

# Extensions of amenable groups by recurrent groupoids

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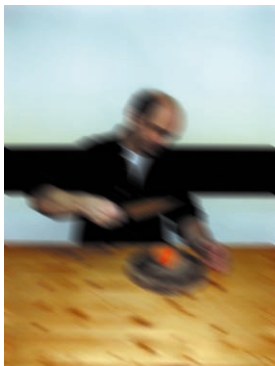
**Banach-Tarski Paradox, 1924:** There exists a decomposition of a ball into a finite number of non-overlapping pieces, which can be assembled together into two identical copies of the original ball.

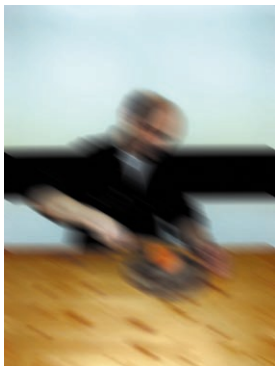










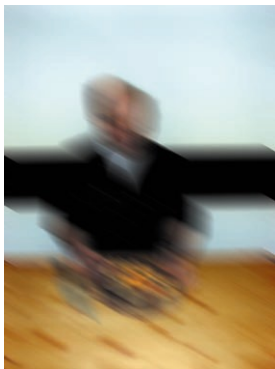














## Amenable actions

### Definition

An action of a discrete group  $G$  on a set  $X$  is **amenable** if there exists a map  $\mu : \mathcal{P}(X) \rightarrow [0, 1]$  such that

1.  $\mu(X) = 1$ ,  $\mu$  is finitely additive
2.  $\mu(gE) = \mu(E)$  for all  $E \subset X$  and  $g \in G$ .

### Definition

$G$  is **amenable** if the action of  $G$  on itself by left multiplication is amenable.

### Fact

$G$  is amenable iff there exists an amenable action of  $G$  on a set  $X$  such that  $Stab_G(x)$  is amenable for all  $x \in X$ .

## Examples

Amenable groups	Non-amenable groups
finite, abelian, solvable, nilpotent of subexponential growth	free groups $\mathbb{F}_n$ , $n \geq 2$ , free Burnside groups, Tarski monsters
<i>Closed under:</i> taking subgroups, extensions, quotients, inductive limits	All groups that contain a non-amenable subgroup

... and much more examples.

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## Amenable actions of non-amenable groups

Let  $W(\mathbb{Z})$  be **the wobbling group** of integers, i.e.  $W(\mathbb{Z})$  consists of all bijections  $g : \mathbb{Z} \rightarrow \mathbb{Z}$  such that

$$|g(j) - j| \text{ is uniformly bounded.}$$

Obviously, the action of  $W(\mathbb{Z})$  on  $\mathbb{Z}$  admits an invariant mean.

**van Douwen:**  $\mathbb{F}_2 < W(\mathbb{Z})$ .

We assume:  $G$  act on  $X$  transitively;  $G$  is generated by a finite set  $S$ .

### Definition

An action of  $G$  on  $X$  is **recurrent** if the probability of returning to  $x_0$  after starting at  $x_0$  is equal to 1 for some (hence for any)  $x_0 \in X$ . An action is transient if it is not recurrent.

**Note:** The action of  $G$  on itself is recurrent  $\iff G$  is virtually  $\{0\}$ ,  $\mathbb{Z}$  or  $\mathbb{Z}^2$ . Moreover, all recurrent actions are amenable.

### Theorem

*If there exists an increasing to  $X$  sequence of subsets  $X_i$  such that  $\sum_i |\partial X_i|^{-1} = \infty$  then the action is recurrent.*

## Amenability of the actions of semidirect products and recurrent actions

Let  $\mathcal{P}_f(X)$  be the set of finite subsets of  $X$  considered as a group with multiplication given by symmetric difference. Then  $G$  acts on  $\mathcal{P}_f(X)$  by  $g \cdot \{n_1, \dots, n_k\} = \{g(n_1), \dots, g(n_k)\}$ .

**Lemma (Juschenko, Monod, 2011)**

Fix  $p \in X$  and let  $L_2(\{0, 1\}^X, \mu)$  be the standard Bernoulli space. TFAE:

- (i) The action of  $G \ltimes \mathcal{P}_f(X)$  on  $\mathcal{P}_f(X)$  is amenable
- (ii) There exists a net of unit vectors  $f_n \in L_2(\{0, 1\}^X, \mu)$  such that for every  $g \in G$

$$\|gf_n - f_n\|_2 \rightarrow 0 \text{ and } \|f_n \cdot \chi_{\{(\omega_x) \in \{0, 1\}^X : \omega_p = 0\}}\| \rightarrow 1.$$

**Proof:**

$f_n \in L^2(\{0, 1\}^X, \mu)$  with  $\|f_n\|_2 = 1$  and

$$\|g \cdot f_n - f_n\|_2 \rightarrow 0, \text{ for every } g \in G,$$

$$\|f_n \cdot \chi_{\{\omega_j \in \{0,1\}^X : \omega_p = 0\}}\| \rightarrow 1.$$

We can identify the Pontryagin dual of  $\mathcal{P}_f(X)$  with  $\{0, 1\}^X$  by pairing:

$$\phi(E, \omega) = \exp(i\pi \sum_{j \in E} \omega_j)$$

Let  $\hat{f}_n \in l_2(\mathcal{P}_f(X))$  be the Fourier transform of  $f_n$ :

$$\hat{f}_n(E) = \int_{\{0,1\}^X} f_n(\omega) \exp(i\pi \sum_{j \in E} \omega_j) d\omega$$

1.  $\hat{f}_n$  are  $G$ -almost invariant.
2.  $\hat{f}_n$  are  $\{p\}$ -almost invariant. Thus there exists  $\mathcal{P}_f(X) \rtimes G$ -almost invariant mean on  $\mathcal{P}_f(X)$ .

- (ii) There exists a net of unit vectors  $f_n \in L_2(\{0, 1\}^X, \mu)$  such that for every  $g \in G$

$$\|gf_n - f_n\|_2 \rightarrow 0 \text{ and } \|f_n \cdot \chi_{\{(\omega_x) \in \{0,1\}^X : \omega_p=0\}}\| \rightarrow 1.$$

We say that the function  $f \in L_2(\{0, 1\}^X, \mu)$  is *p.i.r.* if  $f(\omega) = \prod_{x \in X} f_x(\omega_x)$

### Theorem (J+N+dIS)

*The action of  $G$  on  $X$  is recurrent iff there exists a net of p.i.r. which satisfies (ii). In particular, if the action is recurrent then the action of  $G \times \mathcal{P}_f(X)$  on  $\mathcal{P}_f(X)$  is amenable.*

## Elementary amenable groups.

### Definition

The class of elementary **amenable groups** is the smaller class which contain all finite and abelian groups and closed under taking subgroups, quotients, extensions and direct limits.

**Day's problem, '57:** find non-elementary amenable group.

**Grigorchuk, '83:** Grigorchuk's group of intermediate group

**(Grigorchuk, Zuk '02)+(amenability proof of Bartholdi, Virag '05):** Basilica group

**Juschenko, Monod '11:** the full topological group of Cantor minimal system

## Actions on topological spaces

Let  $G$  be a group acting by homeomorphisms on a topological space  $\mathcal{X}$ .

**The full topological group** of the action,  $[[G]]$ , is the group of all homeomorphisms  $h$  of  $\mathcal{X}$  such that for every  $x \in \mathcal{X}$  there exists a neighborhood of  $x$  such that restriction of  $h$  to that neighborhood is equal to restriction of an element of  $G$ .

For  $x \in \mathcal{X}$  **the group of germs** of  $G$  at  $x$  is the quotient of the stabilizer of  $x$  by the subgroup of elements acting trivially on a neighborhood of  $x$ .

## Theorem (J+N+dIS)

Let  $G$  and  $H$  be groups of homeomorphisms of a compact topological space  $\mathcal{X}$ , and  $G$  is finitely generated. Suppose that the following conditions hold:

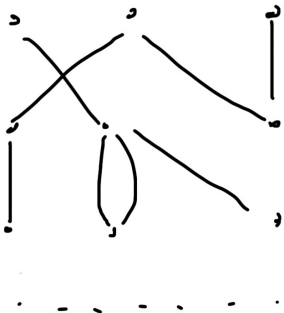
1. The full group  $[[H]]$  is amenable.
2. For every element  $g \in G$ , the set of points  $x \in X$  such that  $g$  does not coincide with an element of  $H$  on any neighborhood of  $x$  is finite.
3. For every point  $x \in \mathcal{X}$  the Schreier graph of the action of  $G$  on the orbit of  $x$  is recurrent.
4. For every  $x \in \mathcal{X}$  the group of germs of  $G$  at  $x$  is amenable.

Then the group  $G$  is amenable. Moreover, the group  $[[G]]$  is amenable.



## Actions on Bratteli diagrams

A Bratteli diagram  $D = ((V_i)_{i \geq 1}, (E_i)_{i \geq 1}, o, t)$  is defined by two sequences of finite sets  $(V_i)_{i=1,2,\dots}$  and  $(E_i)_{i=1,2,\dots}$ , and sequences of maps  $o : E_i \rightarrow V_i, t : E_i \rightarrow V_{i+1}$ .



$\mathcal{X} = \Omega(D)$  is the set of all infinite paths in  $D$ .

Let  $v$  and  $w$  be paths of length  $n$  that end in the same vertex.  $T_{v,w}$  be a homeomorphism of  $\Omega(D)$  that maps a path of the form  $(v, x_{n+1}, x_{n+2} \dots)$  to  $(w, x_{n+1}, x_{n+2} \dots)$ .

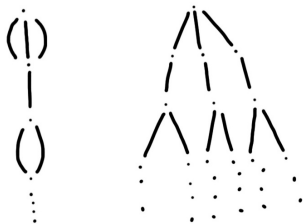
Let  $F : \Omega \rightarrow \Omega$  be a homeomorphism. For  $t \in V_i$  denote by  $\alpha_t(F)$  the number of paths  $w$  that end in  $t$  such that  $F|_{w\Omega}$  is not equal to a transformation of the form  $T_{w,u}$  for some  $u$  that ends in  $t$ .

The homeomorphism  $F$  is said to be *of bounded type* if  $\alpha_v(F)$  is uniformly bounded and the set of the points  $x \in \Omega(D)$  such that  $F$  does not coincide with  $T_{\omega_1, \omega_2}$  on any neighborhood of  $x$  is finite.

### Theorem (J+N+dIS)

*Let  $D$  be a Bratteli diagram. Let  $G$  be a group acting faithfully by homeomorphisms of bounded type on  $\Omega(D)$ . Suppose that all groups of germs of  $G$  are amenable. Then the group  $G$  is amenable.*

## Automorphisms of rooted trees



Denote by  $X^*$  a rooted homogeneous tree and  $X^n$  is its  $n$ -th level. For every  $g \in \text{Aut } X^*$  and  $v \in X^n$  there exists an automorphism  $g|_v \in \text{Aut } X^*$  such that

$$g(vw) = g(v)g|_v(w)$$

An automorphism  $g \in \text{Aut } X^*$  is *finite-state* if the set  $\{g|_v : v \in X^*\} \subset \text{Aut } X^*$  is finite.

Denote by  $\alpha_n(g)$  the number of paths  $v \in X^n$  such that  $g|_v$  is non-trivial. We say that  $g \in \text{Aut } X^*$  is *bounded* if the sequence  $\alpha_n(g)$  is bounded.

Corollary (Bartholdi, Nekrashevych, Kaimanovich, Duke '09)

*The group of bounded automata of finite state is amenable.*

Corollary

*The group of bounded automata are amenable.*

Corollary (Amir, Angel, Virag, JEMS '10)

*The group of automata of linear growth are amenable.*

Corollary

*The group of automata of quadratic growth are amenable.*

## Cantor minimal systems

$C$  - Cantor space,  $T : C \rightarrow C$  be a homeomorphism.

The system  $(T, C)$  is **minimal** if there is no non-trivial closed  $T$ -invariant subsets in  $C$ .

Corollary (Juschenko, Monod, *Annals of Math.* '13)

*The full topological group of Cantor minimal system is amenable.*

The *Basilica group* is generated by two automorphisms  $a, b$  of the binary rooted tree given by the rules

$$\begin{aligned} a(0v) &= 1v, & a(1v) &= 0b(v), \\ b(0v) &= 0v, & b(1v) &= 1a(v). \end{aligned}$$

Theorem (Grigorchuk, Zuk, IJAC, '02)

*The Basilica group is not elementary amenable*

Corollary (Bartholdi, Virag, Duke '05)

*The Basilica group is amenable*

## Summary

The following are amenable

- ▶ Groups of bounded, linear and quadratic growth
- ▶ uncountably many modifications of Grigorchuk's group
- ▶ Basilica group
- ▶ Penrose tiling group
- ▶ Neuman-Segal groups (non-uniformly exponential growth)
- ▶ Groups naturally appearing in holomorphic dynamics:  
iterated monodromy group of polynomial iterations,  
holonomy group of the stable foliation of the Julia of Hénon  
maps
- ▶ ?



The End