

# Special parameters for rational Cherednik algebras

(Partly based on joint work with Charles Dunkl and Daniel Juteau)

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# Outline

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# Reflection groups

Let  $\mathfrak{h}$  be a finite-dimensional  $\mathbb{C}$ -vector space and let  $W \subseteq \mathrm{GL}(\mathfrak{h})$  be a finite group of linear transformations of  $\mathfrak{h}$ .

The set of *reflections* in  $W$  is

$$R = \{r \in W \mid \mathrm{codim}(\mathrm{fix}(r)) = 1\}.$$

The linear group  $W$  is a *reflection group* if it is generated by  $R$ . In any case we define

$$\mathcal{A} = \{\mathrm{fix}(r) \mid r \in R\} \quad \text{and} \quad \mathfrak{h}^\circ = \mathfrak{h} \setminus \bigcup_{H \in \mathcal{A}} H.$$

# Dunkl operators

For each  $r \in R$  we fix a linear form  $\alpha_r$  with zero set  $\text{fix}(r)$ , and a collection  $c = (c_r)_{r \in R}$  of complex numbers with the properties

$$c_r = c_{wrw^{-1}} \quad \text{and} \quad c_{r^{-1}} = \overline{c_r} \quad \text{for all } w \in W \text{ and } r \in R.$$

Given a vector  $v \in \mathfrak{h}$ , the corresponding *Dunkl operator* is defined by

$$D_v = \partial_v - \sum_{r \in R} c_r \frac{\alpha_r(v)}{\alpha_r} (1 - r),$$

which is an element of the algebra  $D(\mathfrak{h}^\circ) \rtimes W$  generated by polynomial-coefficient differential operators on  $\mathfrak{h}^\circ$  and the group  $W$ . Dunkl observed that they commute (for real reflection groups; Dunkl-Opdam later proved it in general).

# The rational Cherednik algebra

The *rational Cherednik algebra* is the subalgebra  $H_c = H_c(W, \mathfrak{h})$  of  $D(\mathfrak{h}^\circ) \rtimes W$  generated by  $\mathbb{C}[\mathfrak{h}]$ , the group  $W$ , and the Dunkl operators  $D_v$  for  $v \in \mathfrak{h}$ .

Since the Dunkl operators commute, they give rise to a map  $\mathbb{C}[\mathfrak{h}^*] \rightarrow H_c$ , and the *PBW theorem* states that multiplication induces an isomorphism

$$\mathbb{C}[\mathfrak{h}] \otimes \mathbb{C}W \otimes \mathbb{C}[\mathfrak{h}^*] \cong H_c.$$

# First questions

As with any algebra depending on a parameter, one hopes to obtain a description of the parameters  $c$  for which the rational Cherednik algebra satisfies (or does not satisfy) various structural properties. The simplest of these is: for which values of  $c$  is the ring  $H_c$  simple?

Another natural question is the following: we observe that by construction  $H_c$  acts on  $\mathbb{C}[\hbar]$ . What is the set of  $c$  such that the  $H_c$ -module  $\mathbb{C}[\hbar]$  is simple?

These questions turn out to have nice answers, whose explanation requires a bit more machinery.

## The category $\mathcal{O}_c$

The PBW theorem suggests, in analogy with Lie theory, that we should consider the category  $\mathcal{O}_c$  consisting of finitely-generated  $H_c$ -modules on which each Dunkl operator  $D_v$  acts locally nilpotently.

The category  $\mathcal{O}_c$  contains, for each  $E \in \text{Irr}(\mathbb{C}W)$ , the *standard object*

$$\Delta_c(E) = \text{Ind}_{\mathbb{C}[\hbar^*] \rtimes W}^{H_c}(E) \cong \mathbb{C}[\hbar] \otimes E,$$

which comes equipped with a Hermitian *contravariant form*  $\langle \cdot, \cdot \rangle_c$  compatible with the  $H_c$ -action. The quotient

$$L_c(E) = \Delta_c(E) / \text{rad}(\langle \cdot, \cdot \rangle_c)$$

is the unique irreducible quotient of  $\Delta_c(E)$ , and these give a complete set of irreducible objects of  $\mathcal{O}_c$ .

## Why category $\mathcal{O}_c$ ?

The category  $H_c\text{-mod}$  is very complicated. Certain structural features of  $H_c$  depend only on  $\mathcal{O}_c$ :

- (a) Every finite-dimensional  $H_c$ -module is in  $\mathcal{O}_c$ .
- (b) If  $I$  is a primitive ideal in  $H_c$  then  $I = \text{Ann}_{H_c}(L)$  for some irreducible object  $L = L_c(E)$  of  $\mathcal{O}_c$ . (Ginzburg's version of Duflo's theorem).
- (c)  $H_c$  is simple if and only if  $\mathcal{O}_c$  is a semi-simple category.
- (d) There is an analog of Schur-Weyl duality, known as the *Knizhnik-Zamolodchikov functor*, relating  $\mathcal{O}_c$  to the category of modules over the *Hecke algebra* of  $W$ .



# The braid group of $W$

The *braid group* of  $W$  is the fundamental group

$$B_W = \pi_1(\mathfrak{h}^\circ/W, p) \quad \text{for a fixed base-point } p \in \mathfrak{h}^\circ/W.$$

Given  $H \in \mathcal{A}$  a reflecting hyperplane for  $W$ , there is a distinguished *generator of monodromy*  $T_H \in B_W$ . The group algebra of  $W$  is the quotient of the group algebra of  $B_W$  by

$$\prod_{i=0}^{n_H-1} (T_H - \zeta_H^i) = 0 \quad \text{for } H \in \mathcal{A},$$

where  $n_H$  is the order of the point-wise stabilizer  $W_H$  of  $H$  and  $\zeta_H = e^{2\pi i/n_H}$  is a primitive  $n_H$ th root of 1.

# The Hecke algebra of $W$

Let  $q = (q_{H,i})_{H \in \mathcal{A}, 0 \leq i \leq n_H - 1}$  be a collection of formal variables with the property

$$q_{H,i} = q_{w(H),i} \quad \text{for all } H \in \mathcal{A} \text{ and } 0 \leq i \leq n_H - 1.$$

The *Hecke algebra*  $\mathcal{H}_W$  of  $W$  is the quotient of the group algebra of the braid group  $B_W$  by the relations

$$\prod_{i=0}^{n_H-1} (T_H - \zeta_H^i q_{H,i}) = 0 \quad \text{for } H \in \mathcal{A}.$$

# Fiber functors

Each object of  $\mathcal{O}_c$  is in particular a finitely-generated  $\mathbb{C}[\mathfrak{h}]$ -module, or in other words a coherent sheaf on  $\mathfrak{h}$ . Given  $p \in \mathfrak{h}$ , we therefore obtain a right-exact *fiber functor* to the category  $\text{Vect}_{\mathbb{C}}$  of finite-dimensional  $\mathbb{C}$ -vector spaces,

$$F_p : \mathcal{O}_c \rightarrow \text{Vect}_{\mathbb{C}}, \quad M \mapsto M(p).$$

When  $p \in \mathfrak{h}^\circ$ , this functor is actually exact and (by a case-by-case analysis, thanks to work of many mathematicians)

$$\text{End}(F_p) \cong \mathcal{H}_{W,c}$$

where  $\mathcal{H}_{W,c}$  is a certain specialization (at “ $q = e^{2\pi ic}$ ”) of the finite Hecke algebra  $\mathcal{H}_W$  of  $W$ , which acts by monodromy on  $F_p$ .

# The KZ functor

For any choice of  $p \in \mathfrak{h}^\circ$  we may and will regard the functor  $F_p$  as taking values in  $\mathcal{H}_{W,c}\text{-mod}$ , and we refer to it as the *KZ functor*. The KZ functor:

- (a) is represented by a projective object  $P_{\text{KZ},c}$  of  $\mathcal{O}_c$ ,
- (b) is fully faithful on projectives in  $\mathcal{O}_c$  (that is, satisfies the *double centralizer property* familiar from Schur-Weyl duality).

## Totally aspherical parameters

A direct description of  $P_{\text{KZ},c}$  is possible in certain cases (this is due to Losev): Let  $A = \mathbb{C}[\mathfrak{h}^*]/\mathbb{C}[\mathfrak{h}^*]_{>0}^W$  be the co-invariant algebra of  $W$  and put

$$M_c = \text{Ind}_{\mathbb{C}[\mathfrak{h}^*] \rtimes W}^{H_c}(A) \cong \mathbb{C}[\mathfrak{h}] \otimes A.$$

Thus by Frobenius reciprocity

$$\text{Hom}(M_c, M) = (eM)^{\mathbb{C}[\mathfrak{h}^*]_{>0}^W}.$$

Losev has observed that this functor is isomorphic to the KZ functor (that is,  $M_c \cong P_{\text{KZ},c}$ ) if and only if the parameter is *totally aspherical*: that is, if and only if each object  $M$  of  $\mathcal{O}_c$  which is *not* fully supported is killed by  $M \mapsto eM$ .

# Aspherical parameters

A parameter  $c$  is *aspherical* if  $H_c e H_c \neq H_c$ , or in other words if the functor  $M \mapsto eM$  from  $H_c\text{-mod}$  to  $eH_c e\text{-mod}$  is not an equivalence. It turns out to be enough to check this condition on  $\mathcal{O}_c$ . For the monomial groups  $G(\ell, m, n)$ , we know the set of aspherical values (Dunkl-G.) is a certain explicit finite union of hyperplanes in the parameter space but for most exceptional groups their calculation remains an important open problem.

The technique that is available for the groups  $G(\ell, m, n)$  but not for the exceptional groups has to do with certain representation-valued orthogonal polynomials generalizing Jack polynomials.

# A conjecture for totally aspherical parameters

Meanwhile, one might conjecture that  $c$  is totally aspherical provided the inequalities

$$-1 \leq c_{H,i} \leq 0 \quad \text{for all } H \in \mathcal{A} \text{ and } 1 \leq i \leq n_H - 1$$

all hold, where

$$c_{H,i} = \frac{1}{n_H} \sum_{r \in W_H} (1 - \det(r)^i) c_r.$$

Since the Hecke parameter in  $\mathcal{H}_{W,c}$  is  $q_{H,i} = e^{2\pi i c_{H,i}}$ , this conjecture would imply the nice algebraic description

$$\mathcal{H}_{W,c} \cong \text{End}_{H_c}(\mathbb{C}[\hbar] \otimes A)$$

of the Hecke algebra (for *any* Hecke parameter, we can choose a compatible Cherednik parameter in the range  $[-1, 0]$ ).

# Finite-dimensional representations

One of the most natural questions one might ask is: what are the finite-dimensional irreducible  $H_c$ -modules? It amounts to the same thing to ask: for which pairs  $E$  and  $c$  is  $L_c(E)$  finite-dimensional?

For the group  $G(\ell, m, n)$  and a fixed parameter  $c$ , one can compute the set of  $E$  for which  $L_c(E)$  is finite-dimensional using crystal operators on level  $\ell$  Fock space (Shan-Vasserot, previously conjectured by Etingof).

It seems to be a difficult problem in general to fix  $E$  first, and then find the set of  $c$  for which  $L_c(E)$  is finite dimensional. But for  $E = \text{triv}$  (and for certain other cases for the monomial groups, due to Gerber-Norton) we have an answer.



## Varagnolo-Vasserot and Etingof's work

For  $W$  a Weyl group and  $c_r$  constant, Varagnolo-Vasserot proved that  $L_c(\text{triv})$  is finite-dimensional if and only if  $c$  is a positive rational number whose denominator is an *elliptic number* for  $W$  (technique: realize the Cherednik algebra as a convolution algebra coming from an affine Steinberg variety).

Etingof settled the case in which  $W$  is a real reflection group, and the parameter is no longer assumed constant. He used a formula relating the contravariant form on  $L_c(\text{triv})$  to the *Macdonald-Mehta integral* that arising in statistical mechanics. Etingof's work gives Varagnolo-Vasserot's as a corollary (though this is not trivial).

# Poincaré polynomials

The *Poincaré polynomial*  $P_W(q)$  of  $W$  is the graded dimension of the coinvariant algebra of  $W$ . If  $W$  is a Coxeter group, this is also equal to the *length generating function* for  $W$ , and it factors as

$$P_W(q) = \prod_{i=1}^n [d_i]_q \quad \text{where } d_1, \dots, d_n \text{ are the degrees of } W$$

and  $[d_i]_q = 1 + q + q^2 + \dots + q^{d_i-1}$  is the  $q$ -analog of  $d_i$ . In the Coxeter case with two conjugacy classes of reflections, there is a two-variable version of the length-generating function  $P_W$  in which one distinguishes between conjugacy classes of simple reflections.

# The principal Schur elements

For a complex reflection group, there is another  $q$ -analog of the order of  $W$  which turns out to be important for us. Namely, assuming the existence of a *symmetrizing trace* on the Hecke algebra with certain favorable properties (as conjectured by Broué), one may associate a *Schur element* to each irreducible representation of  $W$ , which is a polynomial in the Hecke parameters. The Schur element  $S_W$  of the trivial representation is then the desired  $q$ -analog of  $|W|$ , and is equal to  $P_W$  if  $W$  is a Coxeter group.

# When is $L_c(\text{triv})$ finite dimensional?

With Daniel Juteau we prove:

## Theorem (G. - Juteau)

*The representation  $L_c(\text{triv})$  is finite dimensional if and only if for each maximal parabolic subgroup  $W' < W$ , the parameter  $c$  lies on a positive hyperplane  $H$  such that  $(S_W/S_{W'})(H) = 0$ .*

The method obtains this theorem as a corollary of a more general theorem which is independent of the symmetrizing trace conjecture and relates the support of a module in category  $\mathcal{O}_c$  to certain multi-variable analogs of Bessel functions, the *Dunkl exponential functions*. Etingof's result is a consequence.

It would be very interesting to carry out a similar program for other representations  $E$  (but this will require several new ideas).

# The group $G_{13}$

As an example, we might consider the two-dimensional irreducible reflection group labeled  $G_{13}$  in the Shephard-Todd notation. It has two conjugacy classes of reflections, all of order 2, and we write  $c$  and  $d$  for the corresponding Cherednik parameters. On the next page we draw the set of points  $0 \leq c, d \leq 1$  for which  $L_c(\text{triv})$  is finite-dimensional in this case.

