

THE SATURATION CONJECTURE
(AFTER A. KNUTSON AND T. TAO)

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The purpose of this exposition¹ is to give a simple and complete treatment of Knutson and Tao's recent proof of the saturation conjecture [8].

If λ is a partition of length at most n , let V_λ denote the corresponding highest weight representation of $\mathrm{GL}_n(\mathbb{C})$. Define

$$T_n = \{(\lambda, \mu, \nu) \mid V_\nu \subset V_\lambda \otimes V_\mu\}.$$

This set is important in numerous areas besides representation theory. In Schubert calculus it describes when an intersection of Schubert cells must be non-empty. In combinatorics, a triple is in T_n if and only if there exists a Littlewood-Richardson skew tableau with shape ν/λ and content μ .

It is well known that $T_n \subset \mathbb{Z}^{3n}$ is a semi-group under addition, a fact which Zelevinsky attributes to Brion and Knop [10]. Klyachko has given [7] a nice description of the saturation

$$\bar{T}_n = \{(\lambda, \mu, \nu) \mid \exists N \in \mathbb{N} : (N\lambda, N\mu, N\nu) \in T_n\}.$$

A triple (λ, μ, ν) is in \bar{T}_n if and only if the entries of λ , μ , and ν satisfy certain inequalities that come from Schubert calculus (see also [5]). This made the following conjecture particularly important.

Saturation conjecture. $(\lambda, \mu, \nu) \in T_n \iff (N\lambda, N\mu, N\nu) \in T_n$.

In other words T_n is saturated in \mathbb{Z}^{3n} . Note that the implication \Rightarrow is a trivial consequence of the fact that T_n is a semi-group or of the original Littlewood-Richardson rule.

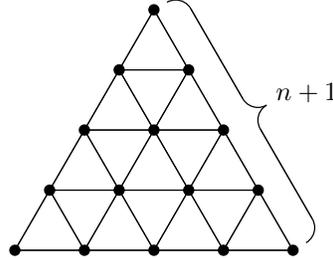
In July 1998, Knutson and Tao gave a proof of this conjecture, using two wonderful new descriptions of Berenstein-Zelevinsky polytopes called the *honeycomb* and *hive* models [8]. The goal of this exposition is to present a simple and complete proof based only on the hive model. Since the final version of Knutson and Tao's paper will likely be based on honeycombs alone, we hope that this may be useful. Most constructions used here come directly from the first version of Knutson and Tao's preprint, even if they may be replaced by honeycomb equivalents in their published paper. One innovation, in Section 3, is the construction of a graph from a hive, which is used to simplify their argument. In an appendix of Fulton it is shown that the hive model is equivalent to the original Littlewood-Richardson rule.

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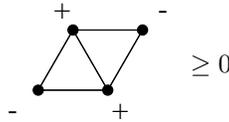
1. THE HIVE MODEL

We start with a triangular array of *hive vertices*, $n + 1$ on each side.



This array is called the (big) *hive triangle*. When lines are drawn through the hive vertices as shown, the hive triangle is split up into n^2 *small triangles*. By a *rhombus* we mean the union of two small triangles next to each other.

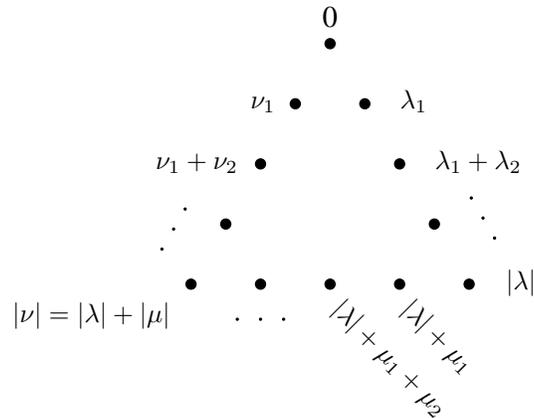
Let H be the set of hive vertices and \mathbb{R}^H the labelings of these by real numbers. Each rhombus gives rise to an inequality on \mathbb{R}^H saying that the sum of the labels at the obtuse vertices must be greater than or equal to the sum of the labels at the acute vertices.



A *hive* is a labeling that satisfies all rhombus inequalities. A hive is *integral* if all its labels are integers. We let $C \subset \mathbb{R}^H$ denote the convex polyhedral cone consisting of all hives.

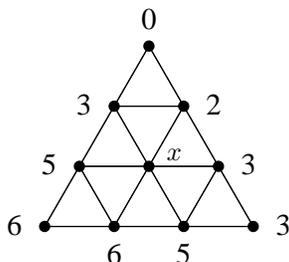
The Littlewood-Richardson coefficient $c_{\lambda\mu}^{\nu}$ is defined to be the multiplicity of V_{ν} in $V_{\lambda} \otimes V_{\mu}$. Equivalently it is the number of Littlewood-Richardson skew tableaux of shape ν/λ and content μ [4, §5.2]. The following theorem illustrates the importance of Berenstein-Zelevinsky polytopes.

Theorem 1. *Let λ , μ , and ν be partitions with $|\nu| = |\lambda| + |\mu|$. Then $c_{\lambda\mu}^{\nu}$ is the number of integral hives with border labels:*



Knutson and Tao prove this by translating hives with integer labels into tail-positive Berenstein-Zelevinsky patterns, which are known to count $c_{\lambda\mu}^\nu$ [1], [10]. An alternative direct proof of Fulton can be found in the appendix.

Example 1. To compute $c_{21,21}^{321}$ we can take $n = 3$ and border labels as in the picture.



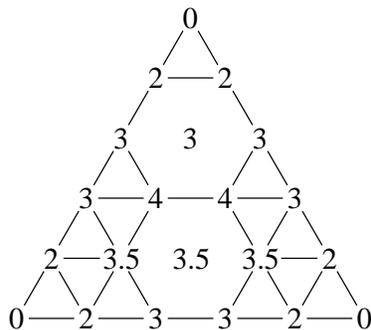
Let x be the undetermined label of the middle hive vertex. Then the rhombus inequalities say that $4 \leq x \leq 5$. It follows that there are two integral hives with this border, so $c_{21,21}^{321} = 2$.

Let B be the set of border vertices, and $\rho : \mathbb{R}^H \rightarrow \mathbb{R}^B$ the restriction map. The restriction of a hive to the border vertices by ρ is called its *border*. For $b \in \mathbb{R}^B$, the fiber $\rho^{-1}(b) \cap C$ is easily seen to be a compact polytope, which we will call the *hive polytope* over b . If b comes from a triple of partitions as in Theorem 1, this is also called the hive polytope over the triple. We will call the vertices of a hive polytope for its *corners*.

We can now describe the strategy of Knutson and Tao’s proof. If $(N\lambda, N\mu, N\nu)$ is in T_n , then the hive polytope over this triple contains an integral hive. By scaling this polytope down by a factor N , it follows that the hive polytope over (λ, μ, ν) is not empty. Therefore it is enough to show that if $b \in \mathbb{Z}^B$ and $\rho^{-1}(b) \cap C \neq \emptyset$ then $\rho^{-1}(b) \cap C$ contains an integral hive.

Let ω be a functional on \mathbb{R}^{H-B} which maps a hive to a linear combination of the labels at non-border vertices, with generic positive coefficients. Then for each $b \in \rho(C)$, ω takes its maximum at a unique hive in $\rho^{-1}(b) \cap C$. The strategy is to prove that this hive is integral if b is integral.

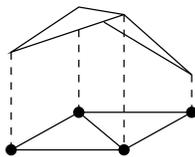
Example 2. Even though all rhombus inequalities are integrally defined, a hive polytope over an integral border can still have non-integral corners. The following hive is an example, and therefore it does not maximize any generic positive functional ω .



In the picture we have omitted the lines across rhombi where the rhombus inequality is satisfied with equality, which makes it easy to see that this hive is a corner of its hive polytope. It is not hard to show that for $n \leq 4$ and $b \in \mathbb{Z}^B$, all corners of $\rho^{-1}(b) \cap C$ are integral hives.

2. FLATSPACES

We can consider a hive as a graph over the hive triangle. At each hive vertex we use the label as the height. We then extend these heights to a graph over the entire hive triangle by using linear interpolation over each small triangle. A rhombus inequality now says that the graph over the rhombus cannot bend up across the middle line.

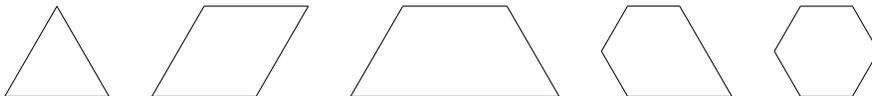


In this way the graph becomes the surface of a convex mountain. The graph is flat (but not necessarily horizontal) over a rhombus if and only if the rhombus inequality is satisfied with equality.

We define a *flatspace* to be a maximal connected union of small triangles such that any contained rhombus is satisfied with equality. The flatspaces split the hive triangle up in disjoint regions over which the mountain is flat. The flatspaces of the hive in Example 2 consist of two hexagons and 13 small triangles.

Flatspaces have a number of nice properties. We will list the ones we need below. Since all of these are straightforward to prove directly from the definitions, we will simply give intuitive reasons for them.

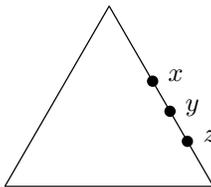
1. **Flatspaces are convex.** This is clear since they lie under intersections of a convex mountain with a (convex) plane.
2. **All flatspaces have one of the following five shapes (up to rotations and different side lengths):**



These are the only convex shapes that can be constructed from small triangles.

3. **A side of a flatspace is either on the border of the big hive triangle, or it is also a side of a neighbor flatspace.** In other words, a side of one flatspace can't be shared between several neighbor flatspaces. This again follows from the convexity of the mountain described above.

Given a labeling $b \in \mathbb{R}^B$, let x, y, z be labels of consecutive border vertices on the same side of the big hive triangle.



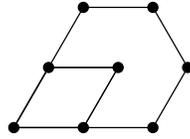
If b is the border of a hive, then the rhombus inequalities imply that $y - x \geq z - y$. We will say that b is *regular* if we always have $y - x > z - y$, when x, y, z are chosen in this way. Regular borders correspond to triples of strictly decreasing partitions.

4. If the border of a hive is regular then no flatspace has a side of length ≥ 2 on the border of the big hive triangle. In fact, if the labels x, y, z above are on a flatspace side, then $y - x = z - y$.

Given a hive, a non-empty subset $S \subset H - B$ is called *increasable*, if the same small positive amount can be added to the labels of all hive vertices in S , such that the labeling is still a hive.

5. The interior vertices of a hexagon-shaped flatspace is an increasable subset. Proving this is a matter of checking that each rhombus inequality still holds after adding a small enough amount to the labels of these vertices. Only rhombi that are already flat need to be considered, since for all others there is some “slack to cut”.

Note that the corresponding statements for flatspaces of other shapes are wrong. The reason is that all other shapes have at least one sharp corner (with a 60° angle). Lifting the interior vertex closest to a sharp corner is prohibited by the inequality of the rhombus in that corner.

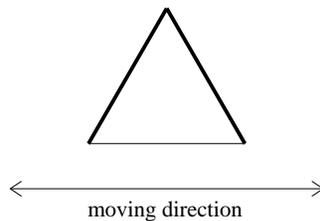


Proposition 1. *If a hive with regular border has no increasable subsets, then its flatspaces consist of small triangles and small rhombi.*

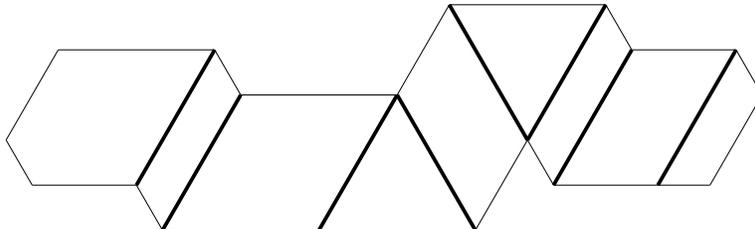
Proof. Otherwise some flatspace has a side of length ≥ 2 . This follows because the only types of flatspaces that have all sides of length one are small triangles, rhombi, and small hexagons, and the later do not occur by property 5.

Let m be the maximal length among all sides of flatspaces. We will proceed by constructing a region consisting of flatspaces with a side of length m , such that the interior hive vertices of the region is an increasable subset. The crucial point is to avoid sharp corners pointing out from the region. We need $m \geq 2$ to be sure that interior vertices exist.

Start by taking any flatspace having a side of length m , and mark this side. In the pictures this is shown by making the side thick. Then choose a line crossing (the extension of) the marked side in an angle of 60° and call it the moving direction. If the flatspace is a triangle or a parallelogram, we furthermore mark an additional side. For a triangle, this is the other side not parallel to the moving direction, while for a parallelogram we mark the side opposite the one already marked.



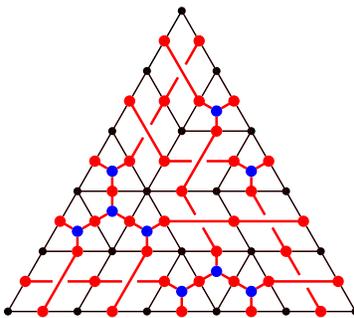
We construct a region, starting with the chosen flatspace. As long as the region has a marked side on its outer border, the flatspace on the opposite side is added to the region. Note that this flatspace is well defined by property 3, since regularity prevents any marked edges from being on the border of the big hive triangle. If the new flatspace is a triangle, we mark its unmarked side which is not parallel to the moving direction. If the new flatspace is a parallelogram, we mark the side opposite the old marked side.



Since the region always grows along the moving direction, it will never go in loops. Now since no marked edge can ever reach the border of the big hive triangle, the described process will stop. By induction it is easy to see that all sharp corners must be on marked sides, and since the final region has no marked sides on its boundary, this region has no outward sharp corners. It is now easy to verify that the interior vertices form an increasable subset, and this contradiction completes the proof. \square

3. SMALL FLATSPACES

Let h be a hive, all of whose flatspaces are small triangles or small rhombi. We construct a (colored) graph G from h as follows. G has one blue vertex in the middle of each small triangle flatspace. In addition there is one red vertex on every flatspace side. Each blue vertex is connected to the three vertices on the sides of its triangle, and the two red vertices on opposite sides of a rhombus are connected. This graph is topologically equivalent to the reduced honeycomb tinkertoy of Knutson and Tao.

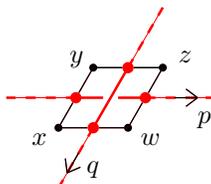


Lemma 1. *If h is a corner of its hive polytope $\rho^{-1}(\rho(h)) \cap C$, then G is acyclic.*

Proof. Suppose G has a non-trivial loop, and give this loop an orientation. Each hive vertex then has a well defined winding number, which is the number of times the loop goes around this vertex, counted positive in the counter clockwise direction. Note that the winding number is zero for each border vertex, and that some winding numbers are non-zero if the loop is not trivial.

For each $r \in \mathbb{R}$, let $h_r \in \mathbb{R}^H$ be the labeling which maps each hive vertex to the label of h at the vertex plus r times the winding number of the vertex. We will show that h_r is a hive for $r \in (-\epsilon, \epsilon)$, $\epsilon > 0$. This implies that h is an interior point of a line segment contained in its hive polytope, which contradicts the assumption that h is a corner.

Choose any $\epsilon > 0$ such that each rhombus inequality that is strict for h is also satisfied for h_r when $|r| < \epsilon$. We claim that this ϵ will do. Consider any rhombus satisfied by h with equality:



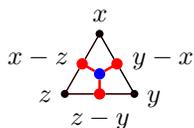
Suppose that the loop goes through the two edges with multiplicities p and q in the indicated directions, and that the vertex with label x has winding number t . Then going clockwise around the rhombus, the winding numbers of the three other vertices are $t + p$, $t + p + q$, and $t + q$. It follows that the labels of h_r are

$$\begin{aligned} y' &= y + r(t + p) & z' &= z + r(t + p + q) \\ x' &= x + rt & w' &= w + r(t + q) \end{aligned}$$

Since the rhombus is flat for h , we have $x + z = y + w$. But this implies that $x' + z' = y' + w'$, and so the rhombus is also flat for h_r . \square

Proposition 2. *Let h be a hive which is a corner of its hive polytope $\rho^{-1}(\rho(h)) \cap C$. Suppose the flatspaces of h consist only of small triangles and small rhombi. Then the labels of h are integer linear combinations of the border labels.*

Proof. By Lemma 1, the graph G for h is acyclic. Label each red vertex with the difference of the labels of the hive vertices on its side:



By construction, the sum of the labels of three red vertices surrounding any blue vertex is zero. Furthermore, if two red vertices are connected by a single edge, then their labels are equal. This follows because the rhombus that separates them is satisfied with equality. We will show that all red vertex labels are \mathbb{Z} -linear combinations of the border labels. Since this implies that also all labels of hive vertices are such linear combinations, this will finish the proof.

Consider any connected component of G . We will use the induction hypothesis that each red endpoint vertex label is a \mathbb{Z} -linear combination of the border labels. This is clearly true at the starting point, since all endpoint vertices are on the border of the big hive triangle.

If an endpoint vertex is connected to another red vertex, we can remove the endpoint (and its edge) from the graph, making the other red vertex a new endpoint. Since the two vertex labels are equal, the induction hypothesis remains valid.

If no endpoint vertices are connected to a red vertex, then since G is acyclic, some blue vertex must be connected to two endpoint vertices. Since the third red vertex connected to this blue vertex has a label which is minus the sum of the two other, it is a \mathbb{Z} -linear combination of the border labels. Therefore we can discard the blue vertex and the two endpoint vertices (with all their edges), without violating the induction hypothesis. Continuing in this way, the whole graph is eventually removed, and at this point we have verified that all labels are \mathbb{Z} -linear combinations of the border labels. \square

4. PROOF OF THE SATURATION CONJECTURE

We will call a functional on \mathbb{R}^{H-B} is *generic* if it takes its maximum at a unique point in $\rho^{-1}(b) \cap C$ for each $b \in \rho(C)$. The existence of generic functionals follows from the existence of secondary fans in linear programming [9, §1]. We can now finish the proof of the saturation conjecture.

Theorem 2. $(\lambda, \mu, \nu) \in T_n \iff (N\lambda, N\mu, N\nu) \in T_n$.

Proof. As already noted, it is enough to show that if $b \in \rho(C) \cap \mathbb{Z}^B$ then the fiber $\rho^{-1}(b) \cap C$ contains an integral hive.

Fix a generic functional ω on \mathbb{R}^{H-B} which maps a hive to a linear combination with positive coefficients of the labels at non-border hive vertices. For each $b \in \rho(C)$, let $\ell(b)$ be the unique point in $\rho^{-1}(b) \cap C$ where ω is maximal. Then $\ell : \rho(C) \rightarrow C$ is a continuous piece-wise linear map [9, §1]. Notice that $\ell(b)$ has no increasable subsets.

For a regular border $b \in \rho(C)$, Proposition 1 implies that the flatspaces of $\ell(b)$ consist of small triangles and rhombi. By Proposition 2 this implies that all labels of $\ell(b)$ are \mathbb{Z} -linear combinations of the labels of b . By continuity it follows that each linear piece of ℓ is integrally defined. In particular $\ell(b)$ is an integral hive if b is integral. \square

5. REMARKS AND QUESTIONS

Knutson and Tao's proof of the saturation conjecture implies that Klyachko's inequalities for T_n can be produced by a simple recursive algorithm, which uses the inequalities for T_k , $1 \leq k \leq n-1$ [7], [8], [5]. Another important consequence is Horn's conjecture, which says that the same inequalities describe which sets of eigenvalues can arise from two Hermitian matrices and their sum [6].

In connection with Klyachko's work, it has been of interest which triples (λ, μ, ν) have Littlewood-Richardson coefficient $c_{\lambda\mu}^\nu$ equal to one. Fulton has conjectured that this is equivalent to $c_{N\lambda, N\mu}^{N\nu}$ being one for any $N \in \mathbb{N}$. This has been verified in all cases with $N|\nu| \leq 66$.

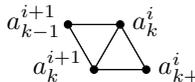
For $n = 3$ it is easy to show that a triple of partitions has coefficient one if and only if it corresponds to a point on the boundary of the cone $\rho(C)$. In general, Fulton's conjecture implies that the triples with coefficient one are exactly those corresponding to points in a collection of faces of $\rho(C)$. For $n \geq 3$ this means that all triples corresponding to interior points in $\rho(C)$ have coefficient at least two.

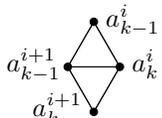
One approach for proving Fulton's conjecture is to show that if $b \in \rho(C) \cap \mathbb{Z}^B$, then any generic positive functional ω on \mathbb{R}^{H-B} must be minimized (as well as maximized) at an integral hive in $\rho^{-1}(b) \cap C$. In fact, by Proposition 2 it is enough to prove:

Note that $a_0^1 = 0$, and that $\lambda_k = a_k^1 - a_{k-1}^1$ for $1 \leq k \leq n$.

For $1 \leq i \leq n$ define a sequence $\lambda^{(i)} = (\lambda_1^{(i)}, \dots, \lambda_{n+1-i}^{(i)})$ by setting $\lambda_k^{(i)} = a_k^i - a_{k-1}^i$. Note that $\lambda^{(1)} = \lambda$.

There are three types of rhombus inequalities, depending on the orientation of the rhombus. We first consider two of them:

(1)  This says that $\lambda_k^{(i+1)} \geq \lambda_{k+1}^{(i)}$.

(2)  This says that $\lambda_k^{(i)} \geq \lambda_k^{(i+1)}$.

Together, (1) and (2) say that $\lambda_k^{(i)} \geq \lambda_k^{(i+1)} \geq \lambda_{k+1}^{(i)}$. In particular, each sequence $\lambda^{(i)}$ is weakly decreasing, and we have a nested sequence of partitions: $\lambda^{(1)} \supset \lambda^{(2)} \supset \dots \supset \lambda^{(n)} \supset \lambda^{(n+1)} = \emptyset$.

For example, the hive

$$\begin{array}{ccccccc} & & & & & & 0 \\ & & & & & & 10 & 6 \\ & & & & & & 17 & 14 & 10 \\ & & & & & & 24 & 21 & 18 & 14 \\ & & & & & & 28 & 26 & 23 & 19 & 15 \end{array}$$

gives the chain of partitions $(6, 4, 4, 1) \supset (4, 4, 1) \supset (4, 2) \supset (2)$.

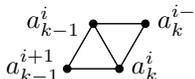
We identify partitions with Young diagrams, but rotated by 180 degrees, so the diagram for a partition λ has λ_k boxes in the k^{th} row from the bottom, and the rows are lined up on the right. Fill the boxes by putting the integer i in each box of $\lambda^{(i)} - \lambda^{(i+1)}$. The conditions (1) and (2) say exactly that the result T is a skew tableau on this shape, that is, it is weakly increasing across rows and strictly increasing down columns. Such a T is often called a contratableau of shape λ . In our example, T is

						1
		1	1	1	2	
		2	2	3	3	
1	1	3	3	4	4	

The word $w(T)$ is obtained by reading from left to right in rows, from bottom to top. In the example, $w(T) = 113344223311121$.

Let $U(\mu)$ be the tableau of shape μ whose i^{th} row has μ_i entries, all equal to i . The word $w(U(\mu))$ is similarly read from left to right, bottom to top. In our example, $\mu = (4, 4, 3, 2)$, and $w(U(\mu)) = 4433322221111$.

Now we consider the last rhombus inequalities:

(3)  These say that $a_{k-1}^{i+1} - a_{k-1}^i \leq a_k^i - a_k^{i+1}$. We claim that this

is equivalent to the condition that $w(T) \cdot w(U(\mu))$ is a reverse lattice word.

This asserts that, if we divide this word at any point, the number of times that i occurs to the right of this point is no larger than the number of times that $i - 1$ occurs. We only need to check this at a division corresponding to the place in the k^{th} row from the bottom of T that divides elements strictly smaller than i from elements greater than or equal to i . The number of times that i occurs here is

$$\begin{aligned} & (\lambda_k^{(i)} - \lambda_k^{(i+1)}) + (\lambda_{k+1}^{(i)} - \lambda_{k+1}^{(i+1)}) + \cdots + (\lambda_{n+1-i}^{(i)} - 0) + \mu_i \\ &= (\lambda_k^{(i)} + \lambda_{k+1}^{(i)} + \cdots + \lambda_{n+1-i}^{(i)}) - (\lambda_k^{(i+1)} + \lambda_{k+1}^{(i+1)} + \cdots + \lambda_{n-i}^{(i+1)}) + \mu_i \\ &= (a_{n+1-i}^i - a_{k-1}^i) - (a_{n-i}^{i+1} - a_{k-1}^{i+1}) + (a_{n-i}^{i+1} - a_{n+1-i}^i) \\ &= a_{k-1}^{i+1} - a_{k-1}^i. \end{aligned}$$

Similarly, the number of times that $i - 1$ occurs is

$$(\lambda_{k+1}^{(i-1)} - \lambda_{k+1}^{(i)}) + (\lambda_{k+2}^{(i-1)} - \lambda_{k+2}^{(i)}) + \cdots + (\lambda_{n+2-i}^{(i-1)} - 0) + \mu_{i-1} = a_k^i - a_k^{i-1}.$$

Note that the number of times i occurs in all of T is $a_0^{i+1} - a_0^i - \mu_i = \nu_i - \mu_i$.

This process is reversible. Given any contratableau T of shape λ such that $w(T) \cdot w(U(\mu))$ is a reverse lattice word, T determines the chain $\lambda^{(1)} \supset \lambda^{(2)} \supset \cdots \supset \lambda^{(n)}$, and from these partitions one successively fills in the entries in the northwest to southeast diagonal rows of the hive; the rhombus inequalities (1)–(3) are automatically satisfied.

To make the story complete, we recall why such contratableaux correspond to Littlewood-Richardson skew tableaux, using standard results about tableaux, as in [4]. However, it may be pointed out that these contratableaux are at least as easy to produce and enumerate as the more classical skew tableaux. First, the condition that $w(T) \cdot w(U(\mu))$ is a reverse lattice word, given that the number of times i occurs in T is $\nu_i - \mu_i$, is equivalent to asserting that $w(T) \cdot w(U(\mu))$ is Knuth equivalent to $w(U(\nu))$ [4, §5.2]. The rectification R of a contratableau T of shape λ is easily seen to be a tableau of shape λ , and with the same property that $w(R) \cdot w(U(\mu))$ is Knuth equivalent to $w(U(\nu))$. The correspondence between tableaux and contratableaux of shape λ is a bijection, by reversing the rectification process.

Now the condition that $w(R) \cdot w(U(\mu))$ be Knuth equivalent to $w(U(\nu))$ is equivalent to the condition that $R \cdot U(\mu) = U(\nu)$ in the plactic monoid of tableaux [4, §2.1]. This is easy to see, from the definition of multiplying tableaux by column bumping entries of the first tableau into the second [4, §A.2], that if R and S are tableaux with $R \cdot S = U(\beta)$, then S must be equal to $U(\alpha)$ for some partition α . This gives a correspondence between the set of tableaux R that we are looking at and the set of pairs (R, S) with R of shape λ , S of shape μ , whose product is the tableau $U(\nu)$. There is a standard construction [4, §5.1] between these pairs and the set of skew tableau on the shape ν/λ of content μ whose word is a reverse-lattice word.

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