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# Catalan functions and $k$ -Schur functions

(1) Chen-Haiman conjecture r.c.

$$s_{\lambda}^{(k)}(x, t) = \eta(\Delta^k(\mu); \mu)$$

$$s_{\lambda}^{(k+1)}(x, t) = s_{\lambda}^{(k)}(x, t)$$

(2)  $k$ -Schur Branching: We can express  $k$ -Schur functions  $s_{\lambda}^{(k)}(x, t)$  in terms of  $(k+1)$ -Schur functions, with coefficients in  $\mathbb{N}[t]$ .

Preliminaries:

$\text{Par}_k^k$  = length  $k$  partitions,  $\mu_i \leq k$ .

$\text{Par}^k$  = any partition,  $\mu_i \leq k$ .

Hook length.

4	2
3	1
1	

$(k+1)$ -core: A partition with no box of hook length equal to  $k+1$ .

Thm: There exists a bijection  $\mathbb{P}$  from  $(k+1)$ -cores to  $\text{Par}^k$ . If  $K$  is a  $(k+1)$ -core then  $p(K)$  is the partition whose  $r$ th row  $\lambda_r$  is the number of boxes in the  $r$ th row of  $K$  having hook length  $\leq k$ .

4-core =  $(3+1)$ -core

$$K = (4, 1)$$

$$p(K) = (3, 1)$$



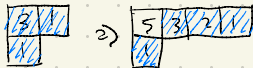
Strong Cover: A strong cover  $\tau \Rightarrow K$  is a pair of  $(k+1)$ -cores s.t.  $\tau \subseteq K$  and  $|p(\tau)| + 1 = |p(K)|$

A Strong Marked Cover  $\tau \Rightarrow K$  is a strong cover with a positive integer  $r$  which is allowed to be the smallest row index of any connected comp. of the skew shape  $\mu/\tau$ .

e.g. 4-cores

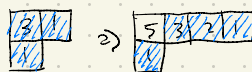
$$(2, 1) \Rightarrow (4, 1)$$

$$p((2, 1))$$



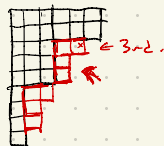
e.g. 4-cores

$$(2, 1) \Rightarrow (4, 1)$$



$$p((2, 1)) = (2, 1) \quad p((4, 1)) = (3, 1)$$

e.g. 5-cores,  $\tau = 663331111$ ,  $K = 665443221$



$$\tau \subseteq K$$

$$p(\tau) = 332221111 \quad p(K) = 222222221$$

$$\tau \stackrel{3}{\Rightarrow} K$$

Let  $\eta = (\eta_1, \eta_2, \dots) \in \mathbb{Z}_{\geq 0}^{\infty}$ ,  $|\eta| = m$  finite.

Let  $v_i = \eta_1 + \dots + \eta_{i-1}$  ( $v_1 = 0, v_2 = \eta_1, v_3 = \eta_1 + \eta_2, \dots$ ).

A strong marked tableaux  $T$  of weight  $\eta$  is a sequence of strong marked covers

$$K^{(0)} \xrightarrow{r_1} K^{(1)} \xrightarrow{r_2} K^{(2)} \xrightarrow{\dots} K^{(m)}$$

$$\text{s.t. } r_{v_i+1} \geq r_{v_i+2} \geq \dots \geq r_{v_i+\eta_i} = r_{v_{i+1}}$$

A vertical SMT  $T$  of weight  $\eta$  is defined the same, except

$$r_{v_i+1} < r_{v_i+2} < \dots < r_{v_{i+1}}$$

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VSMT

A vertical SMT,  $T$  of weight  $\eta$ : defined the same, except

$$r_{v_i+1} < r_{v_i+2} < \dots < r_{v_{i+1}}$$

Spin: The spin of a strong marked cover  $\mathcal{C} \subseteq \mathbb{K}^n$  is defined as  $c(h-1) + N$ , where

- $c$ : the number of connected components of  $\mathcal{C}/\mathcal{C}$
- $h$ : the height of each component
- $N$ : the number of components entirely contained in rows  $\geq r$ .

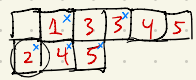
If we have an SMT  $T$ , then  $\text{spin}(T)$  as the sum of all spins



$$(1) \Rightarrow (2) \Rightarrow (2,1) \Rightarrow (4,1) \Rightarrow (5,2) \Rightarrow (6,3)$$

$p((1)), p((2)), p((2,1)), \dots$

$$(1) \quad (2) \quad (2,1) \quad (3,1) \quad (3,2) \quad (3,3)$$



$$r_1 = 1$$

$$r_2 = 2$$

$$\eta = (1, 2, 2)$$

inside  $(T) = p(K^{(0)})$  (e.g. (1))  
 outside  $(T) = p(K^{(m)})$  (e.g. (3,3))

For  $f \in \Delta$ , let  $f^\perp$  be the linear operator on  $\Delta$  that is adjoint w.r.t. the Hall inner product.

$$\langle f^\perp(g), h \rangle = \langle g, fh \rangle$$

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$$\langle f^\perp(g), h \rangle = \langle g, fh \rangle$$

$$s_\mu^{(k)}, s_\mu^{(k)}$$

**Theorem 2.3.** Fix a positive integer  $k$ . The Catalan functions  $\{s_\mu^{(k)}\}_{k \geq 1, \mu \in \text{Part}^k}$  satisfy the following properties:

(horizontal dual Pieri rule)  $h_d^+ s_\mu^{(k)} = \sum_{T \in \text{SMT}_{(d)}^{(k)}(\mu)} e^{\text{spin}(T)} s_{\text{inside}(T)}^{(k)}$  for all  $d \geq 0$ ; (2.5)

(vertical dual Pieri rule)  $e_d^+ s_\mu^{(k)} = \sum_{T \in \text{VSMT}_{(d)}^{(k)}(\mu)} e^{\text{spin}(T)} s_{\text{inside}(T)}^{(k)}$  for all  $d \geq 0$ ; (2.6)

(shift invariance)  $s_\mu^{(k)} = e_i^+ s_{\mu+1^i}^{(k)}$ ; (2.7)

(Schur function stability) if  $k \geq |\mu|$ , then  $s_\mu^{(k)} = s_\mu$ . (2.8)

$$s_\mu^{(k)}(x; t) = \sum_{\eta \in \mathbb{Z}_{\geq 0}^{\infty} |\eta| = |\mu|} \sum_{T \in \text{SMT}_\eta^{(k)}(\mu)} t^{\text{spin}(T)} x^\eta$$

Thm. 2.4: For  $\mu \in \text{Part}^k$ , the  $k$ -Schur function  $s_\mu^{(k)}$  is the Catalan function  $\mathcal{C}_{\mu, \lambda}$  for a partition  $\lambda, |\lambda| = |\mu|$

Pf:  $\langle s_\mu^{(k)}, h_\lambda \rangle = \langle h_\lambda^\perp s_\mu^{(k)}, \mathbb{1} \rangle$

$$\langle \dots, h_{\lambda_1}^\perp h_{\lambda_2}^\perp \dots s_\mu^{(k)}, \mathbb{1} \rangle$$

$$= \langle \sum_{T \in \text{SMT}_{(\lambda)}^{(k)}(\mu)} t^{\text{spin}(T)} s_{\text{inside}(T)}^{(k)}, \mathbb{1} \rangle$$

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$$= \sum_{T \in \text{SMT}_{(\lambda)}^{(k)}(\mu)} t^{\text{spin}(T)}$$

$m_\lambda, h_\lambda$  are dual bases,

$$s_\mu^{(k)}(x; t) = \sum_{\substack{\text{partitions } \lambda \\ |\lambda| = |\mu|}} \left( \sum_{T \in \text{SMT}_{(\lambda)}^{(k)}(\mu)} t^{\text{spin}(T)} \right) m_\lambda(x)$$

$$s_\mu^{(k)}(x; t) = \sum_{\eta \in \mathbb{Z}_{\geq 0}^{\infty} |\eta| = |\mu|} \left( \sum_{T \in \text{SMT}_\eta^{(k)}(\mu)} t^{\text{spin}(T)} \right) x^\eta$$

$$s_\mu^{(k)} = s_\mu^{(k)} \quad \square$$

**Corollary 2.5.**

- (1) The  $k$ -Schur functions  $s_{\mu}^{(k)}(\mathbf{x}; t)$  defined by (2.9) are symmetric functions.
- (2) The  $k$ -Schur functions  $s_{\mu}^{(k)}(\mathbf{x}; t)$  are equal to Catalan functions defined by Chen-Haiman via a different root ideal than our  $\Delta^k(\mu)$  (see Section 10).
- (3) For  $\mu \in \text{Par}_t^+$ ,  $s_{\mu}^{(k)}(\mathbf{x}; t)$  is the  $GL_t$ -equivariant Euler characteristic of a vector bundle on the flag variety determined by  $\mu$  and  $k$ .
- (4) Homology Schubert classes of  $\text{Gr}_{SL_{k+1}}$  are equal to the ungraded ( $t = 1$ ) version of this Euler characteristic.

Thm 2.6 (k-Schur Branching Rule)

$$s_{\mu}^{(k)} = \sum_{T \in \text{VSMT}_{(k)}^{\mu}(\mu + d\epsilon^k)} t^{\text{spin}(T)} s_{\text{inside}(T)}^{(k+d)}$$

**Theorem 2.3.** Fix a positive integer  $k$ . The Catalan functions  $\{s_{\mu}^{(k)}\}_{k \geq 1, \mu \in \text{Par}_t^+}$  satisfy the following properties:

(horizontal dual Pieri rule)  $h_d^{\perp} s_{\mu}^{(k)} = \sum_{T \in \text{VSMT}_{(k)}^{\mu}(\mu)}$   $t^{\text{spin}(T)} s_{\text{inside}(T)}^{(k)}$  for all  $d \geq 0$ ; (2.5)

(vertical dual Pieri rule)  $e_d^{\perp} s_{\mu}^{(k)} = \sum_{T \in \text{VSMT}_{(k)}^{\mu}(\mu)}$   $t^{\text{spin}(T)} s_{\text{inside}(T)}^{(k)}$  for all  $d \geq 0$ ; (2.6)

(shift invariance)  $s_{\mu}^{(k)} = e_t^{\perp} s_{\mu^{(k+1)'}}^{(k+1)}$ ; (2.7)

(Schur function stability) if  $k \geq |\mu|$ , then  $s_{\mu}^{(k)} = s_{\mu}$ . (2.8)

Thm (Schur Expansion): Let  $\mu \in \text{Par}_t^+(k)$ , set  $m = \max(|\mu| - k, 0)$ , then, the Schur expansion:

$$s_{\mu}^{(k)} = \sum_{T \in \text{VSMT}_{(k)}^{\mu}(\mu + m\epsilon^k)} t^{\text{spin}(T)} s_{\text{inside}(T)}$$

Example

**Example 2.13.** According to Theorem 2.6, the expansion of  $s_{22221}^{(3)}$  into 4-Schur functions is obtained by summing  $t^{\text{spin}(T)} s_{\text{inside}(T)}^{(4)}$  over the set  $\text{VSMT}_{(3)}^+(33332)$  of vertical strong marked tableaux given below. Note that  $86532 = p^{-1}(33332)$  is the outer shape of each diagram on the first line.

$T$				
$k$ -skew(inside( $T$ ))				
inside( $T$ )	3222	3321	33111	22221
spin( $T$ )	2	2	2	0
$s_{22221}^{(3)} =$	$t^2 s_{3222}^{(4)}$	$+ t^2 s_{3321}^{(4)}$	$+ t^2 s_{33111}^{(4)}$	$+ s_{22221}^{(4)}$