sim-

$$c_n \mathbf{S} = \left\{ x \in \mathbf{R}^n : x_2 \ge 0, \dots, x_n \ge 0, \pm x_1 + \sum_{i=2}^n x_i \le c_n \right\},\,$$

$$c_n \mathbf{S} - (c_n - 1)\mathbf{s}$$

$$= \left\{ x \in \mathbf{R}^n : x_2 \ge \frac{1 - c_n}{n+1}, \dots, x_n \ge \frac{1 - c_n}{n+1}, \pm x_1 + \sum_{i=2}^n x_i \le \frac{c_n}{n+1} + \frac{n+1}{n-1} \right\}.$$

For  $n \ge 2$  the condition  $-\mathbf{e}_2 \in c_n \mathbf{S} - (c_n - 1)\mathbf{s}$  requires  $-1 \ge \frac{1-c_n}{n+1}$ , which is  $c_n \ge n+2$ .

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