### Torus Knots and q, t-Catalan Numbers

Eugene Gorsky Stony Brook University

Simons Center For Geometry and Physics April 11, 2012

### Outline

q, t-Catalan numbers

**Compactified Jacobians** 

Arc spaces on singular curves

Rational Cherednik algebras

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

Knot homology

Catalan numbers

$$c_n = \frac{1}{n+1} \binom{2n}{n} = \frac{(2n)!}{n!(n+1)!}$$

◆□▶ ◆□▶ ◆臣▶ ◆臣▶ 臣 のへぐ

Introduced by Eugène Charles Catalan (1814–1894).

Catalan numbers

$$c_n = \frac{1}{n+1} \binom{2n}{n} = \frac{(2n)!}{n!(n+1)!}$$

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

Introduced by Eugène Charles Catalan (1814–1894). First values: 1, 2, 5, 14, 42, 132...

Catalan numbers

$$c_n = \frac{1}{n+1} \binom{2n}{n} = \frac{(2n)!}{n!(n+1)!}$$

Introduced by Eugène Charles Catalan (1814–1894).

First values: 1, 2, 5, 14, 42, 132...

Catalan numbers appear in many combinatorial problems (see "Catalan addendum" by R. P. Stanley). Most important for the present talk: the Catalan number is the number of **Dyck paths**, i. e. lattice paths in  $n \times n$  square that never go above the diagonal:











Generalizations

Let *m* and *n* be two coprime numbers. The number of lattice paths in  $m \times n$  rectangle that never go above the diagonal equals to

$$c_{m,n} = \frac{(m+n-1)!}{m!n!} = \frac{1}{m+n} \binom{m+n}{n}$$

Generalizations

Let *m* and *n* be two coprime numbers. The number of lattice paths in  $m \times n$  rectangle that never go above the diagonal equals to

$$c_{m,n} = \frac{(m+n-1)!}{m!n!} = \frac{1}{m+n} \binom{m+n}{n}$$

When m = n + 1, we get Catalan numbers. When m = kn + 1, we get Fuss-Catalan numbers (paths in  $n \times kn$  rectangle).

Generalizations

Let *m* and *n* be two coprime numbers. The number of lattice paths in  $m \times n$  rectangle that never go above the diagonal equals to

$$c_{m,n} = \frac{(m+n-1)!}{m!n!} = \frac{1}{m+n} \binom{m+n}{n}$$

When m = n + 1, we get Catalan numbers. When m = kn + 1, we get Fuss-Catalan numbers (paths in  $n \times kn$  rectangle). Finally, the number of lattice paths in  $m \times n$  rectangle with k marked corners equals to

$$S_{m,n,k} = rac{(m+n-k-1)!}{n\cdot m\cdot k!\cdot (m-k-1)!(n-k-1)!}$$

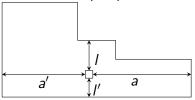
When m = n + 1,  $S_{n,n+1,k}$  is the number of k-dimensional faces of the *n*-dimensional associahedron.

q, t-Catalan numbers: definition

In 1996 A. Garsia and M. Haiman introduced the following bivariate function:

$$C_n(q,t) = \sum_{|\lambda|=n} \frac{t^{2\sum l} q^{2\sum a} (1-t)(1-q) \prod^{0,0} (1-q^{a'}t^{l'}) \sum q^{a'}t^{l'}}{\prod (q^a - t^{l+1})(q^{a+1} - t')}$$

Here l, l', a, a' denote the lengths of leg, co-leg, arm and co-arm of a box in a Young diagram  $\lambda$ , and  $\prod^{0,0}$  indicates that the box (0,0) does not contribute to the product.



q, t-Catalan numbers: properties

The functions  $c_n(q, t)$  have many remarkable properties:

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

q, t-Catalan numbers: properties

The functions  $c_n(q, t)$  have many remarkable properties:

 c<sub>n</sub>(q, t) is a polynomial with non-negative integer coefficients

◆□▶ ◆□▶ ◆三▶ ◆三▶ 三三 のへぐ

q, t-Catalan numbers: properties

The functions  $c_n(q, t)$  have many remarkable properties:

 c<sub>n</sub>(q, t) is a polynomial with non-negative integer coefficients

► The polynomials are symmetric in q and t: c<sub>n</sub>(q, t) = c<sub>n</sub>(t, q)

q, t-Catalan numbers: properties

The functions  $c_n(q, t)$  have many remarkable properties:

- c<sub>n</sub>(q, t) is a polynomial with non-negative integer coefficients
- The polynomials are symmetric in q and t:  $c_n(q, t) = c_n(t, q)$
- They "deform" the Catalan numbers:  $c_n(1,1) = c_n$

q, t-Catalan numbers: properties

The functions  $c_n(q, t)$  have many remarkable properties:

- c<sub>n</sub>(q, t) is a polynomial with non-negative integer coefficients
- ► The polynomials are symmetric in q and t: c<sub>n</sub>(q, t) = c<sub>n</sub>(t, q)
- They "deform" the Catalan numbers:  $c_n(1,1) = c_n$
- More precisely, there is an interesting degeneration:

$$c_n(q,q^{-1}) = q^{-\binom{n}{2}} \frac{[2n!]_q}{[n!]_q[(n+1)!]_q},$$

where

$$[k!]_q := \frac{(1-q)\cdots(1-q^k)}{(1-q)^k}.$$

q, t-Catalan numbers: examples

Another interesting degeneration:  $c_n(q, 1)$  is a sum over all Dyck paths weighted by the area between a path and the diagonal:

$$c_n(q,1) = \sum_D q^{\binom{n}{2} - |D|}.$$

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQ@

q, t-Catalan numbers: examples

Another interesting degeneration:  $c_n(q, 1)$  is a sum over all Dyck paths weighted by the area between a path and the diagonal:

$$c_n(q,1) = \sum_D q^{\binom{n}{2} - |D|}$$

Examples:

$$egin{aligned} & c_1(q,t) = 1, \quad c_2(q,t) = q+t, \ & c_3(q,t) = q^3 + q^2t + qt + t^2q + t^3. \end{aligned}$$

q, t-Catalan numbers: examples

Another interesting degeneration:  $c_n(q, 1)$  is a sum over all Dyck paths weighted by the area between a path and the diagonal:

$$c_n(q,1) = \sum_D q^{\binom{n}{2} - |D|}$$

Examples:

$$egin{aligned} c_1(q,t) &= 1, \quad c_2(q,t) = q+t, \ c_3(q,t) &= q^3 + q^2t + qt + t^2q + t^3. \end{aligned}$$

We have 1 path with |D| = 0, 1 path with |D| = 1, 2 paths with |D| = 2 and 1 path with |D| = 3:

$$c_3(q,1) = 1 + 2q + q^2 + q^3$$
.

< ∃ ► = √ < (~

q, t-Catalan numbers: Hilbert scheme

 $Hilb^n(\mathbb{C}^2)$  – Hilbert scheme of *n* points on  $\mathbb{C}^2$ .

T – tautological bundle of rank n on it

 $Z = Hilb^n(\mathbb{C}^2, 0)$  – Hilbert scheme of *n* points supported at 0

q, t-Catalan numbers: Hilbert scheme

 $Hilb^n(\mathbb{C}^2)$  – Hilbert scheme of *n* points on  $\mathbb{C}^2$ .

T – tautological bundle of rank n on it

 $Z = Hilb^n(\mathbb{C}^2, 0)$  – Hilbert scheme of *n* points supported at 0

Theorem (M. Haiman) 1.  $H^i(Z, \Lambda^n T) = 0$  for i > 02.  $\chi_{(C^*)^2}(Z, \Lambda^n T) = c_n(q, t)$ .

#### Corollary

The function  $c_n(q, t)$  is a character of  $(C^*)^2$  action on  $H^0(Z, \Lambda^n T)$ , so it is a polynomial with nonnegative coefficients.

Construction

Consider a plane curve singularity  $C = C_{m,n} = \{x^m = y^n\}$ , *m* and *n* coprime. The **compactified Jacobian** of *C* is the moduli space of rank 1 degree 0 torsion-free sheaves on a projective rational curve with unique singularity *C*.

Construction

Consider a plane curve singularity  $C = C_{m,n} = \{x^m = y^n\}$ , *m* and *n* coprime. The **compactified Jacobian** of *C* is the moduli space of rank 1 degree 0 torsion-free sheaves on a projective rational curve with unique singularity *C*.

Local description: consider  $R = \mathbb{C}[[t^m, t^n]]$  – the ring of functions on *C*. The *JC* is the moduli space of *R*-modules inside  $\overline{R} = \mathbb{C}[[t]]$ .

Construction

Consider a plane curve singularity  $C = C_{m,n} = \{x^m = y^n\}$ , *m* and *n* coprime. The **compactified Jacobian** of *C* is the moduli space of rank 1 degree 0 torsion-free sheaves on a projective rational curve with unique singularity *C*.

Local description: consider  $R = \mathbb{C}[[t^m, t^n]]$  – the ring of functions on C. The *JC* is the moduli space of *R*-modules inside  $\overline{R} = \mathbb{C}[[t]]$ .

If (m, n) = (2, 3), we get a Jacobian of a cuspidal cubic. It is a cuspidal cubic itself, *topologically JC*  $\simeq \mathbb{P}^1$ .

Cell decomposition

#### Theorem

(E. G., M. Mazin, 2011) The compactified Jacobian of  $C_{m,n}$  admits an affine cell decomposition. The cells are parametrized by the lattice paths in  $m \times n$  rectangle below the diagonal, and the dimension of such a cell is given by the formula:

dim 
$$\Sigma_D = \frac{(m-1)(n-1)}{2} - h_+(D),$$

where

$$h_+(D)=\sharp\left\{c\in D \mid \left| \begin{array}{c} a(c)\ l(c)+1 \leq rac{m}{n} < rac{a(c)+1}{l(c)}
ight\}.$$

q, t-Catalan numbers

It turns out that in the case (m, n) = (n, n + 1) the statistics  $h_+$  is related to q, t-Catalan numbers.

Theorem

(A. Garsia, M. Haiman, J. Haglund) The q, t-Catalan numbers admit a combinatorial interpretation:

$$c_n(q,t) = \sum_D q^{\binom{n}{2}-|D|} t^{h_+(D)}.$$

q, t-Catalan numbers

It turns out that in the case (m, n) = (n, n + 1) the statistics  $h_+$  is related to q, t-Catalan numbers.

Theorem

(A. Garsia, M. Haiman, J. Haglund) The q, t-Catalan numbers admit a combinatorial interpretation:

$$c_n(q,t)=\sum_D q^{\binom{n}{2}-|D|}t^{h_+(D)}.$$

### Corollary

The Poincaré polynomial of  $JC_{n,n+1}$  equals to

$$P(t) = \sum_{D} t^{\binom{n}{2}-h_{+}(D)} = t^{\binom{n}{2}}c_{n}(1, t^{-1}) = t^{\binom{n}{2}}c_{n}(t^{-1}, 1) = \sum_{D} t^{|D|}.$$

Construction

Let us parametrize the singularity as  $(x, y) = (t^n, t^m)$  and perturb this parametrization:

$$x(t) = t^n + u_2 t^{n-2} + \ldots + u_n, \quad y(t) = t^m + v_2 t^{m-2} + \ldots + v_m.$$

We regard  $u_i$  and  $v_i$  as free parameters, and require that the perturbed parameterization satisfies the equation of our curve:

$$A_{m,n} = \mathbb{C}[u_i, v_i]/(t^n + u_2 t^{n-2} + \ldots + u_n)^m = (t^m + v_2 t^{m-2} + \ldots + v_m)^n.$$

We require the identity of  $x(t)^m$  and  $y(t)^m$  in all orders of *t*-expansion.

Construction cont'd

#### Theorem

(B. Fantechi, L. Göttsche, D. van Straten)

► A<sub>m,n</sub> is a ring of functions on a 0-dimensional complete intersection

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQ@

Construction cont'd

#### Theorem

(B. Fantechi, L. Göttsche, D. van Straten)

► A<sub>m,n</sub> is a ring of functions on a 0-dimensional complete intersection

▲□▶ ▲□▶ ▲□▶ ▲□▶ □ のQ@

• dim 
$$A_{m,n} = \frac{(m+n-1)!}{m!n!}$$

Construction cont'd

#### Theorem

(B. Fantechi, L. Göttsche, D. van Straten)

► A<sub>m,n</sub> is a ring of functions on a 0-dimensional complete intersection

• dim 
$$A_{m,n} = \frac{(m+n-1)!}{m!n!}$$

► *A<sub>m,n</sub>* is naturally graded and its Hilbert series equals to

$$H(q) = \frac{[(m+n-1)!]_q}{[m!]_q[n!]_q}$$

**Conjecture**(L. Göttsche) The ring  $A_{m,n}$  is isomorphic to the cohomology ring of  $JC_{m,n}$ .

Dunkl operators

Consider the polynomial ring  $\mathbb{C}[x]$  in variables  $x_i$  with condition  $\sum x_i = 0$ .

Definition

The Dunkl operator on  $\mathbb{C}[x]$  is defined by a formula

$$D_i f = rac{\partial f}{\partial x_i} - c \sum_{j \neq i} rac{f - s_{ij} f}{x_i - x_j},$$

where  $s_{ij}$  is an transposition of  $x_i$  and  $x_j$ .

Lemma

$$[D_i,D_j]=0.$$

Dunkl operators play important role in the study of the quantum rational Calogero-Moser system.

Finite-dimensional representations

Dunkl operators, multiplications by  $x_i$  and permutations produce the representation of the rational Cherednik algebra  $H_c$  on  $\mathbb{C}[x]$ .

Finite-dimensional representations

Dunkl operators, multiplications by  $x_i$  and permutations produce the representation of the rational Cherednik algebra  $H_c$  on  $\mathbb{C}[x]$ .

#### Theorem

(Y. Berest, P. Etingof, V. Ginzburg)

1. If  $c \neq \frac{m}{n}$ ,  $m \in \mathbb{Z}$ , (m, n) = 1, then  $\mathbb{C}[x]$  is irreducible and there are no finite-dimensional representations of  $H_c$ 

Finite-dimensional representations

Dunkl operators, multiplications by  $x_i$  and permutations produce the representation of the rational Cherednik algebra  $H_c$  on  $\mathbb{C}[x]$ .

#### Theorem

#### (Y. Berest, P. Etingof, V. Ginzburg)

1. If  $c \neq \frac{m}{n}$ ,  $m \in \mathbb{Z}$ , (m, n) = 1, then  $\mathbb{C}[x]$  is irreducible and there are no finite-dimensional representations of  $H_c$ 

2. If  $c = \frac{m}{n}$ , then  $\mathbb{C}[x]$  has a subrepresentation with a finite-dimensional quotient  $L_{\frac{m}{n}}$  and this is a unique finite-dimensional representation of  $H_{\frac{m}{n}}$ .

Finite-dimensional representations

Dunkl operators, multiplications by  $x_i$  and permutations produce the representation of the rational Cherednik algebra  $H_c$  on  $\mathbb{C}[x]$ .

#### Theorem

#### (Y. Berest, P. Etingof, V. Ginzburg)

- 1. If  $c \neq \frac{m}{n}$ ,  $m \in \mathbb{Z}$ , (m, n) = 1, then  $\mathbb{C}[x]$  is irreducible and there are no finite-dimensional representations of  $H_c$
- 2. If  $c = \frac{m}{n}$ , then  $\mathbb{C}[x]$  has a subrepresentation with a finite-dimensional quotient  $L_{\frac{m}{n}}$  and this is a unique finite-dimensional representation of  $H_{\frac{m}{n}}$ .

dim 
$$L_{\frac{m}{n}} = m^{n-1}$$
, dim $(L_{\frac{m}{n}})^{S_n} = \frac{(m+n-1)!}{m!n!}$ 

Finite-dimensional representations

Dunkl operators, multiplications by  $x_i$  and permutations produce the representation of the rational Cherednik algebra  $H_c$  on  $\mathbb{C}[x]$ .

#### Theorem

#### (Y. Berest, P. Etingof, V. Ginzburg)

- 1. If  $c \neq \frac{m}{n}$ ,  $m \in \mathbb{Z}$ , (m, n) = 1, then  $\mathbb{C}[x]$  is irreducible and there are no finite-dimensional representations of  $H_c$
- 2. If  $c = \frac{m}{n}$ , then  $\mathbb{C}[x]$  has a subrepresentation with a finite-dimensional quotient  $L_{\frac{m}{n}}$  and this is a unique finite-dimensional representation of  $H_{\frac{m}{n}}$ .

dim 
$$L_{\frac{m}{n}} = m^{n-1}$$
, dim $(L_{\frac{m}{n}})^{S_n} = \frac{(m+n-1)!}{m!n!}$ 

Rank-level duality

Theorem (D. Calaque, B. Enriquez, P. Etingof)

$$(L_{\frac{m}{n}})^{S_n}\simeq (L_{\frac{n}{m}})^{S_m}.$$

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Rank-level duality

Theorem (D. Calaque, B. Enriquez, P. Etingof)

$$(L_{\frac{m}{n}})^{S_n}\simeq (L_{\frac{n}{m}})^{S_m}.$$

▲□▶ ▲圖▶ ▲臣▶ ▲臣▶ ―臣 … のへで

Theorem  
(E. G., 2011)  
1. 
$$(L_{\frac{m}{n}})^{S_n} = A_{m,n}$$
.

Rank-level duality

Theorem (D. Calaque, B. Enriquez, P. Etingof)

$$(L_{\frac{m}{n}})^{S_n} \simeq (L_{\frac{n}{m}})^{S_m}$$

### Theorem (E. G.,2011) 1. $(L_{\frac{m}{n}})^{S_n} = A_{m,n}$ . 2. $\operatorname{Hom}_{S_n}(\Lambda^k V, L_{\frac{m}{n}}) = \Omega^k(\operatorname{Spec} A_{m,n}), \text{ where } V \text{ is the}$ (n-1)-dimensional representation of $S_n$ .

Rank-level duality

Theorem (D. Calaque, B. Enriquez, P. Etingof)

$$(L_{\frac{m}{n}})^{S_n}\simeq (L_{\frac{n}{m}})^{S_m}$$

### Theorem (E. G.,2011) 1. $(L_{\frac{m}{n}})^{S_n} = A_{m,n}$ . 2. $\operatorname{Hom}_{S_n}(\Lambda^k V, L_{\frac{m}{n}}) = \Omega^k(\operatorname{Spec} A_{m,n}), \text{ where } V \text{ is the}$ (n-1)-dimensional representation of $S_n$ .

# Knot homology

Torus knots

The intersection of singularity  $C_{m,n}$  with a small 3-sphere centered at the origin is the (m, n) torus knot.



### Knot homology

Conjecture

In 2005 M. Khovanov and L. Rozansky introduced triply graded knot homology theory, whose (bigraded) Euler characteristic gives the HOMFLY-PT polynomial. **Conjecture** (E.G., A. Oblomkov, J. Rasmussen, V. Shende) The triply graded Khovanov-Rozansky homology of (m, n) torus knot is isomorphic to

$$\mathcal{H}(T_{m,n})\simeq \bigoplus_k \operatorname{Hom}_{\mathcal{S}_n}(\Lambda^k V, L_{\frac{m}{n}}),$$

where V is the (n-1)-dimensional representation of  $S_n$ .

### Knot homology

Conjecture

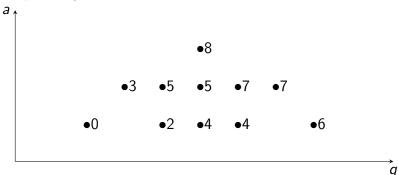
In 2005 M. Khovanov and L. Rozansky introduced triply graded knot homology theory, whose (bigraded) Euler characteristic gives the HOMFLY-PT polynomial. **Conjecture** (E.G., A. Oblomkov, J. Rasmussen, V. Shende) The triply graded Khovanov-Rozansky homology of (m, n) torus knot is isomorphic to

$$\mathcal{H}(T_{m,n})\simeq \bigoplus_k \operatorname{Hom}_{S_n}(\Lambda^k V, L_{\frac{m}{n}}),$$

where V is the (n-1)-dimensional representation of  $S_n$ . For k = 0 we get  $(L_{\frac{m}{n}})^{S_n}$ .

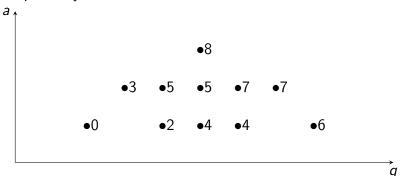
### Knot homology Example: (3,4) torus knot

For (3,4) torus knot the triply graded homology were computed by S. Gukov, N. Dunfield and J. Rasmussen:



### Knot homology Example: (3,4) torus knot

For (3,4) torus knot the triply graded homology were computed by S. Gukov, N. Dunfield and J. Rasmussen:



In the lowest *a*-grading we see slightly regraded  $c_3(q, t)$ .

# Thank you.

<□ > < @ > < E > < E > E のQ @