

## Lecture #19      Bases & Dimension

A set of vectors that is linearly independent and spans a vector space  $V$  is called a basis for  $V$ .

If the number of vector in a basis is finite and equals  $n$  we say that  $V$  is a finite-dimensional vector space of dimension  $n \equiv \dim V$

Ex  $P_n(t)$  has a basis  $\{1, t, \dots, t^n\}$

because every degree  $n$  polynomial is a sum

$$a^0 \cdot 1 + a^1 \cdot t + \dots + a^n \cdot t^n$$

so  $P_n(t) = \text{span} \{1, t, \dots, t^n\}$

and this set of vectors is linearly independent. (This is true because

$$c^0 \cdot 1 + c^1 \cdot t + \dots + c^n \cdot t^n = 0 \text{ means that}$$

the LHS is the zero polynomial  $\Rightarrow c^0 = c^1 = \dots = c^n = 0$ .)

Hence  $\dim P_n(t) = n + 1$ .

Theorem If  $\{v_1, \dots, v_n\}$  is  
a basis for a vector space  $V$ ,  
then every vector  $v$  can be written  
uniquely as a linear combination

$$v = c^1 v_1 + c^2 v_2 + \dots + c^n v_n.$$

Proof  $\{v^1, \dots, v^n\}$  is a basis so  
must span  $V$  which means any  $v \in V$   
can be written

$$v = c^1 v_1 + \dots + c^n v_n$$

Now suppose we found a different  
linear combination equaling  $v$

$$v = d^1 v_1 + \dots + d^n v_n$$

$$\Rightarrow 0 = v - v = (c^1 - d^1) v_1 + \dots + (c^n - d^n) v_n$$

with not all  $c^i - d^i$  vanishing. Then

$v_1, \dots, v_n$  would be linearly independent  
and could not be a basis. This is a  
contradiction.  $\square$

WARNING Bases give you a unique way to express vectors, but bases are themselves unique.

EX  $\left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}$  and  $\left\{ \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right\}$  are both bases for  $\mathbb{R}^2$ .

### Bases in $\mathbb{R}^n$

A review question asked you to show

$$\text{span} \left\{ \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix} \right\} = \mathbb{R}^n$$

and that this set of vectors were independent. That means

$$\begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix} \text{ is a basis for } \mathbb{R}^n$$

and that  $\boxed{\dim \mathbb{R}^n = n}$  This is often called the standard or canonical basis for  $\mathbb{R}^n$ . It corresponds to a unit (length 1) vector pointing in the direction of each Cartesian coordinate axis. In  $\mathbb{R}^3$  you called this basis  $\{i, j, k\}$ .

Testing if vectors  $v_1, \dots, v_m$  form a basis for  $\mathbb{R}^n$ :

- (i) Must have  $m = n = \dim(\mathbb{R}^n)$
- (ii) We need to check if  $v_1, \dots, v_n$  are linearly independent and whether they span  $\mathbb{R}^n$ .

Spanning  $\mathbb{R}^n$  requires we solve

$V = x^1 v_1 + x^2 v_2 + \dots + x^n v_n$   
for an arbitrary  $V$  in  $\mathbb{R}^n$  and  
linear independence requires

$0 = x^1 v_1 + x^2 v_2 + \dots + x^n v_n$   
has no non-zero solution for  $x^1, \dots, x^n$ .  
Notice if we call

$M = (v_1, \dots, v_n)$   $n \times n$  matrix of  
column vectors and

$x = \begin{pmatrix} x^1 \\ \vdots \\ x^n \end{pmatrix}$  column vector of coefficients

then we have two linear systems

$MX = V$  solution for spanning

$MX = 0$  no non-zero solutions for  
linear independence

This is really a linear system & its associated  
homogeneous system. We are requiring  
that there is only a single particular system.

Hence, we simply need to ensure that  $M^{-1}$  exists so that

$$X = M^{-1}V \text{ uniquely.}$$

We could bring  $M$  to RREF or simpler just require  $\det M \neq 0$ .

Thus,  $v_1, \dots, v_n$  is a basis for  $\mathbb{R}^n$  if and only if

$$\det(v_1, v_2, \dots, v_n) \neq 0.$$

Ex  $\left\{ \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}$  and  $\left\{ \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right\}$  are

both bases for  $\mathbb{R}^2$  because

$$\det \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = 1 \neq 0$$

$$\det \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = -2 \neq 0.$$

We defined the dimension of a finite dimensional vector space as the number of vectors in a basis. Could different bases have differing number of vectors?

Lemma If  $v_1, \dots, v_n$  is a basis for  $V$  and  $w_1, \dots, w_m$  are linearly independent then  $m \leq n$ .

Proof clearly

$$V = \text{span}\{w_1, v_1, \dots, v_n\}$$

and this set is linearly dep<sub>nd</sub>

so we can use the linear independence theorem to discard one of the  $v$ 's

$$V = \text{span}\{w_1, v_1, \dots, v_{j-1}, v_{j+1}, \dots, v_n\}$$

where this set is also a basis.

Now by linear independence of the  $w$ 's we can continue successively replacing  $v$ 's by  $w$ 's. If we ever run out of  $w$ 's then  $m \leq n$  which agrees with the lemma. But, suppose some  $w$ 's are left over, then we would have

$$V = \text{span} \{w_1, \dots, w_n\}$$

where  $w_{n+1}, \dots, w_m$  could be written in terms of these  $w$ 's. This contradicts linear independence of the  $w$ 's.

Corollary If  $\{v_1, \dots, v_n\}$  and  $\{w_1, \dots, w_m\}$  are both bases for  $V$  then  $n=m$ .

Proof Apply the lemma twice to learn  $m \leq n$  &  $n \leq m \Rightarrow m = n$ . QED

Remark: Contrast the 3-vectors above to those in  $P_2(t)$  in the previous example!

## Lecture 18 Review Questions:

- ① Prove the converse to the theorem in the Lecture. Namely suppose every  $v \in V$  can be expressed uniquely as a linear combination of  $v^1, \dots, v^n$  then  $\{v^1, \dots, v^n\}$  is a basis for  $V$ .

Hint: First explain why  $v^1, \dots, v^n$  span  $V$ . Then show that  $v^1, \dots, v^n$  are linearly independent.

- ② Show that the set of all linear transformations mapping  $\mathbb{R}^3 \rightarrow \mathbb{R}$  is itself a vector space. Find a basis for this vector space.

Hint represent  $\mathbb{R}^3$  as column vectors and argue that linear transformations  $\mathbb{R}^3 \rightarrow \mathbb{R}$  are really just row vectors.

Hint If you are really stuck, look up "dual space" (but this might be more confusing than helpful!)

