

# Triangulations of Convex Polytopes and Point Configurations.

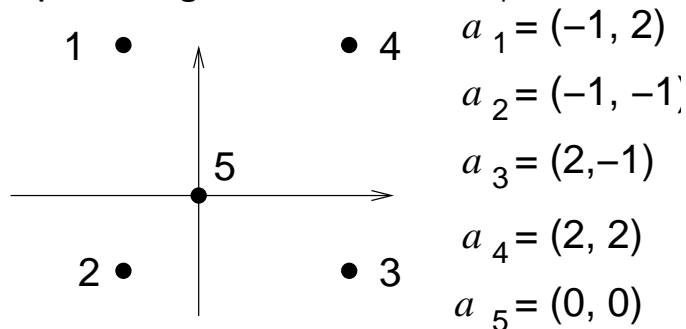
*Winter 2016*

Jesús A. De Loera



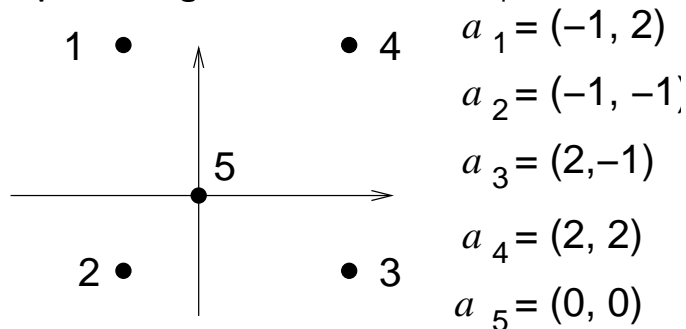
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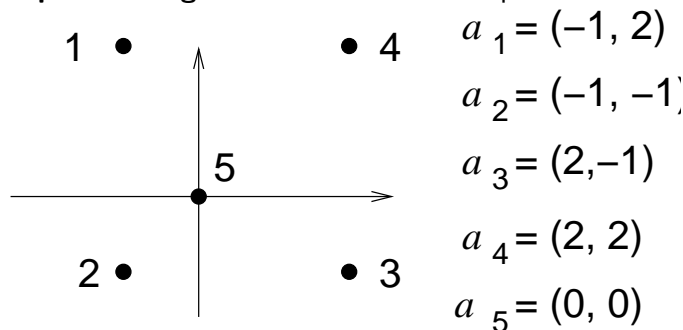
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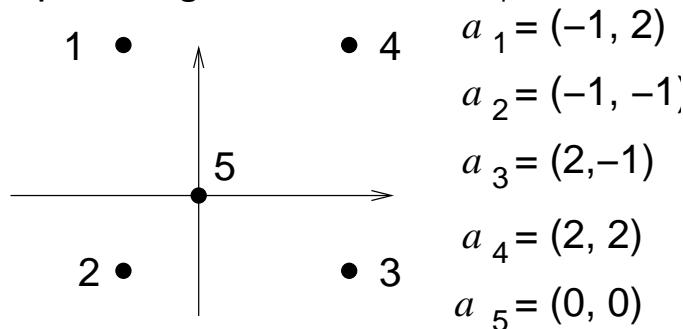


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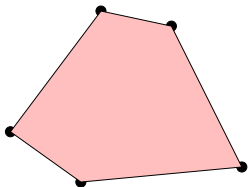


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We are particularly interested in the case  $\mathbf{A}$  is in **convex position**.

A convex **polytope** is the convex hull of finitely many points

$$\text{conv}(p_1, \dots, p_n) := \left\{ \sum \alpha_i p_i : \alpha_i \geq 0 \ \forall i = 1, \dots, n, \sum \alpha_i = 1 \right\}$$



A **simplex** is the convex hull of any set of **affinely independent points**.

A **triangulation** of a point configuration  $\mathbf{A}$  is collection  $\Gamma$  of simplices that partitions the convex hull of  $\mathbf{A}$  such that

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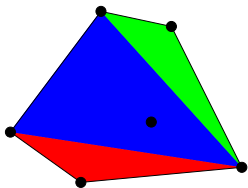
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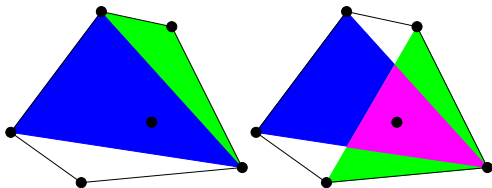
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**Remark:** We do **not** need to use all (interior) points!, but cannot add points

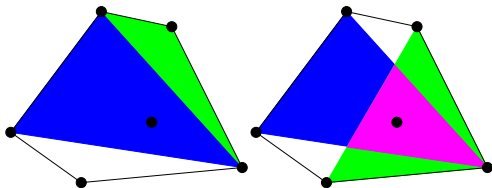


- ▶ Let  $F$  be a  $k$ -dimensional face of a  $d$ -dimensional polytope  $P$ . And let  $T$  be a triangulation of  $P$ . Denote by  $T^k$  the  $k$ -dimensional faces of all the simplices of  $T$ . Prove that the set  $\{\tau : \tau \subset F, \tau \in T^k\}$  is a triangulation of  $F$ .
- ▶ Let  $D$  be a compact subset of a polytope  $P \subset \mathbb{R}^d$ . Prove that  $D$  meets any triangulation  $T$  of  $P$  only in a finite number of simplices.
- ▶ Let  $T$  be a triangulation of a  $d$ -polytope. Prove that if  $\sigma$  is a  $d - 1$  simplex inside  $T$  it is either a facet of either 1  $d$ -simplex or 2  $d$ -simplices of  $T$

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**Remark:** We have a simplicial complex, a ball, with an explicit coordinate realization!

Given a triangulation  $T$  of a polytope, the **diameter** of a simplex  $\sigma \in T$  is given by

$$\text{diam}(\sigma) = \max\{\|x - y\| : x, y \in \sigma\}$$

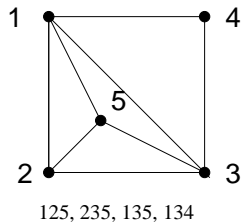
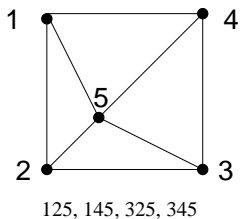
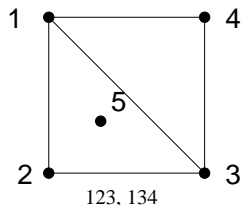
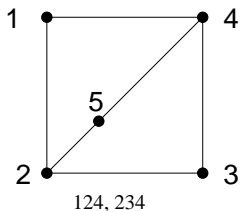
The **mesh size** of the triangulation  $T$  is given by the

$$\text{mesh}(T) = \sup\{\text{diam}(\sigma) : \sigma \in T\}$$

By adding more and more points and refining the triangulation we reduce the mesh size.

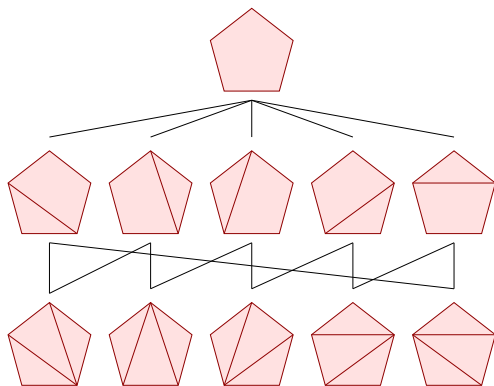
# All triangulations of a point configuration

When the number of vertices allowed is finite, we have finitely many possible triangulations.



**BIG WISH** to give structure to the set of all triangulations!!

**Important:** There is a natural generalization to **subdivisions**:  
Pieces are not simplices!



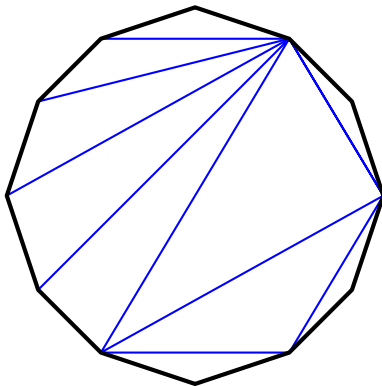
Subdivisions form a partially ordered set:

$\Gamma_1 < \Gamma_2$  if  $\Gamma_1$  is finer than  $\Gamma_2$ .



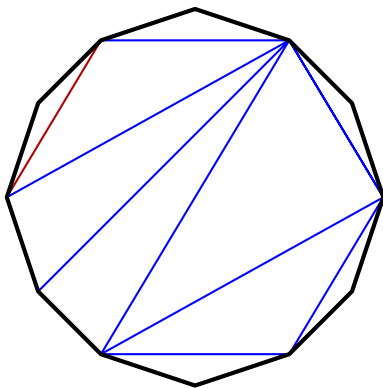
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To triangulate the  $n$ -gon, you just need to insert  $n - 3$  non-crossing diagonals:

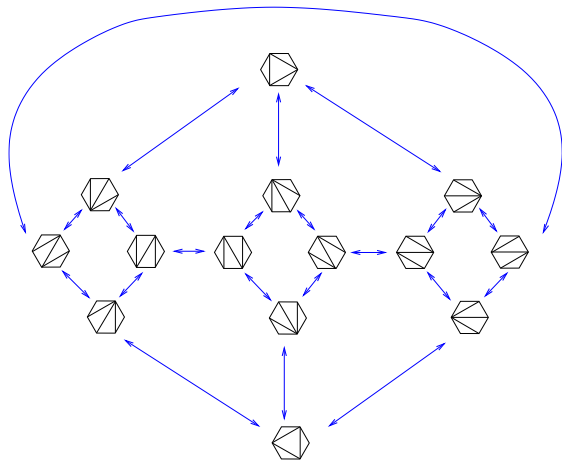


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# The flips of a Hexagon



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$n$	0	1	2	3	4	5	6
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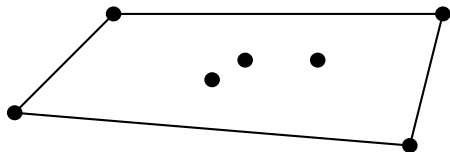
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**THIS HAS FAR REACHING GENERALIZATIONS!!**

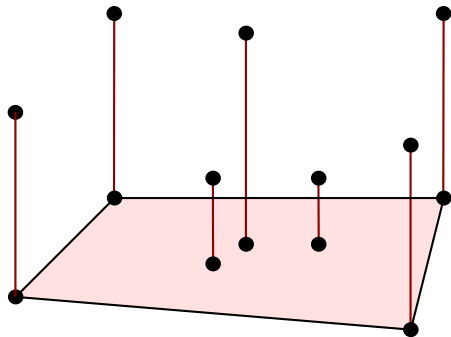
# Regular triangulations

Let  $A = \{a_1, \dots, a_n\} \subset \mathbb{R}^d$  be a vector configuration. Let  $h = (h_1, \dots, h_n) \in \mathbb{R}^n$  be a vector of **heights**.



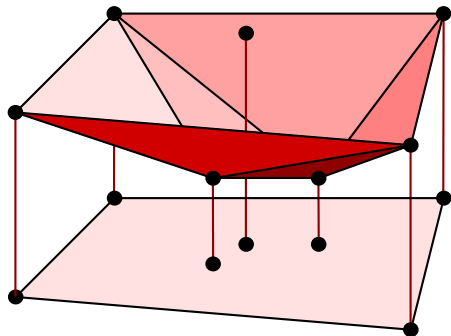
# Regular triangulations

Consider the *lifted* configuration  $\tilde{A} = \begin{Bmatrix} a_1 & \cdots & a_n \\ h_1 & \cdots & h_n \end{Bmatrix} \subset \mathbb{R}^{d+1}$ .



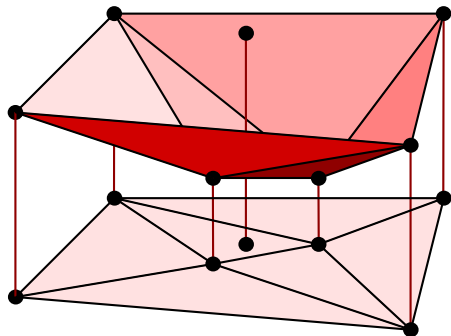
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Compute the *lower envelope* of  $\text{conv}(\tilde{A})$



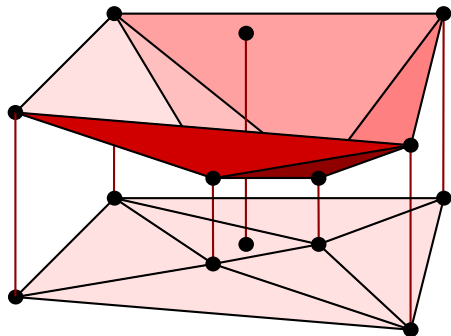
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Projected down to  $\mathbb{R}^d$ . Projected faces form a *subdivision* of  $A$ .



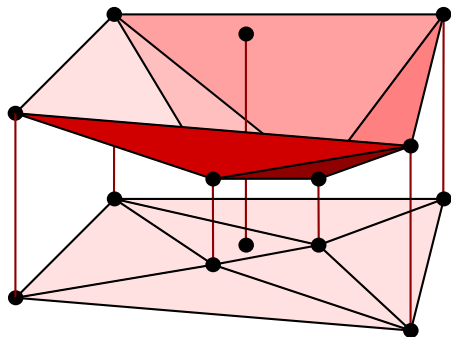
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If the vector  $h$  is “generic” then it forms a triangulation!



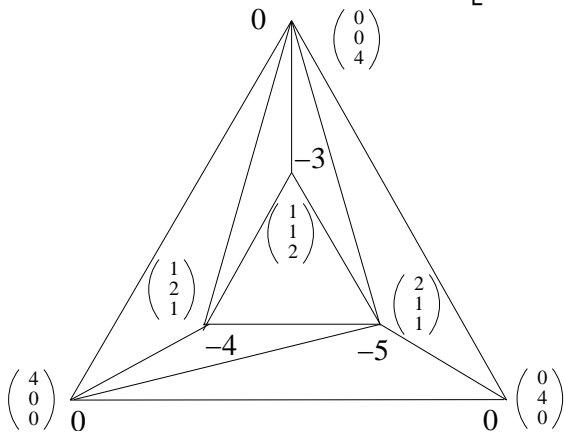
# Regular triangulations

**Remark:** Different  $h$ 's may provide different triangulations. But, for some  $A$ 's, **not all triangulations can be obtained in this way.**



## THE Example:

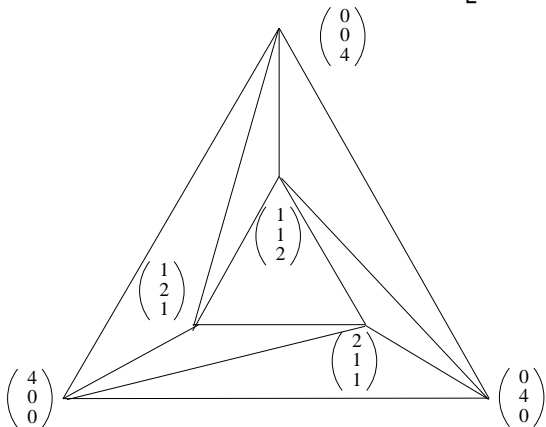
$$h = (0, 0, 0, -5, -4, -3), \quad A = \begin{bmatrix} 4 & 0 & 0 & 2 & 1 & 1 \\ 0 & 4 & 0 & 1 & 2 & 1 \\ 0 & 0 & 4 & 1 & 1 & 2 \end{bmatrix},$$





## Another example:

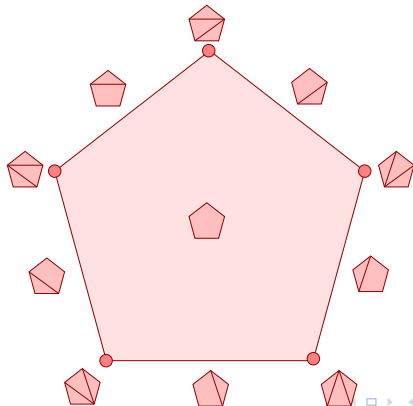
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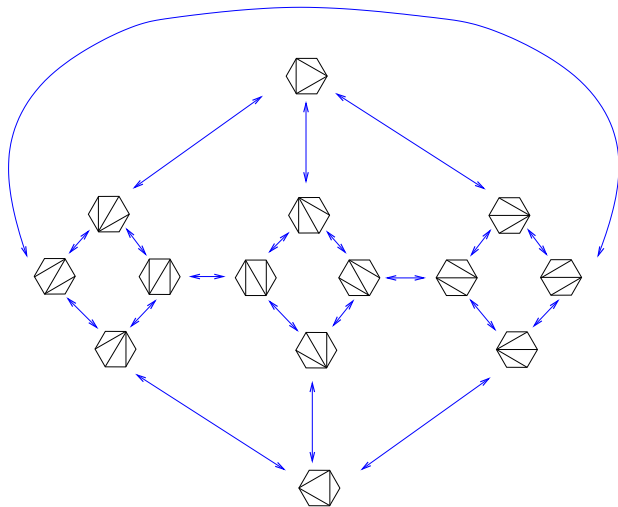
# The secondary polytope

**Theorem** [Gelfand-Kapranov-Zelevinskii, 1990]

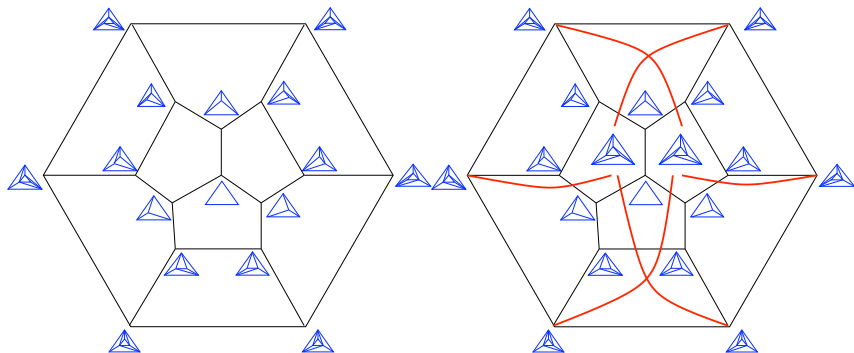
The *poset* ( *partially ordered set*) of **regular** polyhedral subdivisions of a point configuration  $A$  equals the face poset of a certain polytope of dimension  $n - d - 1$  ( $n =$  number of points,  $d =$  dimension).



# Secondary Polytope of a Hexagon



# Secondary polytope of with non-regular triangulations



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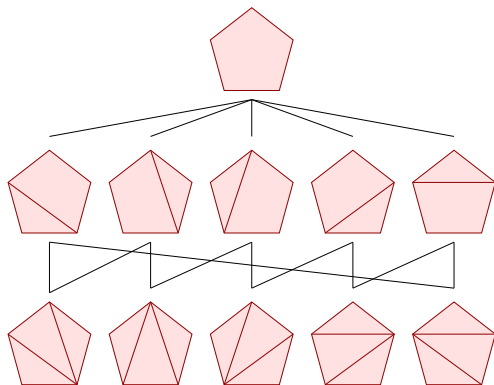
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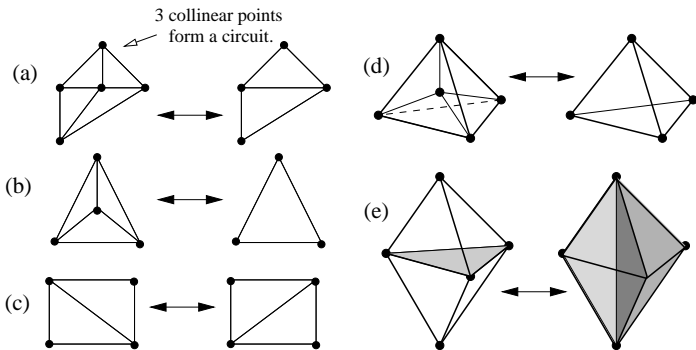
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# poset of subdivisions of a pentagon



# Bistellar flips

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Detect via “minimal affine dependences in the point configuration”

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- ▶ In **dimension 5**, there are triangulations with *disconnected* graph of flips [Santos, 2004].
- ▶ In **dimension 6**, there are triangulations with arbitrarily large  $n$  and **ZERO flips** [Santos, 2000].

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**Proposition** [JDL, Santos, Rambau 2010] **False!** There are regular triangulations connected by flips, yet their flip is not an edge in secondary.

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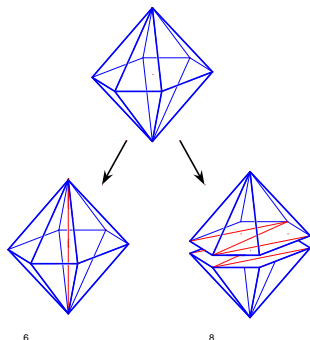
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- ▶ **Lemma** We know the facets of the secondary polytope correspond to coarsest subdivisions.
- ▶ **CHALLENGE** Can we find all the facets of the secondary polytope of the  $d$ -cube? How about other nice polytopes or point configurations?

# More questions!

- ▶ **Observation** Flips can be used to count all **regular** triangulations, but cannot be used to generate all triangulations, lack of connectivity!!  
**CHALLENGE** What is the computational complexity of counting **all** triangulations? How to generate them at uniformly at random? Could we enumerate all different triangulations of the  $d$ -cube?
- ▶ **Lemma** We know the facets of the secondary polytope correspond to coarsest subdivisions.
- ▶ **CHALLENGE** Can we find all the facets of the secondary polytope of the  $d$ -cube? How about other nice polytopes or point configurations?

# Sizes of Triangulations

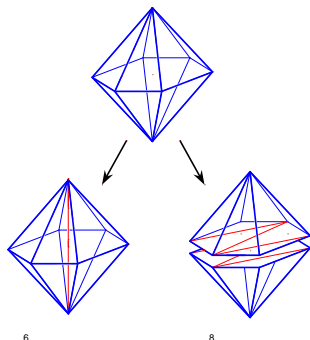
Triangulations of  $d$ -polytopes come in different sizes!!



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**Remark:** Even for the 0/1 cube, we do not know the smallest size, for  $\dim \geq 8$ .

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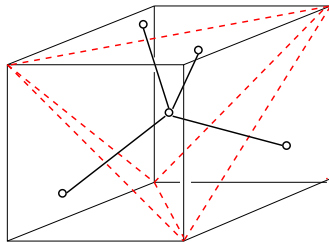
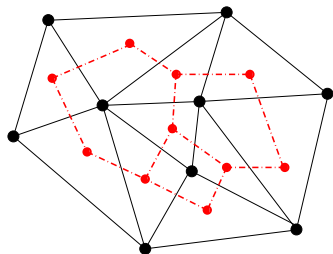
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  - OPEN PROBLEM** What is the complexity of deciding when such triangulations exist?

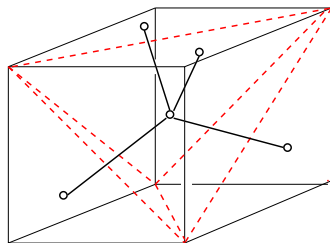
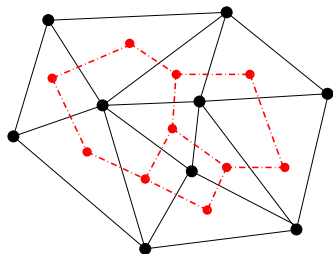
# The Diameter and Hamiltonicity of a triangulation

The **dual graph of a triangulation**: it has one vertex for each simplex and an edge joining two such vertices if the two simplices share a triangle:



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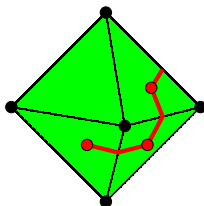


**Open Problem** Is it true that every 3-dimensional polyhedron has a triangulation whose dual graph is Hamiltonian?

# Diameters of Simplicial Complexes

## Definition

- ▶ The **distance** between two facets,  $F_1, F_2$ , is the length  $k$  of the shortest simplicial path  $F_1 = f_0, f_1, \dots, f_k = F_2$ .
- ▶ The **diameter** of a triangulation is the maximum over all distances between all pairs of vertices.



**QUESTION:** What are the best bounds for the diameter of a triangulation?



# A case study of application: Algebraic Geometry

- To every monomial  $x_1^{a_1} \dots x_n^{a_n}$  we associate its *exponent vector*  $(a_1, \dots, a_n)$ .
- To a polynomial  $f(x_1, \dots, x_n) = \sum c_i \mathbf{x}^{a_i}$  we associate the corresponding *integer point set*. Its convex hull is the *Newton polytope* of  $f$ ,  $N(f)$ .

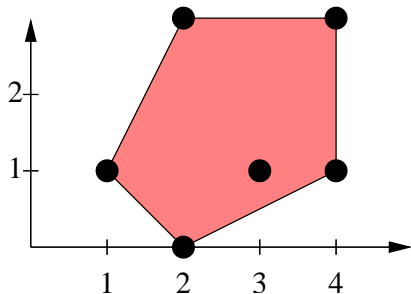
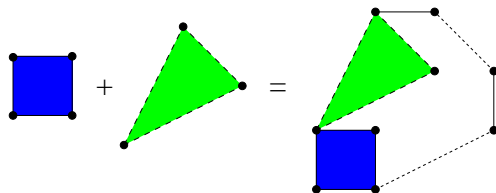


Figure: The Newton polytope for  $x^2 + xy + x^3y + x^4y + x^2y^3 + x^4y^3$

# Bernstein's Theorem

**Theorem (Bernstein, 1975)** Let  $f_1, \dots, f_n$  be  $n$  polynomials in  $n$  variables with “generic” coefficients. The number of common zeroes of them in  $(\mathbb{C}^*)^n$  is either infinite or bounded above by the *mixed volume* of the  $n$  polytopes  $N(f_1), \dots, N(f_n)$ .

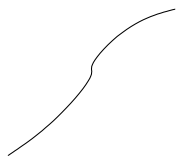


Computing the mixed volume boils down to computing a regular subdivision!!

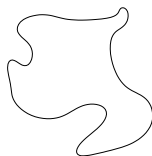
# Hilbert's sixteenth problem (1900)

“What are the possible (topological) types of smooth real algebraic curves of a given degree  $d$ ?”

**Observation:** Each connected component is either a *pseudo-line* or an *oval*. A curve contains one or zero pseudo-lines depending in its parity.



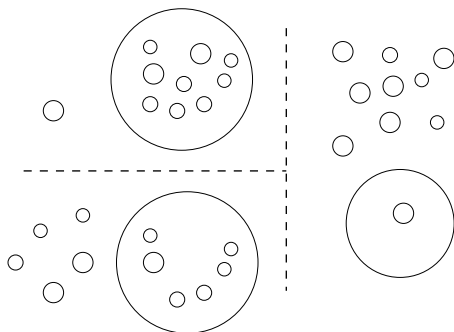
A pseudoline. Its complement has one component, homeomorphic to an open circle. The picture only shows the “affine part”; think the two ends as meeting at infinity.



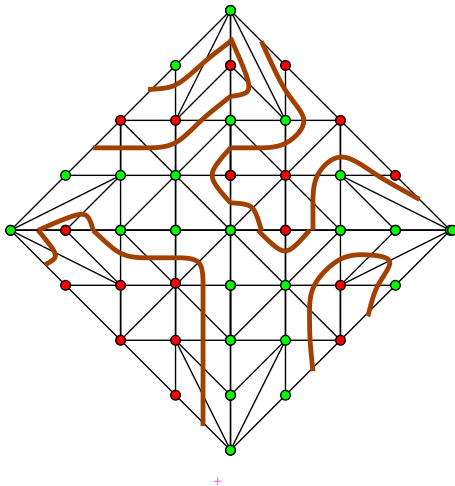
An oval. Its interior is a (topological) circle and its exterior is a Möbius band.

## Example:

The classification of *non-singular real algebraic curves of degree six* was completed the 1960's [Gudkov]. There are 56 types degree six curves, only three with 11 ovals:



# Viro's Theorem:



Construct the algebraic curve as a simplicial transversal curve of a regular triangulation!!