

Bounded Modules of Direct Limit Lie Algebras

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May 11, 2020

Notation and Conventions

- ▶ Base field is \mathbb{C} ;
- ▶ $\mathfrak{g}_n = \mathfrak{sl}(n+1), \mathfrak{sp}(2n), \mathfrak{so}(2n)$, or $\mathfrak{so}(2n+1)$, $V_n = \mathbb{C}^n$;
- ▶ $\mathfrak{g} = \mathfrak{sl}(\infty), \mathfrak{sp}(\infty)$, or $\mathfrak{o}(\infty)$ ($\mathfrak{g} = \varinjlim \mathfrak{g}_i$);
- ▶ $\mathfrak{h}_n, U_n = U(\mathfrak{g}_n)$: Cartan subalgebra and univ. env. alg. of \mathfrak{g}_n ;
- ▶ $U = U(\mathfrak{g}), \mathfrak{h} = \varinjlim \mathfrak{h}_i$;
- ▶ $Q_{\mathfrak{g}_n}, Q_{\mathfrak{g}}$: the corresponding root lattices;
- ▶ For $\mu \in \mathbb{C}^{\mathbb{Z}_{>0}}$, $\text{Int}(\mu), \text{Int}^+(\mu)$ are the subsets of $\mathbb{Z}_{>0}$ consisting of all $i: \mu_i \in \mathbb{Z}, \mu_i \in \mathbb{Z}_{\geq 0}$, respectively;
- ▶ \mathcal{D}_n : the algebra of polynomial diff. operators of $\mathbb{C}[x_1, \dots, x_n]$;
- ▶ $\mathcal{D}(\infty)$: the subalgebra of $\text{End}(\mathbb{C}[x_i]_{i \in \mathbb{Z}_{\geq 0}})$ generated by x_i, ∂_i .

Bounded and weight modules of \mathfrak{g} and \mathfrak{g}_n

Definition

1. A module M of \mathfrak{g} (or \mathfrak{g}_n) is a *weight module with finite weight multiplicities* if
 - ▶ $M = \bigoplus_{\lambda \in \mathfrak{h}^*} M^\lambda$, where
 $M^\lambda := \{m \in M \mid h \cdot m = \lambda(h)m, \text{ for every } h \in \mathfrak{h}\}$.
 - ▶ $\dim M^\lambda < \infty$
2. M is *bounded* if it is a weight module and there is C such that $\dim M^\lambda < C$ for every λ
 - ▶ Infinite-dimensional bounded modules exist for Lie algebras \mathfrak{g}_n of type A and C only (Fernando).
 - ▶ The simple bounded modules of \mathfrak{g}_n were classified by O. Mathieu in 2000.
 - ▶ The work of Mathieu combined with works of G. Benkart, D. Britten, S. Fernando, V. Futorny, F. Lemire, A. Joseph, and others, lead to the classification of all simple weight \mathfrak{g}_n -modules with finite weight multiplicities.

Problem: Classify all simple bounded modules of \mathfrak{g} .

Examples of bounded modules of $\mathfrak{g}_n = \mathfrak{sl}(n+1)$

- ▶ The map $e_{ij} \mapsto x_i \partial_j$ defines a homomorphism $U_n \rightarrow \mathcal{D}_{n+1}$;
- ▶ The \mathcal{D}_{n+1} -module $\mathcal{F}(\mu) = x^\mu \mathbb{C}[x_1^{\pm 1}, \dots, x_{n+1}^{\pm 1}]$, $\mu \in \mathbb{C}^{n+1}$, has a \mathfrak{g}_n -submodule:

$$\mathcal{F}_{\mathfrak{sl}}(\mu) := \{x^\mu p \mid p \in \mathbb{C}[x_1^{\pm 1}, \dots, x_{n+1}^{\pm 1}], \deg p = 0\}$$

- ▶ $\mathcal{F}_{\mathfrak{sl}}(\mu) = \mathcal{F}_{\mathfrak{sl}}(\mu')$ if and only if $\mu - \mu' \in Q_{\mathfrak{gl}(n+1)}$.
- ▶ The following is a submodule of $\mathcal{F}_{\mathfrak{sl}}(\mu)$:

$$V_{\mathfrak{sl}}(\mu) := \text{Span}\{x^\lambda \mid \lambda - \mu \in Q_{\mathfrak{gl}(n+1)}, \text{Int}^+(\mu) \subset \text{Int}^+(\lambda)\}.$$

- ▶ $V_{\mathfrak{sl}}(\mu') \subset V_{\mathfrak{sl}}(\mu)$ if and only if $\mu - \mu' \in Q_{\mathfrak{gl}(n+1)}$ and $\text{Int}^+(\mu) \subset \text{Int}^+(\mu')$.
- ▶ For μ with $\text{Int}^+(\mu) \subsetneq \text{Int}(\mu)$, let

$$V_{\mathfrak{sl}}(\mu)^+ := \sum_{V_{\mathfrak{sl}}(\mu') \subsetneq V_{\mathfrak{sl}}(\mu)} V_{\mathfrak{sl}}(\mu').$$

- ▶ Finally, set $X_{\mathfrak{sl}}(\mu) := V_{\mathfrak{sl}}(\mu) / V_{\mathfrak{sl}}(\mu)^+$.

Classification of simple multiplicity-free $\mathfrak{sl}(n+1)$ -modules

Theorem (Benkart-Britten-Lemire, 1997)

Every simple multiplicity-free (pointed) weight $\mathfrak{sl}(n+1)$ -module is isomorphic either to $X_{\mathfrak{sl}}(\mu)$ for some $\mu \in \mathbb{C}^{n+1}$, or to $\Lambda^i(V_{n+1})$ for some i , $2 \leq i \leq n-1$. Furthermore,

$$\text{Supp } X_{\mathfrak{sl}}(\mu) = \{\mu' \in \mathbb{C}^{n+1} \mid \mu' \sim_{\mathfrak{sl}} \mu\},$$

where $\mu' \sim_{\mathfrak{sl}} \mu$ if $\mu - \mu' \in Q_{\mathfrak{gl}(n+1)}$ and $\text{Int}^+(\mu) = \text{Int}^+(\mu')$.

Lemma

If $\dim X_{\mathfrak{sl}}(\mu) < \infty$, then $X_{\mathfrak{sl}}(\mu) \simeq S^m(V_{n+1})$ for $m \geq 0$ or $X_{\mathfrak{sl}}(\mu) \simeq S^m(V_{n+1}^*)$ for $m \geq 0$.

Lemma

Let $n > 1$. $X_{\mathfrak{sl}}(\mu)$ and $X_{\mathfrak{sl}}(\mu')$ are isomorphic as $\mathfrak{sl}(n+1)$ -modules if and only if either $\mu' \sim_{\mathfrak{sl}} \mu$ or $\{\mu, \mu'\} = \{0^{(n+1)}, (-1)^{(n+1)}\}$.

Results for $\mathfrak{g}_n = \mathfrak{sp}(2n)$

- ▶ We define a homomorphism $U_n \rightarrow \mathcal{D}_n$ by $e_{\varepsilon_i + \varepsilon_j} \mapsto x_i x_j$ if $i \neq j$, $e_{2\varepsilon_i} \mapsto \frac{1}{2}x_i^2$, $e_{-\varepsilon_i - \varepsilon_j} \mapsto -\partial_i \partial_j$ if $i \neq j$, $e_{-2\varepsilon_i} \mapsto -\frac{1}{2}\partial_i^2$, where $e_\alpha \in \mathfrak{g}_n^\alpha$ are appropriate nonzero vectors.
- ▶ For any $\mu \in \mathbb{C}^n$, we consider $\mathcal{F}(\mu)$ as a \mathfrak{g}_n -module through the homomorphism $U_n \rightarrow \mathcal{D}_n$. Then

$$\mathcal{F}_{\text{sp}}(\mu) := \{x^\mu p \mid p \in \mathbb{C}[x_1^{\pm 1}, \dots, x_n^{\pm 1}], \deg p \in 2\mathbb{Z}\}$$

is a multiplicity-free \mathfrak{g}_n -submodule of $\mathcal{F}(\mu)$.

- ▶ $\mathcal{F}_{\text{sp}}(\mu) = \mathcal{F}_{\text{sp}}(\mu')$ if and only if $\mu - \mu' \in Q_{\mathfrak{g}_n}$.
- ▶ Similarly to the definition of $X_{\text{sl}}(\mu)$, we define the \mathfrak{g}_n -module $X_{\text{sp}}(\mu)$.

Results for $\mathfrak{g}_n = \mathfrak{sp}(2n)$

Theorem

Let $n > 3$ and let M be a simple multiplicity-free weight $\mathfrak{sp}(2n)$ -module. If M is infinite dimensional, then M is isomorphic to $X_{\mathfrak{sp}}(\mu)$ for some $\mu \in \mathbb{C}^n$. If $\dim M < \infty$, then $M \simeq V_{2n}$ or $M \simeq \mathbb{C}$. Furthermore:



$$\text{Supp } X_{\mathfrak{sp}}(\mu) = \left\{ \mu' + \left(\frac{1}{2}, \frac{1}{2}, \dots, \frac{1}{2} \right) \mid \mu' \sim_{\mathfrak{sp}} \mu \right\},$$

where $\mu' \sim_{\mathfrak{sp}} \mu$ if $\mu - \mu' \in Q_{\mathfrak{sp}(2n)}$ and $\text{Int}^+(\mu) = \text{Int}^+(\mu')$.

- ▶ The $\mathfrak{sp}(2n)$ -modules $X_{\mathfrak{sp}}(\mu)$ and $X_{\mathfrak{sp}}(\mu')$ are isomorphic if and only if $\mu \sim_{\mathfrak{sp}} \mu'$.

The case of $\mathfrak{g} = \mathfrak{sl}(\infty)$

From now on all results are from [G.-Penkov, 2019].

Lemma

Every simple bounded nonintegrable \mathfrak{g} -module is multiplicity free.

Lemma

Let M be a simple integrable bounded \mathfrak{g} -module. Then $M \simeq \varinjlim L_{\mathfrak{g}_n}(\lambda(n))$, where $L_{\mathfrak{g}_n}(\lambda(n))$ is the simple highest weight module of weight $\lambda(n)$, and $\lambda(n)$ is one of the following:

- (i) $(1, 1, \dots, 1, 0, 0, \dots, 0)$, where the number of 0's and 1's are both growing when $n \rightarrow \infty$;
- (ii) $(a_n, 0, 0, \dots, 0)$,
- (iii) $(0, 0, \dots, 0, -a_n)$,
- (iv) $(\mu_1, \dots, \mu_k, 0, 0, \dots, 0)$,
- (v) $(0, 0, \dots, 0, -\mu_k, \dots, -\mu_1)$,

where k and $\mu_1, \dots, \mu_k \in \mathbb{Z}_{>0}$ are fixed and such that $\mu_i - \mu_{i+1} \in \mathbb{Z}_{\geq 0}$, and $a_n \in \mathbb{Z}_{\geq 0}$ is monotonic with $\lim_{n \rightarrow \infty} a_n = \infty$.

Theorem

- (i) Every simple bounded nonintegrable $\mathfrak{sl}(\infty)$ -module M is isomorphic to $X_{\mathfrak{sl}}(\mu)$ for some $\mu \in \mathbb{C}^{\mathbb{Z}_{>0}}$.
- (ii) $X_{\mathfrak{sl}}(\mu) \simeq X_{\mathfrak{sl}}(\mu')$ if and only if $\mu \sim_{\mathfrak{sl}} \mu'$ or $\{\mu, \mu'\} = \{0^{(\infty)}, (-1)^{(\infty)}\}$.
- (iii) $\text{Supp } X_{\mathfrak{sl}}(\mu) = \{\lambda \mid \lambda \sim_{\mathfrak{sl}} \mu\}$.

Theorem

Every simple bounded integrable weight module of $\mathfrak{sl}(\infty)$ is isomorphic to one of the following:

- (i) $\Lambda_{\mathbb{J}}^{\frac{\infty}{2}} V = \varinjlim \Lambda^n(V_{n+1})$ for semi-inf. set \mathbb{J} , $j_n = \#(\mathbb{J} \cap [1, n])$.
- (ii) $S_{\mathbb{A}}^{\infty} V = \varinjlim S^{a_n}(V_{n+1})$ for an inf. sequence $\mathbb{A} = (a_1, a_2, \dots)$.
- (iii) $S_{\mathbb{A}}^{\infty} V_*$ for an infinite sequence \mathbb{A} ,
- (iv) $S^{\mu} V$ for a partition μ ,
- (v) $S^{\mu} V_*$ for a partition μ .

All isomorphisms between the above modules are: $\Lambda_{\mathbb{A}_1}^{\frac{\infty}{2}} V \simeq \Lambda_{\mathbb{A}_2}^{\frac{\infty}{2}} V$ for $\mathbb{A}_1 \approx \mathbb{A}_2$, $S_{\mathbb{A}_1}^{\infty} V \simeq S_{\mathbb{A}_2}^{\infty} V$ and $S_{\mathbb{A}_1}^{\infty} V_* \simeq S_{\mathbb{A}_2}^{\infty} V_*$ for $\mathbb{A}_1 \sim \mathbb{A}_2$, $S^{\emptyset} V = S^{\emptyset} V_* = \mathbb{C}$.

The case of $\mathfrak{g} = \mathfrak{sp}(\infty)$

Theorem

- (i) *Every simple bounded nonintegrable $\mathfrak{sp}(\infty)$ -module M is isomorphic to $X_{\mathfrak{sp}}(\mu)$ for some $\mu \in \mathbb{C}^{\mathbb{Z}_{>0}}$.*
- (ii) *$X_{\mathfrak{sp}}(\mu) \simeq X_{\mathfrak{sp}}(\mu')$ if and only if $\mu \sim_{\mathfrak{sp}} \mu'$.*
- (iii) *$\text{Supp } X_{\mathfrak{sp}}(\mu) = \{\lambda + (\frac{1}{2})^{(\infty)} \mid \lambda \sim_{\mathfrak{sp}} \mu\}$. In particular, $\text{Supp } X_{\mathfrak{sp}}(\mu)$ determines $X_{\mathfrak{sp}}(\mu)$ up to isomorphism.*

Theorem

Let M be a nontrivial simple bounded integrable weight $\mathfrak{sp}(\infty)$ -module. Then M is isomorphic to the natural $\mathfrak{sp}(\infty)$ -module V .

Annihilators in the case $\mathfrak{g} = \mathfrak{sl}(\infty)$

For $x, y \in \mathbb{Z}_{\geq 0}$ and partitions λ, μ , denote by $I(x, y, \lambda, \mu)$ the annihilator of the $U(\mathfrak{sl}(\infty))$ -module $(S(V))^{\otimes x} \otimes (\Lambda(V))^{\otimes y} \otimes S^\lambda V \otimes S^\mu V_*$. By a result of Penkov-Petukhov, $I(x, y, \lambda, \mu)$ is a primitive ideal and all primitive ideals of $U(\mathfrak{sl}(\infty))$ are of the form $I(x, y, \lambda, \mu)$.

Theorem

Let M be a simple bounded nonintegrable module of $\mathfrak{g} = \mathfrak{sl}(\infty)$. Then $\text{Ann } M = I(1, 0, \emptyset, \emptyset)$.

Theorem

Let $\mathfrak{g} = \mathfrak{sl}(\infty)$ and M be a nontrivial simple bounded integrable \mathfrak{g} -module.

- (a) $\text{Ann } M \simeq I(0, 1, \emptyset, \emptyset)$ for $M = \Lambda_{\mathbb{A}}^{\frac{\infty}{2}} V$;
- (b) $\text{Ann } M \simeq I(1, 0, \emptyset, \emptyset)$ for $M = S_{\mathbb{A}}^{\infty} V$ or $M = S_{\mathbb{A}}^{\infty} V_*$;
- (c) $\text{Ann } M \simeq I(0, 0, \lambda, \emptyset)$ for $M = S^\lambda V$;
- (d) $\text{Ann } M \simeq I(0, 0, \emptyset, \mu)$ for $M = S^\mu V_*$.

Future work: Lie superalgebras

For $\mathbb{A} \subset \mathbb{Z}_{>0}$ we may define two spinor type modules: $S_{\mathbb{A}}^B$ (weight module of type B), and $S_{\mathbb{A}}^D$ (weight module of type B).

Theorem

Let M be a simple weight module which is a direct limit of spinor modules of $\mathfrak{o}(2n+1)$ or $\mathfrak{o}(2n)$ for $n \rightarrow \infty$. Then $M \simeq S_{\mathbb{A}}^B$ or $M \simeq S_{\mathbb{A}}^D$ for some $\mathbb{A} \subset \mathbb{Z}_{>0}$. Furthermore, $S_{\mathbb{A}_1}^B \simeq S_{\mathbb{A}_2}^B$ if and only if $\mathbb{A}_1 \sim \mathbb{A}_2$.

Future directions:

- ▶ We expect that the modules $\mathcal{F}_{sp}(\mu)$ will be injective, and we will have equivalence of categories $\mathfrak{sp}(\infty)$ -bounded modules and $\mathcal{D}(\infty)$ -weight modules.
- ▶ The simple bounded modules over $\mathfrak{sp}(\infty)$ and $\mathfrak{o}(\infty)$ “combine” nicely over $\mathfrak{g} = \mathfrak{o}\mathfrak{sp}(\infty|\infty)$. Namely, if \mathfrak{h} is of type D , then every simple nontrivial bounded \mathfrak{g} -module is isomorphic to $X^D(\mu, \mathbb{A})$ for some $\mu \in \mathbb{C}^{\mathbb{Z}_{>0}}$ and $\mathbb{A} \subset \mathbb{Z}_{>0}$, or to the natural \mathfrak{g} -module. Note $X^D(\mu, \mathbb{A})_{\bar{0}} \simeq S_{\mathbb{A}}^D \boxtimes X_{sp}(\mu)$. (joint with I. Penkov and V. Serganova).

Thank you!