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VISIBILITY COMPLEXES AND THE BAUES PROBLEM FOR TRIANGULATIONS IN THE PLANE

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ABSTRACT. We give a positive answer for the special case of the Generalized Baues Problem which asks whether the complex of triangulations of a point set \mathcal{A} in general position in the plane has the homotopy type of a sphere. In the process, we are led to define the *visibility complex* for a simplicial complex whose vertices lie in \mathcal{A} , and prove that this visibility complex has the same homotopy type as P . The main technique is a variant of *deletion-contraction* from matroid theory.

I. Introduction.

The subject of triangulations of a point set \mathcal{A} in \mathbb{R}^d has undergone a recent surge of interest, partly due to the theory of *secondary polytopes* and *\mathcal{A} -discriminants and resultants* defined by Gelfand, Kapranov, and Zelevinsky [GKZ], and also due to a problem raised by Baues [Ba] in homotopy theory. These two developments were unified by Billera and Sturmfels in their work on *fiber polytopes* [BS], and led Billera et. al [BKS], to formulate the Generalized Baues Problem (GBP) associated to a surjection of convex polytopes $P \rightarrow Q$. Given two polytopes P and Q and an affine surjection $P \rightarrow Q$, the problem asks whether a certain complex of polygonal decompositions of Q induced by the faces of P has the same homotopy type as the subcomplex of *coherent decompositions*, which are defined geometrically. This subcomplex is known to be isomorphic to the boundary complex of a convex polytope (the *fiber polytope* $\Sigma(P, Q)$) and hence is spherical.

The answer to the GBP is known to be positive when $\dim(Q) = 1$ [Bj1], [BKS], and when $\dim(P) - \dim(Q) \leq 2$ [RZ], however counterexamples were given recently by Rambau and Ziegler [RZ] with $\dim(Q) \geq 2$ and $\dim(P) - \dim(Q) \geq 3$ (see this reference for a more complete discussion of the GBP). The most interesting cases of the GBP are those where P is either an n -cube or an n -simplex, since a positive answer in these instances would resolve the weaker question of whether all cubical subdivisions of a *zonotope* Z are connected by *mutations*, or all triangulations of a point set \mathcal{A} are connected by *bistellar operations*, respectively. For P an n -cube the answer to the GBP is known to be positive for $\dim(Q) \leq 2$ [SZ]. For P an n -simplex, it was proven that all triangulations of \mathcal{A} in \mathbb{R}^2 are connected by bistellar operations by Lawson [La], which shows that the complex considered in this case

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of the GBP is at least connected. In this paper, we will give a positive answer to the GBP for triangulations of \mathcal{A} in general position in \mathbb{R}^2 .

In fact, we prove something stronger. For an arbitrary simplicial complex P embedded in \mathbb{R}^2 and using only vertices in \mathcal{A} , we will show that there is a complex generalizing the complex considered by the GBP, and that when \mathcal{A} is in general position in \mathbb{R}^2 , this complex is contractible (Theorems 2 and 3). Our method is a variant of the deletion-contraction technique from matroid theory, in combination with Quillen's Fiber Lemma. An interesting by-product of the analysis is that it requires us to understand the homotopy type of a simplicial complex which we call the *visibility complex* for the pair (P, \mathcal{A}) . We prove that this visibility complex has the same homotopy type as P (Theorem 1).

The paper is organized as follows. Section II gives the basic definitions and states the main results (Theorems 1,2, and 3). Section III reduces Theorems 1 and 2 to the case where P is a manifold with boundary. Section IV completes the proof of Theorem 1, and Section V uses this to complete the proof of Theorem 2. Theorem 3 is then deduced as a corollary to the proof of Theorem 2.

II. Definitions and statement of results.

Let \mathcal{A} be a finite set of points in \mathbb{R}^d . A set P in \mathbb{R}^d will be called *\mathcal{A} -triangulable* if, roughly speaking, it has a triangulation as a simplicial complex whose vertices are a subset of \mathcal{A} . To be more precise, let $\mathcal{A} = \{v_1, \dots, v_n\} \subseteq \mathbb{R}^d$ and say that $P \subseteq \mathbb{R}^d$ is \mathcal{A} -triangulable if $\mathcal{A} \subseteq P$ and there exists an abstract simplicial complex Δ on vertex set $\{1, 2, \dots, n\}$ (not necessarily using every index as a vertex) whose *geometric realization* $\|\Delta\|$ maps homeomorphically onto P (endowed with the subspace topology) under the map from $\|\Delta\|$ into \mathbb{R}^d which sends an abstract vertex i to the point v_i . In what follows, we will often abuse notation by drawing no distinction among the topological space P , the homeomorphic space $\|\Delta\|$, and the simplicial complex Δ , so that we can refer to vertices, edges in P , and so on.

For a set $A \subseteq \mathcal{A}$, let $\text{conv}(A)$ denote the convex hull of the points of A . Given an \mathcal{A} -triangulable set P , define the *visibility complex* $\Delta_{\text{vis}}(P, \mathcal{A})$ to be the abstract simplicial complex on vertex set \mathcal{A} whose simplices are the subsets $A \subset \mathcal{A}$ with $\text{conv}(A) \subseteq P$. The *visibility graph* $G_{\text{vis}}(P, \mathcal{A})$ is the 1-skeleton (vertices and edges) of $\Delta_{\text{vis}}(P, \mathcal{A})$ (cf. [OR2])

A *polytopal decomposition* δ of the pair (P, \mathcal{A}) is a set of pairs $\{(P_i, \mathcal{A}_i)\}_{i=1}^k$ satisfying the following conditions:

- (1) For each i , we have $\mathcal{A}_i \subseteq \mathcal{A}$ and $P_i = \text{conv}(\mathcal{A}_i)$.
- (2) $P = \bigcup_{i=1}^k P_i$.
- (3) For each $i \neq j$, the polytopes P_i, P_j intersect in a common proper face F of each (possibly the empty face, but not P_i or P_j itself), and $\mathcal{A}_i \cap F = \mathcal{A}_j \cap F$.

Note that an \mathcal{A} -triangulable space P always has at least one polytopal decomposition coming from its \mathcal{A} -triangulation Δ , namely $\delta = \{(P_i, \mathcal{A}_i)\}$ where $\{P_i\}$ are the *maximal faces* of Δ , and \mathcal{A}_i is the set of vertices of P_i . Furthermore, if $\delta = \{(P_i, \mathcal{A}_i)\}$ is a polytopal decomposition of P , then any union $\bigcup_{\alpha} P_{i_{\alpha}}$ is an $(\bigcup_{\alpha} P_{i_{\alpha}} \cap \mathcal{A})$ -triangulable space: simply refine each P_i in δ to a triangulation which introduces no new vertices and restrict this simplicial complex to its faces lying in $\bigcup_{\alpha} P_{i_{\alpha}}$.

Define the *decomposition poset* $Dec(P, \mathcal{A})$ to be the set of all polytopal decompositions δ of (P, \mathcal{A}) ordered by *refinement*, i.e., if

$$\delta = \{(P_i, \mathcal{A}_i)\}_{i=1}^k$$

$$\delta' = \{(P'_j, \mathcal{A}'_j)\}_{j=1}^{k'}$$

then $\delta \leq \delta'$ means for each $i = 1, 2, \dots, k$ there exists some j so that $P_i \subseteq P'_j$ and $\mathcal{A}_i \subseteq \mathcal{A}'_j$.

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We will prove the following results about the homotopy type of $\Delta_{vis}(P, \mathcal{A})$ and $Dec(P, \mathcal{A})$ (when referring to the homotopy type of a poset Q , we mean the homotopy of its *order complex* $\Delta(Q)$; see [Bj2, (9.3)]). All of our results will assume that \mathcal{A} is in general position in \mathbb{R}^2 , i.e. no three points of \mathcal{A} are collinear. It is not clear whether this assumption is necessary for any of these results, but it will greatly simplify some of the proofs.

Theorem 1. *Assume \mathcal{A} is in general position in \mathbb{R}^2 , and P is an \mathcal{A} -triangulable space. Then $\Delta_{vis}(P, \mathcal{A})$ is homotopy equivalent to P .* ← false in \mathbb{R}^3

Theorem 2. *Assume \mathcal{A} is in general position in \mathbb{R}^2 and P is an \mathcal{A} -triangulable space. Then $Dec(P, \mathcal{A})$ is contractible.* ←

Theorem 3. *Assume \mathcal{A} is in general position in \mathbb{R}^2 . If $P = conv(\mathcal{A})$, then $Dec(P, \mathcal{A})$ has a unique top element $\hat{1}$, and $Dec(P, \mathcal{A}) - \hat{1}$ is homotopy equivalent to a sphere of dimension $|\mathcal{A}| - 3$.*

When $P = conv(\mathcal{A})$, this poset $Dec(P, \mathcal{A}) - \hat{1}$ is isomorphic to the poset $\mathcal{S}(\Delta^{n-1}, P)$ considered in the Generalized Baues Problem [BKS, p. 554], where here Δ^{n-1} is a simplex with $n = |\mathcal{A}|$ vertices mapping onto P by the canonical surjection.

Because we will need it frequently, we record here the following well-known lemma [OR1, Theorem 1.2]:

Lemma 4. *Let C be an \mathcal{A} -triangulable Jordan curve in the plane, i.e., C is a polygonal embedding of the circle $\mathbb{S}^1 \rightarrow \mathbb{R}^2$ using only vertices in \mathcal{A} . Then the closure \overline{C} of the interior of C has an \mathcal{A} -triangulation. □*

It is important to note that Lemma 4 does not generalize to three dimensions. That is, there exists a non-convex polyhedron in three dimensions whose interior cannot be partitioned into tetrahedra with vertices chosen from those of the polyhedron. See [OR1, §10.2.1] for a discussion of some examples.

III. Reduction to manifold with boundary.

In this section we simultaneously reduce both Theorems 1 and 2 to the case where P is an \mathcal{A} -triangulable manifold with boundary by a sequence of lemmas.

The first lemma allows us to assume that P (and hence $\Delta_{vis}(P, \mathcal{A})$) is connected.

Lemma 5. *Let P be an \mathcal{A} -triangulable set in \mathbb{R}^d , with connected components $\{P_i\}_{i=1}^c$. Then*

- (1) *Each P_i is $(\mathcal{A} \cap P_i)$ -triangulable.*
- (2) *$\Delta_{vis}(P, \mathcal{A})$ has connected components $\{\Delta_{vis}(P_i, \mathcal{A} \cap P_i)\}_{i=1}^c$.*

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(3) $Dec(P, \mathcal{A})$ is isomorphic as a poset to the Cartesian product

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$$\prod_{i=1}^c Dec(P_i, \mathcal{A} \cap P_i)$$

Proof. Let Δ be an \mathcal{A} -triangulation of P . Since the connected components of Δ are identified with those of P , the first assertion is obvious.

To prove the second assertion, we must show that $v, v' \in \mathcal{A}$ lie in the same connected component of P if and only if they lie in the same connected component of $\Delta_{vis}(P, \mathcal{A})$. But this is clear, since v, v' being connected in P implies that there is a path in the 1-skeleton of Δ between them, which corresponds to a path in $\Delta_{vis}(P, \mathcal{A})$, and likewise if there is a path in $\Delta_{vis}(P, \mathcal{A})$ between them it leads to a path in P between them.

To prove the third assertion, note that any decomposition δ of (P, \mathcal{A}) is uniquely defined by its restriction to each connected component of P . \square

The next lemma allows us to assume that the visibility graph $G_{vis}(P, \mathcal{A})$ is bi-connected. Recall that for a graph G , a vertex v is an *articulation point* if $G - v$ is disconnected, and a graph is *biconnected* if it has no articulation points. Equivalently, G is biconnected if any two vertices v, v' have two vertex-disjoint paths in G between them.

Lemma 6. *Let P be a connected \mathcal{A} -triangulable set in \mathbb{R}^d . Assume $v \in \mathcal{A}$ is an articulation point of $G_{vis}(P, \mathcal{A})$. Let $\{\mathcal{A}_i\}_{i=1}^c$ be the sets of vertices of the different connected components of $G_{vis}(P, \mathcal{A}) - v$, and let*

$$P_i = \bigcup_{\substack{\mathcal{A} \subseteq \mathcal{A}_i \cup \{v\} \\ conv(\mathcal{A}) \subseteq P}} conv(\mathcal{A}).$$

- (1) Each $\{P_i\}$ is $\mathcal{A}_i \cup \{v\}$ -triangulable. \leftarrow
- (2) P is homeomorphic to the one-point wedge $\bigvee_{i=1}^c P_i$, with wedge point v .
- (3) $\Delta_{vis}(P, \mathcal{A})$ is homeomorphic to the one-point wedge

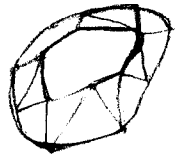
$$\bigvee_{i=1}^c \Delta_{vis}(P_i, \mathcal{A}_i \cup v),$$

with wedge point v .

- (4) $Dec(P, \mathcal{A})$ is isomorphic as a poset to $\prod_{i=1}^c Dec(P_i, \mathcal{A}_i \cup v)$

Proof. All four assertions follow immediately from the following observation: if $A \subseteq \mathcal{A}$ has $conv(A) \subseteq P$, then all the points of A lie in the same biconnected component of $G_{vis}(P, \mathcal{A})$, so $A \subseteq \mathcal{A}_i \cup v$ for some i . \square

The next lemma allows us to assume that P has no *maximal edges*, i.e., every edge e in the triangulation of P is contained in some higher dimensional face.



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Lemma 7. Let P be a connected \mathcal{A} -triangulable set in \mathbb{R}^2 . Assume \mathcal{A} is in general position (no three points collinear) $G_{vis}(P, \mathcal{A})$ is biconnected, and let e be a maximal edge in the triangulation Δ of P .

- (1) $P - e$ is \mathcal{A} -triangulable.
- (2) P is homotopy equivalent to the one-point wedge of the spaces $P - e$ and a circle \mathbb{S}^1 .
- (3) $\Delta_{vis}(P, \mathcal{A})$ is homotopy equivalent to the one-point wedge of the spaces $\Delta_{vis}(P - e, \mathcal{A})$ and a circle \mathbb{S}^1 .
- (4) $Dec(P, \mathcal{A})$ is isomorphic as a poset to $Dec(P - e, \mathcal{A})$

Proof. The first assertion is obvious.

The second assertion follows from the following observation: if e is a maximal edge in a finite simplicial complex Δ and $\Delta - e$ is connected, then Δ is homotopy equivalent to the one-point wedge of $\Delta - e$ and \mathbb{S}^1 . To see this fix one endpoint v of the edge e , and let the other endpoint v' slide along a path connecting v to v' in $\Delta - e$. This gives the homotopy between the two spaces. Note that in the situation of the lemma, $\Delta - e$ is connected since $G_{vis}(P, \mathcal{A})$ is biconnected.

The third assertion will also follow from the same observation, if we can show that the edge \hat{e} in $\Delta_{vis}(P, \mathcal{A})$ spanned by the endpoints v, v' of e is maximal in $\Delta_{vis}(P, \mathcal{A})$, since then we would have $\Delta_{vis}(P, \mathcal{A}) - \hat{e} = \Delta_{vis}(P - e, \mathcal{A})$ by our general position assumption. If \hat{e} were not maximal, then there exists some v'' so that $conv(v, v', v'') \subseteq P$. Again by our general position assumption, v, v', v'' are not collinear, so $conv(v, v', v'')$ is a triangle inside P which contains the edge $e = conv(v, v')$. But this implies that some 2-simplex in Δ must contain e , contradicting maximality of e in Δ .

The fourth assertion follows from the following claim: every polytopal decomposition $\delta = \{P_i, \mathcal{A}_i\}$ of P must use the edge e as one of the P_i . The reason is that the union of the P_i must cover P and hence contain e , but e is not contained in any triangle, and there are no vertices v'' collinear with v, v' (by our general position assumption) which could be used to subdivide e or to produce a larger edge covering e . ■

The next lemma allows us to assume that every vertex used in the triangulation Δ of P has connected link. But first we recall some definitions from simplicial topology (see [Bj2, (9.9)]): The *link*, *star* and *deletion* of a face F in a simplicial complex Δ are the subcomplexes defined by

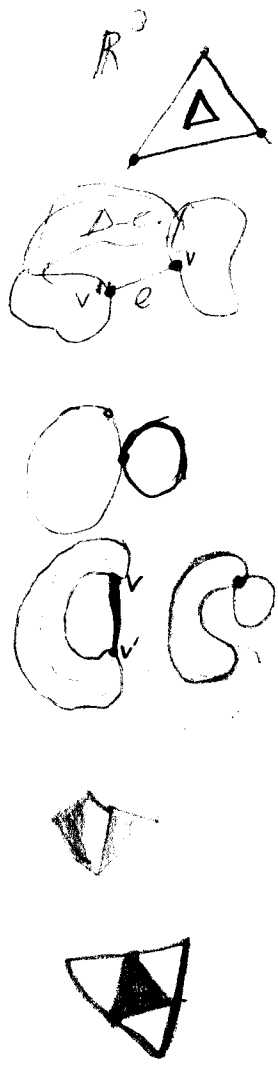
$$\left. \begin{aligned} link_{\Delta}(F) &= \{G \in \Delta, G \cup F \in \Delta, G \cap F = \emptyset\} \\ star_{\Delta}(F) &= \{G \in \Delta, G \cup F \in \Delta\} \\ del_{\Delta}(F) &= \{G \in \Delta, G \cap F = \emptyset\} \end{aligned} \right\}$$

Note that these definitions satisfy

$$\begin{aligned} \Delta &= star_{\Delta}(F) \cup del_{\Delta}(F) \\ link_{\Delta}(F) &= star_{\Delta}(F) \cap del_{\Delta}(F) \\ star_{\Delta}(F) &= \overline{F} * link_{\Delta}(F) \end{aligned}$$

where here \overline{F} means the simplicial subcomplex generated by F , and $*$ denotes the operation of *simplicial join* [Bj2, (9.5)].

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Lemma 8. *Let P be a connected \mathcal{A} -triangulable set in \mathbb{R}^2 . Assume \mathcal{A} is in general position (no three points collinear) $G_{vis}(P, \mathcal{A})$ is biconnected, and no edge of P is maximal. Let v be a vertex in the triangulation Δ of P which has disconnected link. Then there exists a point set \mathcal{A}' and an \mathcal{A}' -triangulable space P' satisfying the same hypotheses as P, \mathcal{A} and furthermore:*

- (1) P is homotopy equivalent to the one-point wedge of the spaces P' and a circle \mathbb{S}^1 .
- (2) $\Delta_{vis}(P, \mathcal{A})$ is homotopy equivalent to the one-point wedge of the spaces $\Delta_{vis}(P', \mathcal{A}')$ and a circle \mathbb{S}^1 .
- (3) $Dec(P, \mathcal{A})$ is isomorphic as a poset to $Dec(P', \mathcal{A}')$.
- (4) The quantity

$$\sum_{\text{vertices } w} \hat{\beta}_0(\text{link}_{\Delta}(w))$$

is smaller for (P', \mathcal{A}') than for (P, \mathcal{A}) , where $\hat{\beta}_0$ denotes rank of the reduced 0-homology, which is simply one less than the number of connected components.

Proof. Construct \mathcal{A}' from \mathcal{A} and P' from P as in Figure 1. To be precise, pick a connected component C in the link $\text{link}_{\Delta}(v)$, and double the vertex v to create a “twin” vertex v' very near to v at a small but generic distance in a generic direction toward the middle of an edge of C . Note that this is possible by our general position assumption on \mathcal{A} , and also C must contain an edge, for if C were a single vertex $\{w\}$ then the edge vw would be maximal in P . Let $\mathcal{A}' = \mathcal{A} \cup \{v'\}$, and obtain P' from P by replacing the subcomplex $v * C$ in Δ with $v' * C$.

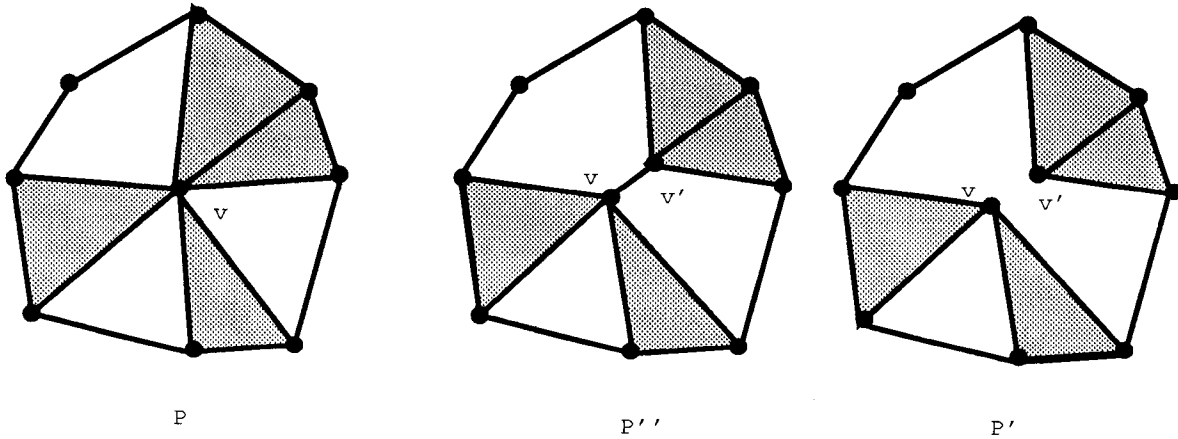


FIGURE 1. The process $P \rightsquigarrow P'' \rightsquigarrow P'$.

It is clear that P', \mathcal{A}' satisfy the same hypotheses as P, \mathcal{A} . It will be helpful in proving the rest of the assertions of the theorem to imagine an intermediate step in this process

$$P \rightsquigarrow P'' \rightsquigarrow P'$$

where P'' is obtained from P' by adding an edge from v to v' as shown in Figure 1.

To prove the first assertion, note that P, P'' are obviously homotopy equivalent (shrink v' toward v along the edge between them), and since $G_{vis}(P'', \mathcal{A}')$ is still biconnected, we can apply the second assertion of the previous lemma to show that P' is homotopy equivalent to the one-point wedge of P'' with a circle.

To prove the second assertion, by the third assertion of the previous lemma, $\Delta_{vis}(P'', \mathcal{A}')$ is homotopy equivalent to the one-point wedge of $\Delta_{vis}(P', \mathcal{A}')$ with a circle. However, it is not quite as obvious that $\Delta_{vis}(P, \mathcal{A}), \Delta_{vis}(P'', \mathcal{A}')$ are homotopy equivalent. However this is still true, since we showed in the proof of the previous lemma that the edge \hat{e} spanned by v, v' in $\Delta_{vis}(P'', \mathcal{A}')$ is maximal, because the edge from v to v' was maximal in P'' . Hence we can still “shrink down” v' toward v in $\Delta_{vis}(P'', \mathcal{A}')$ along the maximal edge \hat{e} , and the result is $\Delta_{vis}(P, \mathcal{A})$.

The third assertion is obvious.

The fourth assertion is easy, since the number of connected components of $link_{\Delta}(w)$ is unchanged for most vertices in going from P to P' , is one smaller for v , while v' contributes 0 to the sum for P' since its link is connected. \square

Corollary 9. *In proving Theorems 1 and 2, it suffices to consider the case where P is a connected \mathcal{A} -triangulable 2-manifold with boundary in \mathbb{R}^2 .*

Proof. By Lemmas 5-8, we may assume (using induction on various quantities) that P is connected, has no maximal edges, and has the link of every vertex connected. We claim that this implies P is a manifold with boundary.

To see this, choose some point $p \in P$, and we will show that it has a neighborhood homeomorphic to either \mathbb{R}^2 or the upper half-plane \mathbb{R}_+^2 . If p lies in the interior of a 2-simplex in the \mathcal{A} -triangulation Δ of P , then it has a neighborhood in this 2-simplex homeomorphic to \mathbb{R}^2 . If p lies in the interior of a 1-simplex of Δ , then this 1-simplex lies either in one or two 2-simplices, since P has no maximal edges. But this then implies p has a neighborhood inside these 2-simplices homeomorphic to either \mathbb{R}_+^2 or \mathbb{R}^2 respectively. Lastly, if p is a 0-simplex of Δ , then its link $link_{\Delta}(v)$ is a connected 1-dimensional simplicial complex, in which every vertex has degree at most 2 (else Δ would not embed in \mathbb{R}^2). Therefore the link is either a path or a circle, so p has a neighborhood homeomorphic to either \mathbb{R}_+^2 or \mathbb{R}^2 , respectively, inside $star_{\Delta}(v) = v * link_{\Delta}(v)$. \square



In preparation for the following two sections, we establish some notation and terminology about (P, \mathcal{A}) when P is a connected 2-manifold with boundary in \mathbb{R}^2 , and \mathcal{A} is in general position.

Since P is a 2-manifold with boundary embedded in \mathbb{R}^2 , it follows that its boundary is a collection $\{C_i\}_{i=1}^k$ of polygonal \mathcal{A} -triangulable Jordan curves, and there will be one such curve C containing all the rest inside it.

Given a vertex v in $\mathcal{A} \cap C$ having nearest neighbors v', v'' on C , we will say C *bends inward at v* if the interior angle between $\overrightarrow{vv'}$ and $\overrightarrow{vv''}$ (i.e., the angle swept out by a ray emanating from v and pointing toward the *inside* of the Jordan curve C) has measure less than π radians. Note that any polygonal Jordan curve has at least one vertex v at which it bends inward.

IV. Proof of Theorem 1.

We recall here the statement of Theorem 1:

Theorem 1. *Let \mathcal{A} be a finite set of points in general position in \mathbb{R}^2 . Then $\Delta_{vis}(P, \mathcal{A})$ is homotopy equivalent to P .*

The proof of Theorem 1 is essentially a deletion-contraction argument, using induction on the cardinality $|\mathcal{A}|$. By Corollary 9, we may assume P is a 2-manifold with boundary, and we let C, v, v' , and v'' be as in the end of the previous section, and assume that C bends inward at v .

We define three other pairs of spaces and vertex sets

$$(P_{del}, \mathcal{A}_{del}), (P_{star}, \mathcal{A}_{star}), (P_{link}, \mathcal{A}_{link})$$

as follows:

$$P_{del} = \bigcup_{\substack{A \subseteq \mathcal{A} - v \\ conv(A) \subseteq P}} conv(A)$$

$$P_{star} = \bigcup_{\substack{v \in A \subseteq \mathcal{A} \\ conv(A) \subseteq P}} conv(A)$$

$$P_{link} = \bigcup_{\substack{v \in A \subseteq \mathcal{A} \\ conv(A) \subseteq P}} conv(A - v)$$

$$\mathcal{A}_{del} = \mathcal{A} - v$$

$$\mathcal{A}_{star} = \{v' \in \mathcal{A} : conv(v, v') \subseteq P\}$$

$$\mathcal{A}_{link} = \mathcal{A}_{star} - v.$$

Theorem 1 will follow from these two lemmas:

Lemma 10.

- (1) P_{del} is an \mathcal{A}_{del} -triangulable space.
- (2) $del_{\Delta_{vis}(P, \mathcal{A})}(v) \cong \Delta_{vis}(P_{del}, \mathcal{A}_{del})$.
- (3) P_{del} is homotopy equivalent to P .

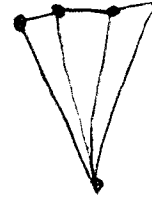
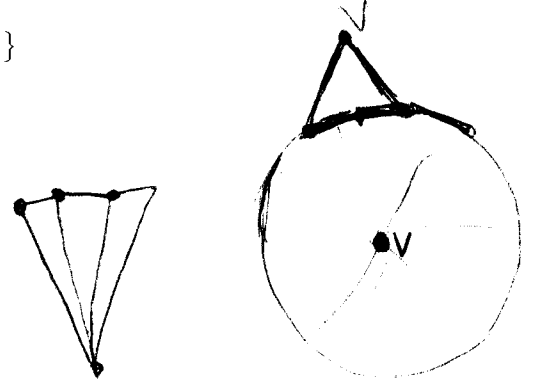
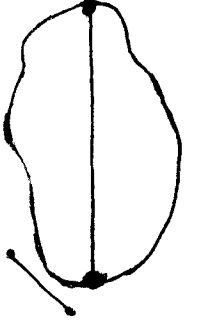
Lemma 11.

- (1) P_{link} is an \mathcal{A}_{link} -triangulable space.
- (2) $link_{\Delta_{vis}(P, \mathcal{A})}(v) \cong \Delta_{vis}(P_{link}, \mathcal{A}_{link})$.
- (3) P_{link} is contractible.

To see why Theorem 1 follows from these lemmas (and for other purposes) we will make use of the following well-known fact about simplicial complexes (see e.g. [Bj2, Lemma 10.3]):

Lemma 12. *In a simplicial complex Δ , if the link of a vertex v is contractible, then the deletion $del_{\Delta}(v)$ is a deformation retract of Δ . ← HOW DO YOU PROVE THIS?*

Using Lemma 11 assertion (2), we have that $link_{\Delta_{vis}(P, \mathcal{A})}(v) \cong \Delta_{vis}(P_{link}, \mathcal{A}_{link})$, and $\Delta_{vis}(P_{link}, \mathcal{A}_{link})$ is homotopy equivalent to the space P_{link} by induction on $|\mathcal{A}|$, so it is contractible by Lemma 11 assertion (3). Hence $\Delta_{vis}(P, \mathcal{A})$ is homotopy equivalent to $del_{\Delta_{vis}(P, \mathcal{A})}(v)$ by Lemma 12, and by Lemma 10 assertion 2 this is isomorphic to $\Delta_{vis}(P_{del}, \mathcal{A}_{del})$. But this is homotopy equivalent to P_{del} by induction, and hence to P by Lemma 10 assertion (3).



Therefore it only remains to prove Lemmas 10 and 11.

Proof of Lemma 10. Our strategy will be to change the given \mathcal{A} -triangulation Δ of P into another triangulation Δ' which restricts to a triangulation of \mathcal{A}_{del} . To this end, denote by $v' = v_1, v_2, \dots, v_{k-1}, v_k = v''$ the sequence of vertices in the path $link_{\Delta}(v)$ (we know this link is a path from v' to v'' , since v is a boundary vertex in the triangulation of a 2-manifold with boundary, and v', v'' are its nearest neighbors in the boundary). It follows that $star_{\Delta}(v)$ is the subcomplex generated by the triangles $conv(v, v_i, v_{i+1})$ for $i = 1, 2, \dots, k-1$ (see Figure 2a), and we will only change Δ within this subcomplex to obtain Δ' , leaving the rest of Δ alone. So for our purposes, one may as well excise the rest, replacing P, Δ with $\|star_{\Delta}(v)\|, star_{\Delta}(v)$, and replacing \mathcal{A} with $\mathcal{A} \cap star_{\Delta}(v)$.

Now let $v' = w_1, w_2, \dots, w_{k'-1}, w'_k = v''$ be the vertices in the path which forms the part of the boundary of $conv((\mathcal{A} - v) \cap star_{\Delta}(v))$ which is not “obscured” from v , i.e. those points x in $conv((\mathcal{A} - v) \cap star_{\Delta}(v))$ for which $conv(x, v) \subseteq P$ and there are no other points of $conv((\mathcal{A} - v) \cap star_{\Delta}(v))$ on $conv(x, v)$ (see Figure 2a). By the definition of “obscuring” the two paths $v' = v_1, v_2, \dots, v_{k-1}, v_k = v''$ and $v' = w_1, w_2, \dots, w_{k'-1}, w'_k = v''$ fit together at their endpoints v', v'' , with the latter path always weakly “farther” from v than the first, and so together they bound a sequence of Jordan curves in the plane (Figure 2b). We then obtain Δ' by replacing the triangulation of $star_{\Delta}(v)$ with arbitrary triangulations of the interiors of these Jordan curves as in Lemma 4, and then adding the triangles $conv(v, w_i, w_{i+1})$.

We now claim that $del_{\Delta'}(v)$ triangulates P_{del} . To see this note that every simplex of $del_{\Delta'}(v)$ lies in P_{del} since it lies in P and doesn't involve the vertex v . Conversely, we need to show every point x of P_{del} lies in some simplex of $del_{\Delta'}(v)$. Such a point x lies in some simplex F of Δ , and if F does not involve v , then it is still a simplex of Δ' . If F does involve v , then we still must have that x lies in $star_{\Delta}(v)$, but on the “other side” of the path $v' = w_1, w_2, \dots, w_{k'-1}, w'_k = v''$ from v (by the definition of “obscuring” and the fact that $x \in P_{del}$). So x ends up inside one of the sequences of Jordan curves from the previous paragraph, which means it lies in $del_{\Delta'}(v)$.

From this claim, assertion (1) of Lemma 10 is now clear, and assertion (3) follows from Lemma 12, since $link_{\Delta'}(v)$ is the contractible path $v' = w_1, w_2, \dots, w_{k'-1}, w'_k = v''$. Assertion (2) is obvious once we know that P_{del} is \mathcal{A}_{del} -triangulable. \square

Proof of Lemma 11. Rather than showing the assertions of Lemma 11 directly, note that if we can show P_{star} is an \mathcal{A}_{star} -triangulable contractible space, then Lemma 11 immediately follows from Lemma 10 applied with $\mathcal{A} = \mathcal{A}_{star}$ and $P = P_{star}$. Contractibility of P_{star} is obvious since it is star-shaped with respect to v .

Therefore our strategy will be to change the given \mathcal{A} -triangulation Δ of P into another triangulation which restricts to a triangulation of \mathcal{A}_{star} . To do this, we proceed in two steps:

Step 1. In the first step, we change Δ into a triangulation Δ' which uses every edge $conv(v, w)$ for which $w \in \mathcal{A}$ and $conv(v, w) \subseteq P$. To see this can be done, assume that w is such a vertex which is *not* in such an edge of Δ , and we will show that one can alter Δ so as to increase the number of such edges.

Consider the set of triangles (2-simplices) $\{T_i\}$ in Δ which one crosses while traversing the line segment from v to w . By our general position assumption, the

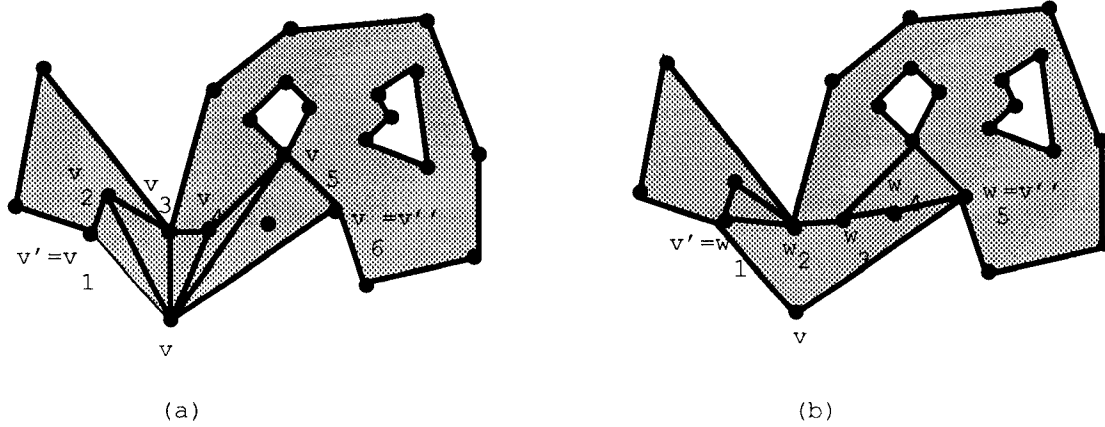


FIGURE 2. Changing Δ to Δ' .

line segment crosses the boundaries of each T_i through the interior of an edge, and hence the union of the T_i 's generates a subcomplex T of Δ whose boundary consists of two paths L_1, L_2 from v to w , with the two paths separated by the line segment $conv(v, w)$ (see Figure 3a).

Lemma 4

Therefore $conv(v, w) \cup L_1, conv(v, w) \cup L_2$ form two polygonal Jordan curves, and if we triangulate their interiors arbitrarily, we can replace the subcomplex T in Δ with this new triangulation to obtain Δ' . Since we did not remove any edges between v and other vertices, but added the edge from v to w , this does what was promised, and Step 1 is complete.

Step 2. Now let Δ' be any \mathcal{A} -triangulation of P satisfying these two properties:

- (1) Every vertex w in \mathcal{A} which is visible from v lies inside $\|star_{\Delta'}(v)\|$.
- (2) The set of vertices contained in $link_{\Delta'}(v)$ is minimal under inclusion among all triangulations satisfying (1).

We know from Step 1 that one can produce a Δ' satisfying (1), and hence one can find one that also satisfies (2).

We now claim, that such a Δ' has $star_{\Delta'}(v)$ triangulating \mathcal{A}_{star} . To prove this, note that every simplex in $star_{\Delta'}(v)$ lies in \mathcal{A}_{star} by definition. Conversely, we need to show every point x of P_{star} lies in some simplex of $star_{\Delta'}(v)$.

By definition such a point x lies in some set $conv(A) \subseteq P$ where $v \in A$ and so it is easy to see that x must lie in some triangle $conv(v, w, w')$ where $w, w' \in A$. Since both w, w' are visible from v , they lie inside $\|star_{\Delta'}(v)\|$. If x also lies inside $\|star_{\Delta'}(v)\|$ we are done, so assume not and we will reach a contradiction. It is easy to see (Figure 3b) that if $x \notin \|star_{\Delta'}(v)\|$, there must be some triple of vertices u', u, u'' which are consecutive (in this order) on the path $link_{\Delta'}(v)$, and for which $u \in conv(v, u', u'')$. But we claim then that there are no points in $\mathcal{A} \cap conv(u, u', u'')$, since they would be visible from v but not contained in $\|star_{\Delta'}(v)\|$. This then allows us to do an argument like the one in the second paragraph of the proof of Lemma 10 (replacing v, v', v'' by u, u', u'') to show that we can alter Δ' outside of $star_{\Delta'}(v)$ so that $conv(u, u', u'')$ forms a triangle in the triangulation. Then we can replace the three triangles $conv(v, u, u'), conv(v, u, u''), conv(u, u', u'')$ in this triangulation with the single triangle $conv(v, u', u'')$, eliminating u as a vertex in $link_{\Delta'}(v)$, and contradicting the minimality of Δ' . \square

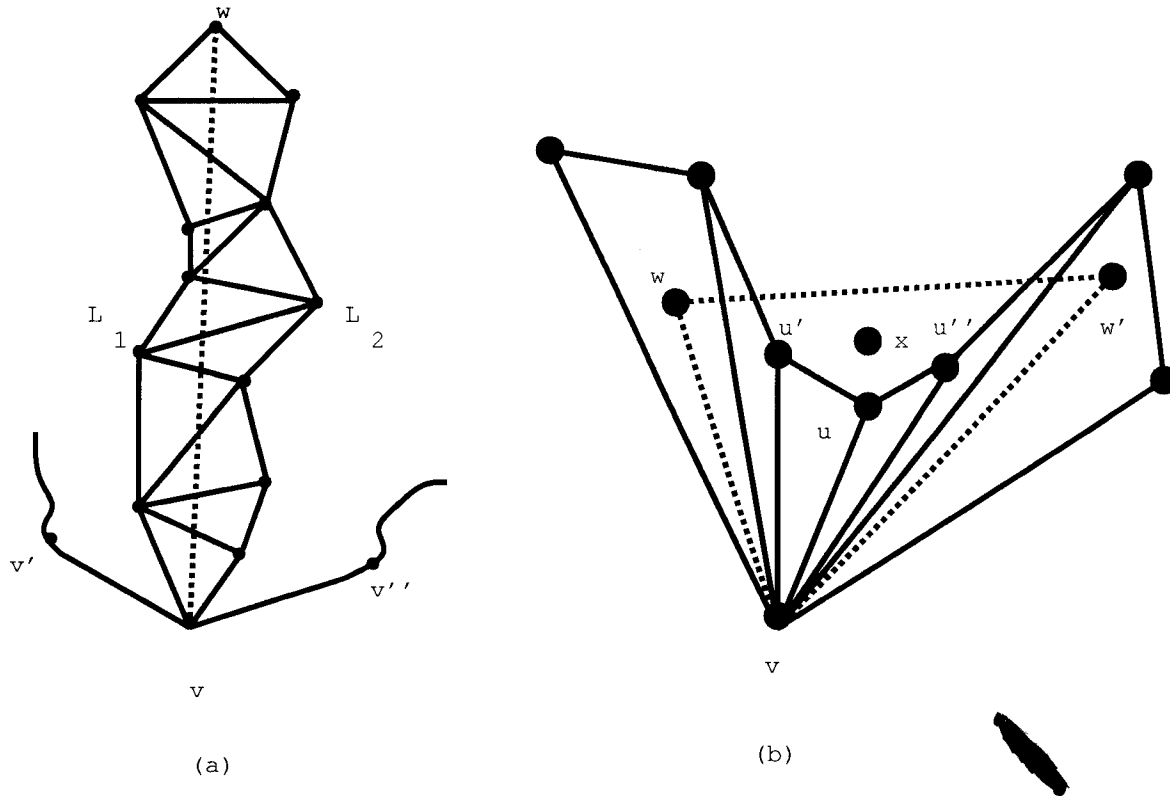


FIGURE 3. (a) Step 1 (b) Step 2

This completes the proof of Theorem 1. Before closing this section, we mention a stronger statement which we were not able to prove. Note that for any \mathcal{A} -triangulable space P in \mathbb{R}^d , there is an obvious surjection

$$\phi : \|\Delta_{vis}(P, \mathcal{A})\| \rightarrow P$$

induced by the map which sends a vertex $v \in \mathcal{A}$ to the point $v \in \mathbb{R}^d$ which it represents.

Conjecture 13. *For \mathcal{A} in general position in \mathbb{R}^2 , the map ϕ induces the homotopy equivalence between $\Delta_{vis}(P, \mathcal{A})$ and P .*

V. Proof of Theorem 2.

In this section we prove Theorems 2 and 3, again using a variant of the deletion-contraction method, in combination with Quillen’s Fiber Lemma. We should mention that the relation between deletion and contraction and *regular* triangulations of \mathcal{A} (when $P = conv(\mathcal{A})$) was studied by Billera, Gel’fand and Sturmfels [BGS] in the context of secondary polytopes. However the application of these ideas to an arbitrary \mathcal{A} -triangulable space P and the Baues problem appears to be new. We should perhaps also mention that this argument bears a slight resemblance to the proof of Theorem 1.2 in [BKS].

We recall here the statement of Theorem 2.

Theorem 2. *Let \mathcal{A} be a finite set of points in general position in \mathbb{R}^2 . Then $Dec(P, \mathcal{A})$ is contractible.*

As shown in Section III it suffices to prove Theorem 2 when P is a 2-manifold with boundary. We let v, v', v'' and C have the same meaning as in the end of that section.

Let $v'/v, v''/v$ be points chosen close enough to v on the rays $\overrightarrow{vv'}, \overrightarrow{vv''}$ respectively, so that the triangle $\text{conv}(v, v'/v, v''/v)$ lies in P and contains no other points of \mathcal{A} . Define the *vertex figure* P/v of P at v to be the line segment $\text{conv}(v'/v, v''/v)$. When $P = \text{conv}(\mathcal{A})$ this notion of vertex figure coincides with the classical notion of the vertex figure of v on the boundary of the polygon P (see [Gr, page 49]). Also define the *contraction* \mathcal{A}/v to be the collection of points $\{w/v\}$ on the line segment P/v obtained by taking each point $w \in \mathcal{A}$ visible from v , and intersecting the line segment $\text{conv}(v, w)$ with P/v . Note that because of our general position assumption on \mathcal{A} , all the points $\{w/v\}_{w \in \mathcal{A}}$ are distinct. Clearly P/v is an \mathcal{A}/v -triangulable space. Therefore we have the notion of its decomposition poset $\text{Dec}(P/v, \mathcal{A}/v)$.

Define a map

$$f : \text{Dec}(P, \mathcal{A}) \rightarrow \text{Dec}(P/v, \mathcal{A}/v)$$

as follows: given $\delta = \{(P_i, \mathcal{A}_i)\} \in \text{Dec}(P, \mathcal{A})$, let $f(\delta) = \{(P_i/v, \mathcal{A}_i/v) : v \in P_i\}$, where P_i/v is the line segment connecting the two neighbors of v in the boundary of P_i , and $\mathcal{A}_i/v = \{w/v : w \in \mathcal{A}_i\}$. It is trivial to check this map is order-preserving.

We will make use of Quillen's Fiber Lemma [Bj2, (10.5)]:

Lemma 14. *Let $f : Q \rightarrow Q'$ be an order-preserving map of posets. If $f^{-1}(Q'_{\leq q'})$ is contractible for all $q' \in Q'$, then f induces a homotopy equivalence of the associated order complexes $\Delta(Q) \rightarrow \Delta(Q')$.*

We will refer to the subposet $f^{-1}(Q'_{\leq q'})$ in the theorem as the *Quillen fiber* of f at q' . Our immediate goal is to show that for the map f defined above, the non-empty Quillen fibers are indeed contractible, so we need to describe these fibers more concretely.

For $\delta = \{(P_i, \mathcal{A}_i)\} \in \text{Dec}(P, \mathcal{A})$, define the *deletion* $\text{del}_\delta(v)$ to be the union of all polygons P_i which do *not* contain v . By our remarks in Section 2, this is an $(\mathcal{A} \cap \text{del}_\delta(v))$ -triangulable space, and hence by induction has contractible decomposition poset $\text{Dec}(\text{del}_\delta(v), \mathcal{A} \cap \text{del}_\delta(v))$.

Lemma 15. *Let δ' be in the image of the map $f : \text{Dec}(P, \mathcal{A}) \rightarrow \text{Dec}(P/v, \mathcal{A}/v)$, and let δ be any pre-image of δ' , i.e., $f(\delta) = \delta'$. Then the Quillen fiber*

$$f^{-1}(\text{Dec}(P/v, \mathcal{A}/v)_{\leq \delta'})$$

is isomorphic to

$$\text{Dec}(P/v, \mathcal{A}/v)_{\leq \delta'} \times \text{Dec}(\text{del}_\delta(v), \mathcal{A} \cap \text{del}_\delta(v)).$$

Proof. Every $\gamma = \{(P_i, \mathcal{A}_i)\}$ in $f^{-1}(\text{Dec}(P/v, \mathcal{A}/v)_{\leq \delta'})$ breaks into two pieces: the (P_i, \mathcal{A}_i) for which $v \in P_i$, giving rise to an element of $\text{Dec}(P/v, \mathcal{A}/v)$ which refines δ' , and the (P_i, \mathcal{A}_i) for which $v \notin P_i$, giving rise to an element of $\text{Dec}(\text{del}_\delta(v), \mathcal{A} \cap \text{del}_\delta(v))$. Conversely, any such pair in the above Cartesian product gives rise to an element γ in $f^{-1}(\text{Dec}(P/v, \mathcal{A}/v)_{\leq \delta'})$. \square

is this a cube?
yes...
almost.

The two factors of the Quillen Fiber described in Lemma 15 are both contractible; the first is contractible since it is a principal order ideal in the poset $Dec(P/v, \mathcal{A}/v)$, and the second is contractible by induction on $|\mathcal{A}|$. Therefore by Lemma 15 we conclude that $Dec(P, \mathcal{A})$ is homotopy equivalent to the image of the map f . It therefore only remains to identify the image of f , and prove that it is contractible. We first identify the image.

Let $Dec_{vis}(P/v, \mathcal{A}/v)$ be the subposet of $Dec(P/v, \mathcal{A}/v)$ consisting of those $\delta = \{(P_i/v, \mathcal{A}_i/v)\}$ in which each 1-polygon (line segment) P_i/v occurring satisfies

$$conv(\mathcal{A}_i \cup v) \subseteq P, \quad \circ \ll \checkmark$$

where \mathcal{A}_i is the set $\{w\}_{w/v \in \mathcal{A}_i/v}$. It is easy to see that this subposet is an order ideal in $Dec(P/v, \mathcal{A}/v)$, and it follows immediately from the definition of the map f that its image lies in $Dec_{vis}(P/v, \mathcal{A}/v)$. It is not quite as obvious that this is exactly the image of f : ← Subjective

Lemma 16. *The image of $f : Dec(P, \mathcal{A}) \rightarrow Dec(P/v, \mathcal{A}/v)$ is $Dec_{vis}(P/v, \mathcal{A}/v)$.* ←

Proof. By the previous remarks, it suffices to show that for any

$$\delta' = \{(P_i/v, \mathcal{A}_i/v)\}_{i=1}^k \in Dec_{vis}(P/v, \mathcal{A}/v),$$

there is some $\delta \in Dec(P, \mathcal{A})$ with $f(\delta) = \delta'$. For each $i = 1, 2, \dots, k$, let \mathcal{A}_i be the set $\{w\}_{w/v \in \mathcal{A}_i/v}$ and $P_i = conv(\mathcal{A}_i)$. This yields a set $\{(P_i, \mathcal{A}_i)\}_{i=1}^k$, and we wish to extend this set by more polygons $\{(P_i, \mathcal{A}_i)\}_{i=k+1}^l$ to obtain a polytopal decomposition $\delta = \{(P_i, \mathcal{A}_i)\}_{i=1}^l$ of P . If this can be done, then clearly $f(\delta) = \delta'$.

To do this, we need only show that P has an \mathcal{A} -triangulation Δ' in which $star_{\Delta'}(v)$ is a triangulation of $\bigcup_{i=1}^k P_i$, since then the maximal simplices in $del_{\Delta'}(v)$ will give us the remaining $\{(P_i, \mathcal{A}_i)\}_{i=k+1}^l$ that are needed to make up δ . So start with the triangulation Δ that was constructed in the proof of Lemma 11, i.e. one such that $star_{\Delta}(v)$ is an \mathcal{A}_{star} -triangulation of P_{star} . Since $\bigcup_{i=1}^k P_i \subseteq P_{star}$, the paths $link_{\Delta}(v)$ and $\bigcup_{i=1}^k link_{P_i}(v)$ (where $link_{P_i}(v)$ means the boundary segments of P_i which do not contain v as a vertex) both connect v' to v'' , and bound a sequence of polygonal Jordan curves between them (see Figure 4a). Therefore if we replace $star_{\Delta}(v)$ in Δ with any triangulation of these Jordan curves (as in Lemma 4) and any refinement of $\bigcup_{i=1}^k link_{P_i}(v)$ to a triangulation, we get what we want. □

It therefore only remains in the proof of Theorem 2 to show that $Dec_{vis}(P/v, \mathcal{A}/v)$ is contractible, which we will do using a second deletion-contraction/Quillen fiber argument, via induction on $|\mathcal{A}|$.

First of all, it should be fairly clear that when considering $Dec_{vis}(P/v, \mathcal{A}/v)$, the part of P outside P_{star} is irrelevant, and therefore we may as well excise it and assume $P = P_{star}$. Define a map

$$g : Dec_{vis}(P/v, \mathcal{A}/v) \rightarrow 2^{\mathcal{A}}$$

as follows: if $\delta = \{(P_i/v, \mathcal{A}_i/v)\}_{i=1}^k \in Dec_{vis}(P/v, \mathcal{A}/v)$ then there is a unique line segment P_1/v containing the vertex $v'/v \in P/v$, and we set

$$g(\delta) = \{w \in \mathcal{A} : w/v \in \mathcal{A}_1/v, w \neq v'\}.$$

It is easy to check this map is order-preserving, if we order $2^{\mathcal{A}}$ by inclusion of sets.

Let $(\tilde{P}, \tilde{\mathcal{A}})$ denote the pair obtained from (P, \mathcal{A}) by doing the $(P_{link}, \mathcal{A}_{link})$ construction with respect to v . Then, let $(\hat{P}, \hat{\mathcal{A}})$ denote the pair obtained from $(\tilde{P}, \tilde{\mathcal{A}})$ by doing the $(\tilde{P}_{link}, \tilde{\mathcal{A}}_{link})$ construction with respect to v' (since one can easily check that the boundary curve of \tilde{P} must bend inward at v'). Notice that the sets A which are in the image of g must have the property that $conv(A \cup \{v, v'\}) \subseteq P$. Therefore the image of the map g lies in $\Delta_{vis}(\hat{P}, \hat{\mathcal{A}})$. In fact, this characterizes the image:

Lemma 17. *The image of g is $\Delta_{vis}(\hat{P}, \hat{\mathcal{A}})$.*

Proof. By the previous remarks, we need to show that any set $A \subseteq \mathcal{A} - \{v, v'\}$ for which $conv(A \cup \{v, v'\}) \subseteq P$ is in the image of g . Tracing this back through the definition of g , we need to show there is a $\delta' \in Dec(P/v, \mathcal{A}/v)$ having $conv(A/v)$ as one of its line segments, which is equivalent by Lemma 16 to showing there is a $\delta \in Dec(P, \mathcal{A})$ having $conv(A \cup \{v, v'\})$ as one of its polygons. It would suffice then to show that we can extend some triangulation of $conv(A \cup \{v, v'\})$ to a triangulation of P . This follows by the usual argument. The area $P - conv(A \cup \{v, v'\})$ is bounded by a sequence of Jordan curves formed by all the boundary edges of $conv(A \cup \{v, v'\})$ except $conv(v, v')$, and the edges of the link of v in the triangulation Δ constructed in Lemma 11 which has $star_{\Delta}(v)$ triangulating $P = P_{star}$ (Figure 4b). Therefore one can triangulate the inside of each of these Jordan curves arbitrarily by Lemma 4. \square

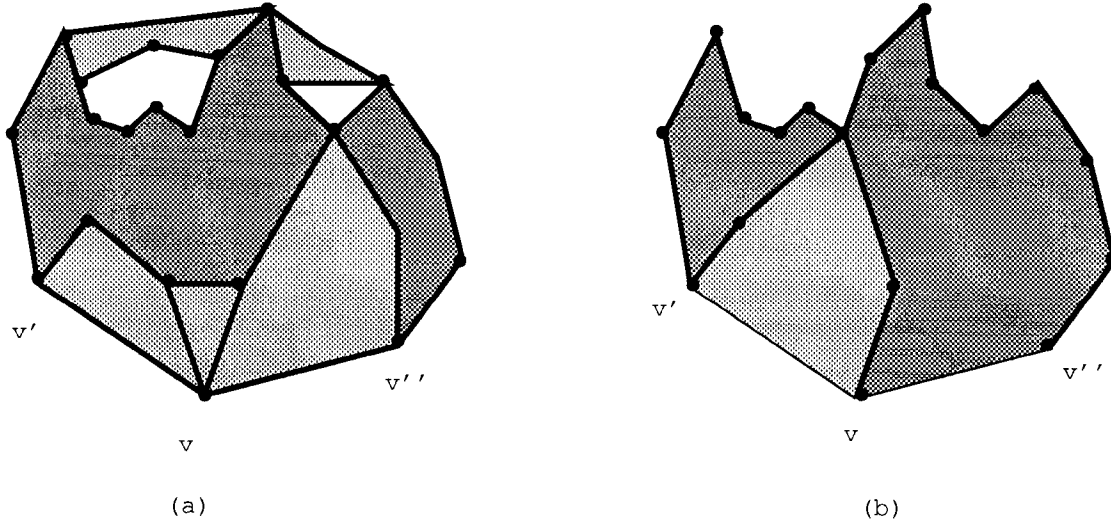


FIGURE 4. Sequences of Jordan curves

Since \hat{P} comes from applying the $P \rightsquigarrow P_{link}$ construction, it is contractible by Lemma 11, and hence $\Delta_{vis}(\hat{P}, \hat{\mathcal{A}})$ is contractible by Theorem 1. Therefore the contractibility of $Dec_{vis}(P/v, \mathcal{A}/v)$ will follow from Lemma 14, if we can show that the Quillen fibers of g are contractible.

Lemma 18. *Let $A \in \Delta_{vis}(\hat{P}, \hat{\mathcal{A}})$, i.e.,*

$$A \subseteq \mathcal{A} - \{v, v'\} \text{ and } conv(A \cup \{v, v'\}) \subseteq P$$

and let $w \in A$ be such that w/v is the farthest vertex from v'/v in A/v on the line segment P/v . Then the Quillen Fiber

$$g^{-1}(\Delta_{vis}(\hat{P}, \hat{A})_{\leq A}) \cong \begin{cases} 2^{A-w} \times Dec_{vis}(P'/v, A'/v) & \text{if } w \neq v'' \\ 2^{A-w} & \text{if } w = v'' \end{cases}$$

where P' is an A' -triangulable 2-manifold with boundary bending inward at v , and $|A'| < |A|$.

Proof. Any $\delta \in g^{-1}(\Delta_{vis}(\hat{P}, \hat{A})_{\leq A})$ is completely determined by two pieces of data: (1) the set of its endpoints (other than $v'/v, w/v$) used in the line segments with which it subdivides the line segment $conv(v'/v, w/v)$, and (2) its restriction to a polytopal decomposition of $P/v - conv(v'/v, w/v)$. If $w \neq v''$, then the latter restriction is an element of $Dec_{vis}(P'/v, A'/v)$, where P' is the closure of the interior of the unique Jordan curve containing v'' in the proof of Lemma 17, and $A' = A \cap P'$. Conversely any such pair in $2^{A-w} \times Dec_{vis}(P'/v, A'/v)$ gives rise to an element in the fiber. \square

The contractibility of $Dec_{vis}(P/v, A/v)$ now follows, since both factors in the Quillen fiber are contractible: 2^{A-w} is a poset with a maximum element, and $Dec_{vis}(P'/v, A'/v)$ is contractible by induction on A .

The proof of Theorem 2 is now complete. From the proof we deduce Theorem 3.

Theorem 3. *Assume A is in general position in \mathbb{R}^2 . If $P = conv(A)$, then $Dec(P, A)$ has a unique top element $\hat{1}$, and $Dec(P, A) - \hat{1}$ is homotopy equivalent to a sphere of dimension $|A| - 3$.*

Proof. When P is convex, i.e. $P = conv(A)$, the map $f : Dec(P, A) \rightarrow Dec(P/v, A/v)$ is a surjection since $Dec(P/v, A/v) = Dec_{vis}(P/v, A/v)$. Furthermore, the top element $\hat{1}$ in $Dec(P, A)$ is the polytopal decomposition $\{(P, A)\}$, having a single polygon, and this is the unique pre-image of the top element $\hat{1}/v$ of $Dec(P/v, A/v)$ consisting of the single pair $\{(P/v, A/v)\}$. Therefore $Dec(P, A) - \hat{1}$ surjects onto $Dec(P/v, A/v) - \hat{1}/v$, and induces a homotopy equivalence since we have already shown the fibers are contractible. But $Dec(P/v, A/v) - \hat{1}/v$ is well-known (see e.g. [BKS, Example 3.1]) to be the face poset of the boundary of an $(|A/v| - 2)$ -cube: identify the vertices of the cube with the subsets of vertices (other than $v'/v, v''/v$) used in the triangulations of P/v , which are the minimal elements of $Dec(P/v, A/v) - \hat{1}/v$. Since $|A/v| = |A| - 1$, Theorem 3 follows. \square

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