

# Positroids, knots, and q,t-Catalan numbers.

Joint work with Thomas Lam

[GL20] P. Galashin and T. Lam. Positroids, knots, and q,t-Catalan numbers. [arXiv:2012.09745](#).

[GL21] P. Galashin and T. Lam. Positroid Catalan numbers. [arXiv:2104.05701](#).

**Definition.** The *Grassmannian*  $\text{Gr}(k, n; \mathbb{C})$  is the space of  $k$ -dimensional linear subspaces of  $\mathbb{C}^n$ .

$$\text{Gr}(k, n; \mathbb{C}) \cong \frac{\{M \in \text{Mat}_{k \times n}(\mathbb{C}) \mid \text{rk}(M) = k\}}{\text{row operations}}.$$

**Definition** (*Top open positroid variety*).

$$\Pi_{k,n}^\circ := \{V \in \text{Gr}(k, n) \mid \Delta_{r+1, \dots, r+k}(V) \neq 0 \text{ for all } r \in [n]\}.$$

**Example** ( $k = 2, n = 4$ ).

$$\Pi_{2,4}^\circ \cong \left\{ \begin{pmatrix} 1 & 0 & a & b \\ 0 & 1 & c & d \end{pmatrix} \middle| \begin{array}{l} a, b, c, d \in \mathbb{C} : \\ a, d \neq 0, ad - bc \neq 0 \end{array} \right\}.$$

- $\#\Pi_{k,n}^\circ(\mathbb{F}_q) = ?$

- Poincaré polynomial of  $\Pi_{k,n}^\circ(\mathbb{C})$ ?

▷ For  $\text{Gr}(k, n)$ , both are given by

$$\begin{bmatrix} n \\ k \end{bmatrix}_q := \frac{[n]_q!}{[k]_q![n-k]_q!} = \sum_{\lambda \subseteq k \times (n-k)} q^{|\lambda|}, \quad \text{where}$$

$$[n]_q := 1 + q + \dots + q^{n-1}, \quad [n]_q! := [1]_q \cdot [2]_q \cdots [n]_q.$$

**Definition** (*Rational Catalan numbers*).

- For  $a, b \geq 1$  with  $\gcd(a, b) = 1$ , let

$$C_{a,b} := \frac{1}{a+b} \binom{a+b}{a} = \# \text{Dyck}_{a,b}, \quad \text{where}$$

$$\text{Dyck}_{a,b} := \{\text{Dyck paths inside an } a \times b \text{ rectangle}\}$$

**Theorem** ([GL20]). Let  $\gcd(k, n) = 1$ .

- **Point count** of  $\Pi_{k,n}^\circ$ :

$$\#\Pi_{k,n}^\circ(\mathbb{F}_q) = (q-1)^{n-1} \cdot C'_{k,n-k}(q), \quad \text{where}$$

$$C'_{a,b}(q) = \frac{1}{[a+b]_q} \begin{bmatrix} a+b \\ a \end{bmatrix}_q.$$

- **Poincaré polynomial** of  $\Pi_{k,n}^\circ$ :

$$\mathcal{P}(\Pi_{k,n}^\circ; q) = (q+1)^{n-1} \cdot C''_{k,n-k}(q), \quad \text{where}$$

$$C''_{a,b}(q) = \sum_{P \in \text{Dyck}_{a,b}} q^{\text{area}(P)}.$$

**Corollary.**

$$\text{Prob}(V \in \Pi_{k,n}^\circ(\mathbb{F}_q)) = \frac{(q-1)^n}{q^n - 1}.$$

- Somehow the RHS does not depend on  $k$ .

**Question.** Common generalization?

## Left hand side.

- $H^*(\Pi_{k,n}^\circ)$  admits a second grading coming from the *Deligne splitting*:

$$H^i(\Pi_{k,n}^\circ) = \bigoplus_{p \in \mathbb{Z}} H^{i,(p,p)}(\Pi_{k,n}^\circ).$$

- The corresponding *mixed Hodge polynomial*  $\mathcal{P}(\Pi_{k,n}^\circ; q, t) \in \mathbb{N}[q^{\frac{1}{2}}, t^{\frac{1}{2}}]$  specializes to both  $\#\Pi_{k,n}^\circ(\mathbb{F}_q)$  and  $\mathcal{P}(\Pi_{k,n}^\circ; q)$ .

## Right hand side.

- *Rational q,t-Catalan numbers*:

$$C_{a,b}(q, t) := \sum_{P \in \text{Dyck}_{a,b}} q^{\text{area}(P)} t^{\text{dinv}(P)}.$$

- Specializes to both  $C'_{a,b}(q)$  and  $C''_{a,b}(q)$ .

[GH96] A. M. Garsia and M. Haiman. A remarkable  $q, t$ -Catalan sequence and  $q$ -Lagrange inversion. *J. Algebraic Combin.*, 5(3):191–244, 1996.

[LW09] N. A. Loehr and G. S. Warrington. A continuous family of partition statistics equidistributed with length. *J. Combin. Theory Ser. A*, 116(2):379–403, 2009.

**Theorem** ([GL20]). Let  $\gcd(k, n) = 1$ . Then the mixed Hodge polynomial of  $\Pi_{k,n}^\circ$  is given by

$$\mathcal{P}(\Pi_{k,n}^\circ; q, t) = \left(q^{\frac{1}{2}} + t^{\frac{1}{2}}\right)^{n-1} C_{k,n-k}(q, t).$$

**Corollary.**  $C_{a,b}(q, t)$  are  $q, t$ -symmetric and  $q, t$ -unimodal.

- symmetry is known, unimodality is new.

- Both follow from the *curious Lefschetz property* of cluster varieties:

[LS16] T. Lam and D. E. Speyer. Cohomology of cluster varieties. I. Locally acyclic case. [arXiv:1604.06843](#), 2016.

[GL19] P. Galashin and T. Lam. Positroid varieties and cluster algebras. [arXiv:1906.03501](#), 2019.

## Arbitrary positroid varieties.

- Recall:

$$\text{Gr}(k, n; \mathbb{C}) \cong \frac{\{M \in \text{Mat}_{k \times n}(\mathbb{C}) \mid \text{rk}(M) = k\}}{\text{row operations}}.$$

- For a  $k \times n$  matrix  $M$  with columns  $M_1, M_2, \dots, M_n$ , let  $M_{j+n} := M_j$  for all  $j \in \mathbb{Z}$ .

- Let  $f_M : [n] \rightarrow [n]$  be given by

$$f_M(i) \equiv \min\{j \geq i \mid$$

$$M_i \in \text{span}(M_{i+1}, M_{i+2}, \dots, M_j)\}$$

modulo  $n$ .

- $f_M$  is a permutation which depends only on the row span of  $M$ .

- For a permutation  $f \in S_n$ , the *open positroid variety*  $\Pi_f^\circ \subseteq \text{Gr}(k, n)$  is defined by

$$\mathcal{P}(\Pi_f^\circ; q, t) = (q+1)^{n-1} \cdot C''_{k,n-k}(q, t),$$

$$C''_{a,b}(q, t) = \sum_{P \in \text{Dyck}_{a,b}} q^{\text{area}(P)} t^{\text{dinv}(P)}.$$

- Then  $\Pi_{k,n}^\circ = \Pi_{f_{k,n}}^\circ$ .

**Question.**  $\mathcal{P}(\Pi_f^\circ; q, t) = ?$

## Positroid links.

**Definition.** Permutation  $f \in S_n \longrightarrow \text{link } \hat{\beta}_f$ :

- Connect  $i \mapsto f(i)$  by a strand;

- If two strands cross, whichever one has smaller target goes above.

## Right hand side.

- *Rational q,t-Catalan numbers*:

$$C_{a,b}(q, t) := \sum_{P \in \text{Dyck}_{a,b}} q^{\text{area}(P)} t^{\text{dinv}(P)}.$$

- Specializes to both  $C'_{a,b}(q)$  and  $C''_{a,b}(q)$ .

[GH96] A. M. Garsia and M. Haiman. A remarkable  $q, t$ -Catalan sequence and  $q$ -Lagrange inversion. *J. Algebraic Combin.*, 5(3):191–244, 1996.

[STWZ19] V. Shende, D. Treumann, H. Williams, and E. Zaslow. Cluster varieties from Legendrian knots.

[Duke Math. J., 149(10):1710–1752, 2013.]

• Let  $f_{k,n} \in S_n$  be defined as

$$f_{k,n}(i) \equiv i + k \pmod{n} \quad \text{for all } i \in [n].$$

- Then  $\Pi_{k,n}^\circ = \Pi_{f_{k,n}}^\circ$ .

**Question.**  $\mathcal{P}(\Pi_f^\circ; q, t) = ?$

## Positroid links.

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- Connect  $i \mapsto f(i)$  by a strand;

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[FPST17] S. Fomin, P. Pylyavskyy, E. Shustin, and D. Thurston. Morsifications and mutations.

[arXiv:1711.10598v3, 2017.]

[STWZ19] V. Shende, D. Treumann, H. Williams, and E. Zaslow. Cluster varieties from Legendrian knots.

[Duke Math. J., 168(15):2801–2871, 2019.]

- The torus  $T \subseteq \text{SL}_n$  of diagonal matrices acts on  $\Pi_f^\circ$  by rescaling columns.

**Lemma.** The following are equivalent.

- $T$ -action on  $\Pi_f^\circ$  is free;

- $f$  is a single cycle ( $c(f) = 1$ )

- $\hat{\beta}_f$  is a knot.

- $f_{k,n}$  is a single cycle iff  $\gcd(k, n) = 1$ .

- If  $T$  acts freely, we have

$$\mathcal{P}(\Pi_f^\circ; q, t) = \left(q^{\frac{1}{2}} + t^{\frac{1}{2}}\right)^{n-1} C_{k,n-k}(q, t).$$

- Let  $\mathcal{P}_{\text{KR}}(\hat{\beta}_f; a, q, t)$  denote the triply-graded KR homology polynomial of  $\hat{\beta}_f$ .

**Theorem** ([GL20]). For any  $v \leq w \in W$ ,

$$\mathcal{P}(\Pi_f^\circ/T; q, t) = \mathcal{P}_{\text{KR}}(\hat{\beta}_f; q, t),$$

where  $F_{v,w}^\bullet = F^\bullet(w) \otimes F^\bullet(v)^{-1}$  is the Rouquier complex of  $\beta_{v,w}$ .

## Most general result.

- *Richardson varieties*:  $\Pi_f^\circ \cong R_{v,w}^\circ$ , where  $v \leq w \in S_n$  satisfy  $f = wv^{-1}$ , and

$$R_{v,w}^\circ := (BwB \cap B_-vB)/B \subseteq G/B.$$

- Richardson braid:  $\beta_{v,w} := \beta(w) \cdot \beta(v)^{-1}$ , where  $\beta(w)$  denotes positive braid lift of  $w \in S_n$ .

**Theorem** ([GL20]). For any  $v \leq w \in W$ ,

$$H\mathbb{H}^0(F_{v,w}^\bullet) \cong H_{T,c}^*(R_{v,w}^\circ),$$

where  $F_{v,w}^\bullet = F^\bullet(w) \otimes F^\bullet(v)^{-1}$  is the Rouquier complex of  $\beta_{v,w}$ .

## Proof sketch.

[skipped...]

$q = t = 1$  specialization.

[GL21] P. Galashin and T. Lam. Positroid Catalan numbers. [arXiv:2104.05701](https://arxiv.org/abs/2104.05701).

- Let  $f \in S_n$  be a single cycle.
- $\Pi_f^\circ \subseteq \text{Gr}(k(f), n(f))$ , where

$$n(f) := n, \quad k(f) := \#\{i \in [n] \mid f(i) < i\};$$

$$\gamma(f) := (k(f), n(f) - k(f)).$$

- Extend  $f$  to a map  $\tilde{f} : \mathbb{Z} \rightarrow \mathbb{Z}$  so that  $i < \tilde{f}(i) < i + n$  and  $\tilde{f}(i + n) = \tilde{f}(i) + n \ \forall i$ .
  - Let  $\ell(\tilde{f})$  be the number of *inversions* of  $\tilde{f}$ :
- $$\ell(\tilde{f}) := \#\{i < j \mid \tilde{f}(i) > \tilde{f}(j), i \in [n]\}.$$
- $\dim \Pi_f^\circ = k(n - k) - \ell(\tilde{f})$ .
  - Let  $i < j$  be an inversion. Swapping  $f(i)$  and  $f(j)$  splits  $f$  into two cycles,  $f_1^{(i,j)}$  and  $f_2^{(i,j)}$ .
  - Let  $\Gamma(f)$  be the multiset of points  $\gamma(f_1^{(i,j)})$  for all inversions of  $\tilde{f}$ .

**Definition.**  $f$  is *repetition-free* if the multiset  $\Gamma(f)$  has no repeated elements.

- Let  $\hat{\Gamma}(f) := \Gamma(f) \sqcup \{(0, 0), (k, n - k)\}$ .

• We say that  $\Gamma(f)$  is *convex* if  $\hat{\Gamma}(f)$  contains all lattice points of its convex hull.

**Theorem** ([GL21]).

- If  $f$  is repetition-free then  $\Gamma(f)$  is convex and centrally symmetric.
- Conversely, any convex centrally symmetric subset of  $[k - 1] \times [n - k - 1]$  arises this way.
- For  $f$  repetition-free,  $\mathcal{P}(\Pi_f^\circ / T; q = t = 1)$  counts the number of Dyck paths avoiding  $\Gamma(f)$ .
- Conjecturally,  $\mathcal{P}(\Pi_f^\circ / T; q, t)$  for  $f$  repetition-free coincides with the generalized Catalan numbers of [GHSR20].

[GHSR20] E. Gorsky, G. Hawkes, A. Schilling, and J. Rainbolt. Generalized  $q, t$ -Catalan numbers. *Algebr. Comb.*, 3(4):855–886, 2020.

[BHMPS21] J. Blasiak, M. Haiman, J. Morse, A. Pun, and G. H. Seelinger. A Shuffle Theorem for Paths Under Any Line. [arXiv:2102.07931](https://arxiv.org/abs/2102.07931), 2021.