

References

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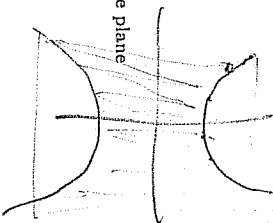
ON THE NUMBER OF TRIANGULATIONS OF PLANAR POINT SETS

NOTE

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Received November 1, 1995

We show that the number of straight edge triangulations of any set of  $n$  points in the plane is at most  $2^{12} 245113n - O(\log n)$ .



Let  $S$  be any set of  $n > 2$  points in the plane and let  $E$  be the set of  $\binom{n}{2}$  straight line segments joining points in  $S$ . A (straight edge) triangulation of  $S$  is a maximal subset  $T$  of  $E$  so that no two edges in  $T$  intersect except possibly at common endpoints. We are interested in  $g(n)$ , the maximum number of different triangulations of any planar set  $S$  with  $n$  points.

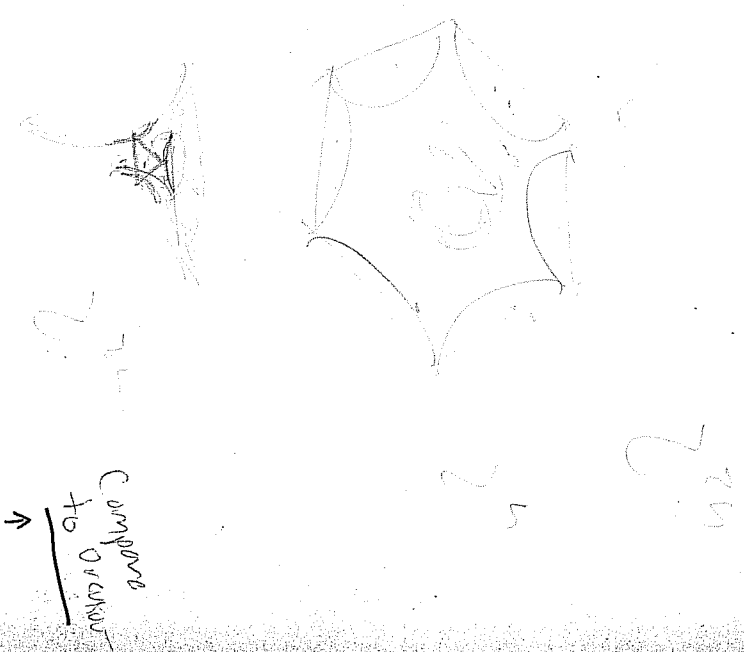
It is well known that if  $S$  is in convex position the number of its triangulations is given by the Catalan number  $C_{n-2} = \binom{2(n-2)}{n-2} / (n-1)$ . Using this it is easy to come up with a set of  $2n$  points [2] that has  $(C_{n-2})^2 \binom{2n-2}{n-1}$  triangulations: place  $n$  points each on the curves  $y = x^2 + 1$  and  $y = -x^2 - 1$  with  $x$ -coordinates between  $-1$  and  $+1$ . Thus  $g(n) \geq 2^{3n} - O(\log n)$ .

In this note we are interested in upper bounds for  $g(n)$ . In the more general context of crossing-free subgraphs Ajtai, Chvátal, Newborn, and Szemerédi [1] proved that  $g(n) \leq 2^{O(n)}$  must hold. Smith [4] proved a bound of  $g(n) \leq 173000^n$ . In this note we present a rather simple argument showing that  $g(n) \leq 2^{12 \cdot 245113n - O(\log n)}$ .

Let  $S$  now be a fixed set of  $n > 2$  points. Without loss of generality we assume that no three points of  $S$  are collinear. The idea of our proof is to show how one can encode any triangulation  $T$  of  $S$  in a binary string  $w(T)$  so that given  $S$  and string

Mathematics Subject Classification (1991):

\* Supported by NSF Presidential Young Investigator award CCR-9053440.



$w(T)$  the triangulation  $T$  can be reconstructed. If every possible encoding string has length  $f(n)$ , then clearly  $S$  cannot have more than  $2^{f(n)}$  different triangulations.

Our method was inspired by the planar point location method of Kirkpatrick [3]. Let  $T$  be a triangulation of  $S$ . If  $n=3$  there is only one possible triangulation of  $S$ , which can be encoded by the empty string. So assume  $n > 3$ . Since  $T$  forms a planar graph its vertices can be 4-colored, which implies that there is an independent set  $I$  of vertices of size  $\lceil n/4 \rceil$ . Remove  $I$  along with the incident edges from the triangulation  $T$  and retriangulate the "holes" thus created. This results in a triangulation  $T'$  of the set  $S' = S \setminus I$ . Let  $E' \subset T'$  be the set of edges created by retriangulating, i.e.  $E' = T' \setminus T$ . Our encoding of  $T$  will then be given recursively through

$$w(T) = \rho(S')\rho(E')w(T'),$$

i.e. the concatenation of a binary string  $\rho(S')$  encoding  $S'$  as a subset of  $S$ , a binary string  $\rho(E')$  encoding  $E'$  as a subset of  $T'$ , and the recursive binary encoding of the triangulation  $T'$  of  $S'$ .

At first we need to argue that the triangulation  $T$  of  $S$  can be reconstructed from  $w(T)$  and  $S$ . This can be done as follows: If  $|S| = 3$ , there is only one triangulation. Otherwise use  $\rho(S')$  to determine  $S' \subset S$  and recursively use  $w(T')$  to determine the triangulation  $T'$  of  $S'$ . Next remove from  $T'$  all edges described by  $\rho(E')$ . This leaves  $\lceil n/4 \rceil$  "holes" in  $T'$ , one for each point of  $I = S \setminus S'$ . To finally obtain  $T$  add to  $T' \setminus E'$  all edges connecting a point in  $I$  with a point in  $S'$  that do not cross any edge in  $T' \setminus E'$ .

Finally we need to bound the length of the binary string  $w(T)$ . This clearly depends on the subset encodings  $\rho(S')$  and  $\rho(E')$ . These are most easily done via characteristic vectors:  $S$  can be canonically ordered into  $p_1, \dots, p_n$ , say by lexicographic order of the coordinates; then one can use for  $\rho(S')$  the binary string  $s$  of length  $n$  with  $s_i = 1$  iff  $p_i \in S'$ . Similarly the edges in the triangulation  $T'$  can be canonically ordered into  $e_1, \dots, e_m$ , where  $m = |T'|$ , and one uses for  $\rho(E')$  the binary string  $t$  of length  $m$  with  $t_i = 1$  iff  $e_i \in E'$ . Note that  $m$  depends only on  $S'$  since every triangulation of  $S'$  has the same number of edges, namely

$$m = 3|S'| - 3 - \# \text{ of extreme points of } S' \leq 9n/4 - 6.$$

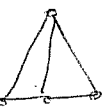
Thus the length  $f(n)$  of the string  $w(T)$  is bounded by

$$f(n) \leq n + 9n/4 - 6 + f(\lceil 3n/4 \rceil),$$

which with the boundary condition  $f(3) = 0$  implies  $f(n) \leq 13n - \Theta(\log n)$ .

This can be slightly improved by using a better encoding for  $\rho(S')$ . Since  $S'$  is a subset of  $S$  of size exactly  $\lceil 3n/4 \rceil$  there are only  $\binom{n}{\lceil 3n/4 \rceil}$  choices for  $S'$ , and hence  $S'$  can be encoded by a string of length  $\log_2 \binom{n}{\lceil 3n/4 \rceil}$ , where  $H(x) = -x \log_2 x - (1-x) \log_2 (1-x)$  we therefore get that  $S'$  can be encoded by a binary string of length  $H(3/4)n \leq 0.81128n$ .

where did he use general position? ya se! si  $u \in \partial P$



we don't leave a noticable hole

OK no problem

Unfortunately this type of improvement cannot be applied to  $\rho(E')$  since the size of  $E' \subset E$  can be anywhere between 0 and more than half of the size of  $E$ .

Thus  $f(n)$ , the length of the encoding string  $w(T)$ , satisfies

$$f(n) \leq H(3/4)n + 9n/4 - 6 + f(\lceil 3n/4 \rceil),$$

which yields the claimed bound of

$$f(n) \leq (4 \cdot H(3/4) + 9)n - \Theta(\log n) \leq 12.245113n - \Theta(\log n).$$

Note that typically a triangulation  $T$  of  $S$  will be correctly encoded by many strings  $w(T)$ . Thus our upper bound for  $g(n)$  is certainly not tight. Most likely the true value of  $g(n)$  is much closer to the known lower bound of  $2^{3n - \Theta(\log n)}$ .

#### References

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